

## Molecular basis of basal cell carcinoma\*

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**Abstract:** Basal cell carcinoma is the most common cancer, presenting low mortality but high morbidity, and it has as risk factor exposure to sunlight, especially UVB spectrum. The most important constitutional risk factors for basal cell carcinoma development are clear phototypes (I and II, Fitzpatrick classification), family history of basal cell carcinoma (30-60%), freckles in childhood, eyes and light hair. The environmental risk factor better established is exposure to ultraviolet radiation. However, different solar exposure scenarios probably are independent risk factors for certain clinical and histological types, topographies and prognosis of this tumor, and focus of controversy among researchers. Studies confirm that changes in cellular genes Hedgehog signaling pathway are associated with the development of basal cell carcinoma. The cellular Hedgehog signaling pathway is activated in organogenesis, but is altered in various types of tumors.

**Keywords:** Carcinoma, basal cell; Genetics; Hedgehog protein

### INTRODUCTION

Non-melanoma skin cancers (NMSC) are the most common form of human skin cancer. The majority of NMSC are basal cell carcinoma (BCC) and squamous cell carcinoma (SCC), with an incidence of 1:4 in immunocompetent patients.<sup>1</sup> However, there is no compulsory registration of BCC in Brazil, and many studies have grouped BCC and SCC in their reports.<sup>2</sup> BCC has an estimated risk of 56 new cases per 100,000 men and 61 per 100,000 women. However, rates vary according to the region and the ethnic composition of the population.<sup>3</sup> There is a greater involvement of men than women (1.5-2:1), probably due to professional reasons, and the male gender is also associated with a higher number of tumors.<sup>4,5</sup> BCC is responsible for 80% of NMSC, being more frequent in patients aged <40 years, although mean age at first diagnosis is approximately 60 years.<sup>6,7</sup>

The most important constitutional risk factors for BCC development are clear phototypes (I and II, Fitzpatrick's classification), positive family history of BCC (30-60%), freckles in childhood, light eyes and hair.<sup>8,9,10</sup> The best established environmental risk factor is exposure to ultraviolet radiation. However, different sun exposure profiles are probably independent risk factors for certain clinical and histological types, topographies and prognosis of this tumor, being the focus of controversy between researchers.

Ultraviolet B (UVB) radiation generates mutagenic photoproducts in DNA, such as cyclobutane dimers, as well as mutations in important regulatory genes of cellular functions, such as tumor suppressor gene *TP53* (tumor protein p53 in 17p13.1, Gene ID: 7157). Apoptosis of mutated keratinocytes (sunburn cells) after

exposure to ultraviolet rays is evidence of their carcinogenic potential. Ultraviolet A (UVA) radiation presents an indirect effect generating cytotoxic and mutagenic free radicals, favoring the effects of UVB. In addition, ultraviolet radiation has immunosuppressive action on the skin, compromising local antitumor surveillance activity of dendritic cells.<sup>3</sup>

Ethnic, cultural and occupational aspects should also interfere with the epidemiological patterns of BCC in different countries.<sup>10</sup> Other risk factors include immunosuppression, exposure to arsenic, scars and hereditary diseases such as Gorlin-Goltz syndrome (basal cell nevus syndrome) and xeroderma pigmentosum.<sup>10,11</sup>

There are many histological subtypes, however a simplified division classifies BCC into three subtypes: superficial, nodular and infiltrative.<sup>12,13</sup> BCCs have low metastatic potential and in most cases can be treated with local therapies such as surgical excision, photodynamic therapy, cryotherapy or topical imiquimod.<sup>14</sup> Occurrence of consecutive tumors is frequent, and recurrence is more common in the first year. Risk of a new lesion in three years is 27% to 44%, reaching up to 50% in five years and 90% in ten years. Male gender, age over 60 years, trunk location, superficial type BCC at histopathological examination and presence of multiple actinic keratoses in the skin are predictive elements for the appearance of new lesions.<sup>8,10,14</sup>

Clinically, BCCs are divided into five types: nodule-ulcerative, pigmented, sclerodermiform or fibrosing, superficial and fibroepithelioma, although there is disagreement in the classifications according to some authors.<sup>15-20</sup> BCC favors photoexposed areas of the integument. It is located in approximately 80% of the cases on

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the face (30% on the nasal region) and cervical region.<sup>17,21</sup> Trunk involvement occurs in 15-43% of cases.<sup>22</sup> Occurrence of BCC in areas less exposed to sun is of approximately 20% of cases. They are usually of greater diameter due to delayed diagnosis, and can present with worse prognosis, surgical morbidity and metastases.<sup>23</sup> Mean duration of the lesions, from onset to diagnosis, is 37.1 months for both sexes.<sup>19</sup> Cure rates exceed 90% with excisional surgical treatment, and BCC-specific mortality is less than 0.1%. The incidence of BCC metastases is rare and ranges from 0.0028% to 0.55%.<sup>8,10,24</sup>

