



# Carbonic Anhydrase IX is Not a Predictor of Outcomes in Non-Metastatic Clear Cell Renal Cell Carcinoma – A Digital Analysis of Tissue Microarray

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## ABSTRACT

**Introduction:** The knowledge about the molecular biology of clear cell renal cell carcinoma (ccRCC) is evolving, and Carbonic Anhydrase type IX (CA-IX) has emerged as a potential prognostic marker in this challenging disease. However, most of the literature about CA-IX on ccRCC comes from series on metastatic cancer, with a lack of series on non-metastatic cancer. The objective is to evaluate the expression of CA-IX in a cohort of non-metastatic ccRCC, correlating with 1) overall survival, and 2) with established prognostic parameters (T stage, tumor size, Fuhrman nuclear grade, microvascular invasion and peri-renal fat invasion).

**Materials and Methods:** This is a retrospective cohort study. We evaluated 95 patients with non-metastatic clear cell renal cell carcinoma, as to the expression of CA-IX. The analyzed parameters were: overall survival (OS), TNM stage, tumor size (TS), Fuhrman nuclear grade (FNG), microvascular invasion (MVI), peri-renal fat invasion (PFI). We utilized a custom built tissue microarray, and the immunoreexpression was digitally quantified using the Photoshop® software.

**Results:** The mean follow-up time was 7.9 years (range 1.9 to 19.5 years).

The analysis of CA-IX expression against the selected prognostic parameters showed no correlation. The results are as follows: Overall survival ( $p = 0.790$ ); T stage ( $p = 0.179$ ); tumor size ( $p = 0.143$ ); grouped Fuhrman nuclear grade ( $p = 0.598$ ); microvascular invasion ( $p = 0.685$ ), and peri-renal fat invasion ( $p = 0.104$ ).

**Conclusion:** Carbonic anhydrase type IX expression does not correlate with overall survival and conventional prognostic parameters in non-metastatic clear cell renal cell carcinoma.

## ARTICLE INFO

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## INTRODUCTION

Renal cell carcinoma corresponds to 3% of all cancers (1), and its incidence is rising (2). The clear cell type (ccRCC) is the most common and one of the most aggressive forms of renal cancer (3,4).

The knowledge about the molecular biology of ccRCC is evolving, and Carbonic Anhydrase type IX (CA-IX) has emerged as a potential prognostic marker in this challenging disease (5,6).

In response to either hypoxia or VHL mutation, the HIF-1 $\alpha$  accumulates and stimulates a

range of downstream effectors, including CA-IX expression (7).

CA-IX is an enzyme responsible for the cellular pH control, and in tumors with high CA-IX expression there is a better prognosis, and also a better response to therapy, probably because such tumors express a less aggressive phenotype (7-9). Low CA-IX expressing tumors demonstrate a more aggressive phenotype, probably because such tumors thrive in an acidic and hypoxic milieu, which is traditionally known to render tumors more aggressive and less responsive to therapy (7-9).

However, most of the literature about the utility of CA-IX as a prognostic marker on ccRCC comes from series of patients with metastatic cancer (10-12), with a lack of series on non-metastatic cancer.

Patients with localized ccRCC are curable with surgery, but approximately one third of the patients operated with curative intent will eventually develop metastatic disease in the course of follow-up (13). Therefore it would be very interesting if CA-IX could help predict which patients would require closer follow-up or even more aggressive adjuvant therapy (14).

The aim of this paper is to evaluate the expression of CA-IX in a cohort of non-metastatic ccRCC, correlating it with overall survival and conventional prognostic factors.

## MATERIALS AND METHODS

### Patient selection

We identified 227 patients with renal cancer operated between 1988 and 2006 at the Sírio-Libanês Hospital and Beneficência Portuguesa Hospital in São Paulo, Brazil. Eighty-three patients were excluded for having non-clear cell cancers. Among the 144 ccRCC patients, 49 were excluded for various reasons: specimen blocks irretrievable, incomplete charts, metastatic disease. The remaining 95 patients were included in the cohort. Table 1 shows the baseline characteristics of the cohort.

Follow-up time ranged from 1.9 to 19.5 years, median follow-up was 7.9 years.

Demographic and clinical data were retrieved from hospital medical charts, anatomopathological data was provided by the final pathological

report. The final clinical condition of the patients was obtained by either office chart review or telephone contact with patients or relatives.

The study was submitted to and approved by the Institutional Ethics Committee, and an informed consent was obtained from patients or relatives.