BCC was primarily described by Jacob in 1827, who named it *ulcus rodens*, and its current nomenclature was proposed by Krompecher in 1903.<sup>25</sup> There are no precursor lesions described for BCC, and the cells involved in their origin are controversial.<sup>8,10</sup> There is evidence of the origin from immature pluripotent cells of the interfollicular epidermis and cells present in the outer sheath of the hair follicle, based on experiments of activation of the Hedgehog pathway in different compartments of the epidermis and on the expression of follicular pattern cytokeratins, which has defined it as malignant neoplasm of follicular germ cells (trichoblasts).<sup>26,27</sup> In addition, there is association of BCC with abnormalities in the embryonic follicular development gene, *SHH* (Sonic Hedgehog in 7q36, Gene ID: 6469), a hypothesis strengthened by the rarity of palmoplantar and mucosal lesions where no hair follicles are found.<sup>10,28,29</sup>

#### HEDGEHOG SIGNALING PATHWAY

Hedgehog (Hh) signaling pathway is a developmental pathway that was originally identified in *Drosophila melanogaster*, which is fundamental for appropriate formation of the segments, determining the anterior-posterior corporal axis.<sup>30-32</sup> Hedgehog genes are highly conserved from *Drosophila melanogaster* to humans and are considered key regulators of embryonic development.<sup>33</sup> Hh signaling in mammalian cells is mediated by Hh ligands, such as Sonic Hedgehog (SHH).<sup>34</sup> Sonic Hedgehog/ Patched signaling controls cells destiny, standardization and growth of numerous tissues.<sup>35</sup> Post-embryonic activity of Hh signaling pathway is normal only in hair follicles and skin cells.<sup>31</sup> In adults, Hh pathway remains active in a number of stem cells and during tissue regeneration.<sup>36</sup>

In humans, loss of Hh function during development can lead to severe effects, resulting in insufficient separation of the cerebral hemispheres, a condition known as holoprosencephaly.<sup>37</sup>

Activation of Hh pathway has been implicated in the tumorigenesis of a large number of human cancers, including medulloblastoma, basal cell carcinomas, leukemia, lung, gastrointestinal, ovary, breast and prostate cancers, since Hh plays a central role in control of the proliferation and differentiation of embryonic stem cells and adult stem cells.<sup>38-42</sup> In addition, Hh signaling pathway is closely linked to many other signaling pathways, such as Wnt/betacatenin, TGF-beta/BMP, Notch and FGF pathways, all of which are deeply involved in tissue morphogenesis and homeostasis, organogenesis, and renewal of stem cells in adults.<sup>43</sup>

Mutations occurring in the Hedgehog (Hh) pathways genes in BCCs mainly involve the encoders of the homologous (*PTCH*) and the deleted homologous (*SMO*). Several animal models have demonstrated the functional relevance of genetic alterations in the Hh pathway during tumorigenesis. Recently, target therapy has be-

come available commercially and in the context of human clinical trials. Interestingly, inhibitors of Hh pathway not only suppress BCC progression, but also help promote immune responses.

Hh pathway plays a crucial role in patterns of development and organogenesis in the early stages and is largely inactive in adults except for its tissue repair and maintenance function.<sup>44</sup> Central components of Hh pathway consist of three secreted ligands (Sonic HH, Indiana HH and Desert HH), a negative regulation receptor (*PTCH*), a positive regulatory receptor (*SMO*), glioma associated oncogenic factors (GLI), and, finally, transcription factors (Gli1, Gli2 and Gli3).<sup>45</sup> The signaling mechanism of Hh by means of *SMO* has been studied. In the absence of the Hh-binding agent, *PTCH* suppresses *SMO* activity, preventing trafficking and insertion into the cellular cilia. GLI transcription factors are sequestered in the cytoplasm by various mediator proteins, including protein kinase A (PKA).<sup>46</sup> GLI undergoes specific cleavage and the resulting repressor moves to the nucleus and inhibits the translation of Hh target genes. Upon binding to the receptor, *PTCH* is displaced from the cilia, thus allowing the accumulation and activation of *SMO*. When activated, GLIs are the final effectors of the pathway and, when translocated to the nucleus, induce the expression of several context-specific genes that regulate cell differentiation, proliferation and survival.<sup>47</sup>

Relation between cancer and Hh pathway activation has been examined from the report of germinal mutations of function loss in *PTCH* in patients with Gorlin syndrome (BCNS syndrome, Gorlin).<sup>48</sup> BCNS is an autosomal dominant disease characterized by multiple developmental abnormalities and predisposition to tumors, specifically BCC, medulloblastoma (MB), embryonal rhabdomyosarcoma, and meningioma.<sup>49</sup> Somatic mutations in *PTCH* were identified in 90% of sporadic BCCs, and function gain mutations in *SMO* were detected in BCCs.<sup>50</sup> In particular, recurrent mutations in *SMO* and functional studies have demonstrated that these mutations lead to aberrant activation of Hh signaling and promote tumor development.<sup>51</sup> Somatic mutations in *PTCH* have also been detected in other tumors, such as ovary and endometrium.<sup>52</sup>