### Tissue microarray

A custom built tissue microarray was constructed with the technique adapted from Kononen et al. (15). Using a Beecher system (Beecher Instruments, Sun Prairie, WI, USA), which collects 0.6 mm cylinders, two samples from each patient were arrayed. Representative 4µm sections of the tissue microarray were transferred to glass slides.

### Immunohistochemistry

Samples underwent antigenic recovery by heat using a citrate buffer (1µM, pH 6.0) and heated for 30 minutes in an electrical heater. The slides were incubated overnight with CA-IX monoclonal antibody (Abcam, Cambridge, USA; 1:1,000). For immunostaining, the LSAB system (Dako, USA) was used.

### Digital Image Capture

Each histospot was photographed with a Olympus BX60 microscope (Olympus Corporation, Tokyo, Japan), coupled with a Olympus DP71 camera, controlled by the DP Controller software (version 3.2.1.276). Images were managed with Olympus DP Manager software (version 3.1.1.208).

The microarray was initially inspected under the optical microscope to assure optimal quality of image and illumination. The histospots were analyzed with the camera photometer, and once a good image quality was achieved, all the camera controls were shifted to manual, in order to obtain standardized images throughout the array. The settings were ISO 200, shutter speed of 1/2,500 seconds. All the microscope settings (light intensity, condenser distance and aperture) were kept unchanged for the entire digital acquisition session. Focus of each histospot was adjusted as necessary.

Images were saved in TIFF format (Adobe Systems, CA, EUA) for posterior analysis.

**Table 1 - Patient and tumor characteristics**

	n = 95	%
<b>Sex</b>		
Male	70	73.7
Female	25	26.3
<b>Age (years)</b>		
Range	9 / 81	
Median (CI, 95%)	59.2 (56.5 / 61.8)	
<b>Follow-up (years)</b>		
Range	1.9 / 19.5	
Median (CI, 95%)	7.9 (6.9 / 8.8)	
<b>Tumor size (cm)</b>		
Range	1.2 / 19.5	
Median (CI, 95%)	5.0 (4.38 / 5.60)	
<b>Size</b>		
Right	39	41.0
Left	53	55.8
Bilateral	3	3.2
<b>T Stage</b>		
T1	69	72.6
T2	8	8.4
T3	18	19.0
N+ Stage	0	0
M+ Stage	0	0
<b>Tumor size (categorized)</b>		
Up to 4.0 cm	50	52.6
Between 4.1 and 7.0 cm	27	28.4
Larger than 7.1 cm	18	19.0
<b>Fuhrman nuclear grade</b>		
G1	25	26.3
G2	37	38.9
G3	26	27.3
G4	7	7.5
<b>Microvascular invasion</b>		
Absent	70	73.7
Present	25	26.3
<b>Peri-renal fat invasion</b>		
Absent	76	80.0
Present	19	20.0

**Digital quantification of immunoexpression**

The immunoexpression was analyzed using the Photoshop CS4 software, Portuguese version 11 (Adobe Systems, CA, EUA).

The technique consists in counting the pixels of the brown color of interest, in an area measurement, adapted from Lehr et al. (16,17).

The picture of each histospot was inspected looking for an area with the following characteristics: 1) contains only neoplastic tissue, and 2) has compact and homogeneous histology. A circular marker was used to delimitate a region of interest (ROI) with a fixed area of 150,000 pixels, and was applied over the picture. The remaining tissue was digitally excluded. The next step was to zoom in the area of interest until some slight pixelization of the image was obtained. The color selection tool was used to pinpoint the brown color in a region of cytoplasmic membrane representing the immunoexpression of interest, and all the corresponding pixels were selected, as shown on Figure-1. All the remaining pixels of any other color were digitally excluded, with the remaining pixels corresponding only to the brown color of interest. The histogram tool was then used to count the pixels of the brown color of interest, as shown on Figure-2. The result was transferred to a spreadsheet, and the mean value of the pixel counting of both the samples of each patient was calculated.

**Statistical analysis**

The statistical analyses were performed with the SPSS 16 Software for Windows. Survival curves were calculated by the Kaplan-Meier method and the difference between the curves was demonstrated with the log-rank test.

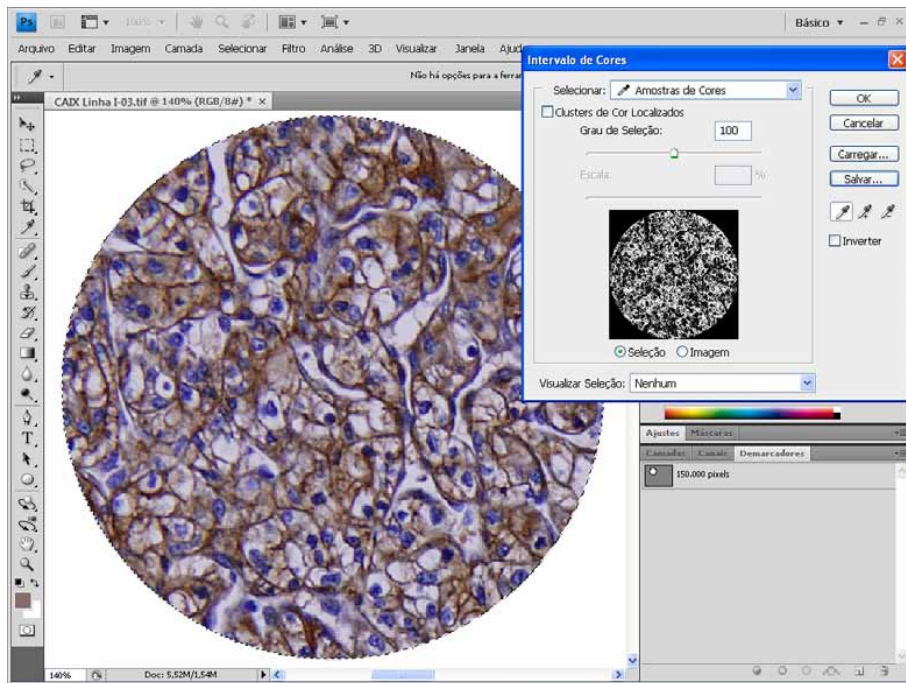
The expression of CA-IX for each prognostic parameter was analyzed with Kruskal-Wallis and Mann-Whitney tests.

**RESULTS**

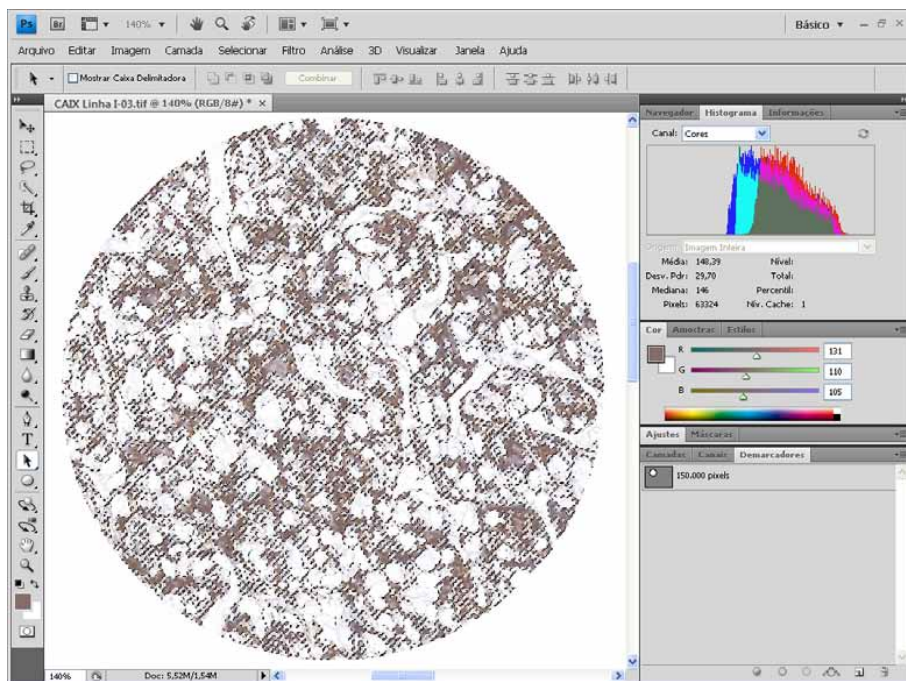
CA-IX expression was evaluated in 95 valid cases.

The area of CA-IX expression ranged from zero pixels to 68,780 pixels, with a median expression of 20,924 pixels and standard deviation

**Figure 1 - Region of Interest (ROI), and selection of brown color of interest using the color selection tool.**



**Figure 2 - Digital exclusion of all colors of no-interest and the remaining brown color of interest is digitally quantified in pixels using the software histogram.**



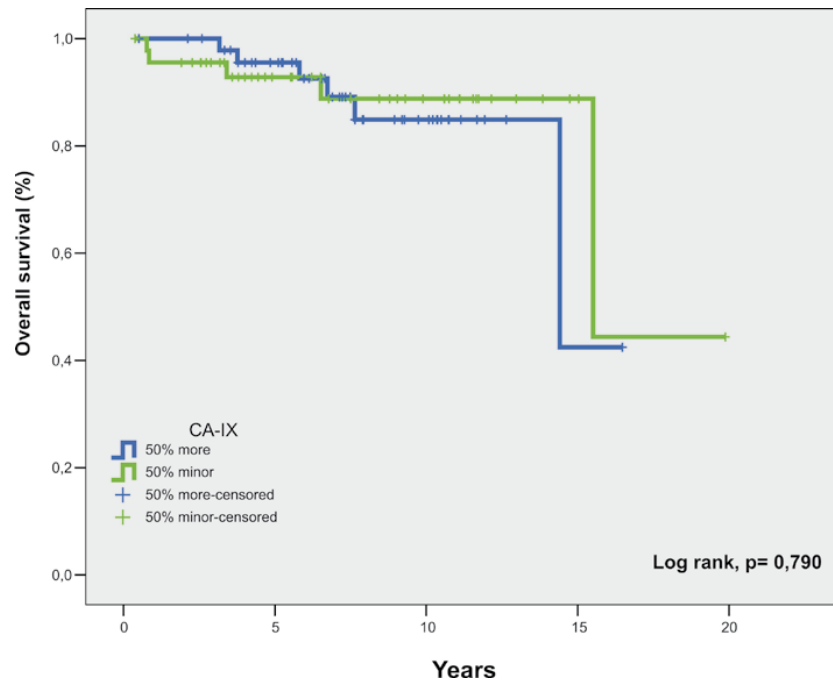
15,902 pixels. The mean expression area was 23,700 pixels, with 95% confidence interval between 20,461 and 26,939 pixels.

When comparing the survival curves of the patients with the 50% higher expression versus the 50% lower expression, there was no statistical significance between the curves ( $p = 0.790$ ), (Figure-3).

When we analyzed the expression of CA-IX against the selected prognostic parameters, we found no correlation of its expression with T

Tissue microarray technology has many advantages over conventional samples processing. With all the samples being immunostained simultaneously in the same batch, there is a very high level of standardization, virtually eliminating technical bias seen with the individual processing of samples. Furthermore, TMA technology provides great economy of research resources, personnel, and tissue specimens. It is currently the technique of choice for high throughput research (15,18-20).

**Figure 3: Overall survival curve by the expression of CA-IX.**



stage ( $p = 0.179$ ); tumor size ( $p = 0.143$ ); grouped Fuhrman nuclear grade ( $p = 0.598$ ); microvascular invasion ( $p = 0.685$ ), and peri-renal fat invasion ( $p = 0.104$ ) (Table-2).

## DISCUSSION

This is a cohort of non metastatic ccRCC evaluated for CA-IX expression using a tissue microarray and digital immunoexpression quantification.

Digital quantification of immunoexpression eliminates the bias of one of the most subjective steps of the research:specimen interpretation. Even specialized pathologists differ in the interpretation of the specimens (21). Immunohistochemistry is a qualitative method, however, it has recently being quantitatively evaluated with the use of digital analysis software (22-25).

The color of interest can be objectively measured in its red/green/blue components (RGB)

**Table 2: Expression of CA-IX against the study parameters**

Parameter	n(%)	Min.	Max.	Median	SD	Mean	CI (95%)	p
<b>T Stage</b>								0.179
T1	69 (72.6)	0	68,780	21,593	16,082	25,527	21,664 – 29,390	
T2	8 (8.4)	0	49,775	15,867	18,053	21,197	6,104 – 36,290	
T3	18 (19.0)	0	47,459	17,866	13,278	17,812	11,209 – 24,415	
<b>Tumor size</b>								0.143
< 4 cm	50 (52.6)	0	68,780	19,745	15,928	22,157	17,631 – 26,684	
>4.1 to 7 cm	27 (28.4)	6,619	61,790	27,743	15,867	28,987	22,710 – 35,264	
>7.1 cm	18 (19.0)	0	49,775	20,383	14,713	20,057	12,741 – 27,374	
<b>Fuhrman grade</b>								0.598
G1 + G2	62 (65.3)	0	53,536	20,130	14,517	22,738	19,051 – 26,425	
G3 + G4	33 (34.7)	0	68,780	23,281	18,327	25,509	19,010 – 32,007	
<b>Microvascular invasion</b>								0.685
Absent	70 (73.7)	0	68,780	20,130	15,632	23,405	19,678 – 27,133	
Present	25 (26.3)	0	61,790	23,281	16,938	24,527	17,536 – 31,519	
<b>Peri-renal fat invasion</b>								0.104
Absent	76 (80.0)	0	68,780	21,250	16,329	25,101	21,369 – 28,832	
Present	19 (20.0)	0	47,459	20,137	12,965	18,100	11,851 – 24,349	

using the histogram (16,17). Adobe Photoshop® is a widely available, low cost software, and its use makes this technology available and reproducible to other centers (16,17,25–27).

The gene that codifies CA-IX is regulated by HIF-1 $\alpha$  expression, and HIF-1 $\alpha$  is controlled by the VHL protein. CA-IX expression is common in ccRCC and its role is to regulate pH in the hypoxic neoplastic environment. Either hypoxia or the VHL mutation causes HIF-1 $\alpha$  accumulation, therefore activating a

range of proangiogenic factors, including CA-IX expression (28–34).

Strong CA-IX expression in more than 85% of the tumor cells is considered an important prognostic factor, and can predict therapeutic response to interleukin-2 (35,36). Similar findings have been described to other tumors, like colorectal carcinoma (37).

In the setting of metastatic RCC, the role of CA-IX is established, and there are many papers published in the literature reporting its usefulness as

a predictor of survival, prognosis, and therapeutic response (11,38-41).

In the setting of non-metastatic ccRCC, however, CA-IX has not shown the same performance as in metastatic ccRCC.

Leibovich et al. (42) reported a cohort of 933 RCC patients, in which 730 were ccRCC, with a median follow-up of 10 years. The results did not demonstrate CA-IX to be a prognostic marker when compared to conventional prognostic factors. The paper from Leibovich et al. shows some differences when compared to ours. Although most patients had ccRCC, they analyzed mixed histological types. And also, patients with metastatic disease were included. In this regard, it's worth mentioning that expression of CA-IX was not statistically significant among the Nx/N0 versus N1/N2 groups, and also among M0 versus M1 groups. One could expect to see some differences in CA-IX expression in metastatic versus non-metastatic patients, but the authors failed to confirm this rationale, therefore, further supporting the limited role of CA-IX in the non-metastatic scenario. They also reported high levels of CA-IX in many other organs (gastric mucosa, pancreatic and biliary epithelia, and base of epithelial crypts of small intestine) and therefore question that CA-IX would have limited utility as both independent prognostic factor and therapeutic target in RCC.

We found one published paper that is very similar to ours. Klatte et al. (43), in the search for a molecular signature of ccRCC, evaluated exclusively non-metastatic ccRCC as to the expression of twenty molecular markers, including CA-IX. Although they used a qualitative immunohistochemical analysis, the result showed CA-IX did not correlate with the studied parameters on univariate analysis ( $p = 0.651$ ), and therefore was not considered for multivariate analysis.

In our cohort of non-metastatic ccRCC patients, with long term follow-up, evaluated with tissue microarray and digital quantification of immunexpression, we found no correlation of CA-IX expression with either overall survival, or with conventional prognostic parameters: T stage, tumor size, Fuhrman nuclear grade, microvascular invasion and peri-renal fat invasion.

Our results further support an emerging concept in the literature about the limited usefulness of

CA-IX as a prognostic marker in non-metastatic clear cell renal cell carcinoma.

Carbonic Anhydrase IX expression did not correlate with overall survival, T stage, tumor size, Fuhrman nuclear grade, microvascular invasion and peri-renal fat invasion in non-metastatic clear cell renal cell carcinoma.

## ABBREVIATIONS

CA-IX: Carbonic anhydrase type 9  
 ccRCC: Clear cell renal cell carcinoma  
 HE: Hematoxylin-eosin  
 HIF-1 $\alpha$ : Hypoxia inducible factor one-alpha  
 KPS: Karnofsky performance status  
 RCC: Renal cell carcinoma  
 RGB: Red, Green, Blue  
 ROI: Region of interest  
 TIFF: Tagged Image File Format  
 TMA: Tissue microarray  
 TNM: Tumor, Nodule, Metastasis  
 VHL: von Hippel-Lindau

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## CONFLICT OF INTEREST

None declared.

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