In addition to the *SMO*-dependent pathway, phosphatidylinositol-3-kinase (PI3K) also promotes Hh signaling in oncogenesis. S6-kinase 1 (S6K1) and atypical protein kinase C (aPKC), components that are downstream of *PI3K*, promote GLI-dependent transcription. S6K1 is also downstream of the target mammalian rapamycin pathway (mTOR) and has been found to be elevated in esophageal cancer resistant to *SMO* antagonists.<sup>53</sup> In addition, PI3K may promote the activation of 3-phosphoinosinide-dependent kinase 1 (PDK1), which promotes mTOR and activation of *S6K1*. *S6K1* promotes GLI-dependent transcription by Gli1 phosphorylation, which prevents an interaction that allows GLI to enter the nucleus and activate target genes. An Hh target gene is aPKC that phosphorylates Gli1 at sites other than S6K1, activating Gli1 binding to DNA, which can generate positive feedback that amplifies GLI-dependent transcription in BCC.<sup>53</sup>

The first well-studied therapy targeting the Hh pathway was cyclopamine, a steroidal alkaloid from the *Veratum californicum* plant, which acted directly on a Sonic Hh pathway, known as definer of cyclophopone phenotype in mice.<sup>54</sup> This established an important connection between cyclopamine and activation of the

Hh pathway.<sup>55</sup> Thus, a large amount of SMO antagonist molecules, including vismodegib, has been identified as effective *in vitro*.

Vismodegib is effective in suppressing BCC growth, both as tumoricide and as tumoristic. Recurrences of BCC arise after cessation of treatment with vismodegib, suggesting that the more efficient use of vismodegib as a therapeutic agent is to shrink tumors to a manageable level and then to promote surgical removal of the tumor or any other clone remaining. A recent study on the efficacy and safety of vismodegib in patients with BCC (STEVIE study) brought significant data and made room for the development of other SMO inhibitors. Clinical trials to treat advanced or metastatic BCC, including sonidegib (LDE 225), erismodegib, XL-139, LEQ 506, itraconazole, and saridegib.<sup>56</sup> In addition, there are several candidate components for the treatment of BCC, such as BEZ 235, a mTOR signaling inhibitor.<sup>57,58</sup>

#### GENETICS OF BASAL CELL CARCINOMA

The development of a BCC results from the interaction between several genes and environmental factors. Between 30% and 75% of sporadic cases are associated with mutation of patched hedgehog 1 and 2 tumor suppressor genes, *PTCH1* in 9q22.3 (Gene ID: 5727) and *PTCH2* in 1p34.1 (Gene ID: 8643), but other genetic alterations were already described.<sup>59</sup>

*PTCH1* gene encodes a transmembrane receptor for SHH and Hh pathway proteins, repressing the functions of another protein lo-

cated in the membrane, the *smoothened*, encoded by the gene *SMO* (Gene ID: 6608 in 7q32.3), forming a complex in the cell membrane, which has a suppressive effect on growth signal transduction.<sup>59</sup>

When mutations in the *PTCH1* gene occur, its inhibitory effect on *SMO* is lost, since activation of smoothened protein activates GLI-1 factor, which induces the transcription of several oncogenes involved in the development of BCC and other neoplasias, resulting in proliferation and cell stimulation.<sup>10</sup> SHH ligand, when binding to *PTCH*, also disrupts this inhibition, allowing signal transduction and causing the same effects.<sup>59</sup> Mutations in *SMO* gene are present in 10-21% of sporadic BCCs and in *TP53* gene (tumor protein p53 in 17p13.1, Gene ID: 7157) in more than 50% of cases, although the latter is more related to the progression than to the genesis of BCC.<sup>8,28,60</sup>

Animal models have been developed from transgenic mice that overexpress the smoothened protein in tissues. These animals present, in addition to multiple BCCs, numerous bone alterations, simulating basal cell nevus syndrome.<sup>61,62</sup> Other changes were identified in patients with BCC from population gene sequencing studies, such as mutations in pigmentary genes, melanocortin 1 receptor gene (*MC1R* in 16q24.3, gene ID: 4157), associated with melanoma and BCC susceptibility.<sup>63</sup> In addition, single nucleotide polymorphisms (SNPs) were also associated with BCC, such as rs11170164 in the *KRT5* gene, variants in 9p21 near *CDKN2A* and *CDKN2B*, as well as rs157935 [T] in 7q32 near *KLF14*. A variant in the *SLC45A2* gene was also associated with risk of both BCC and SCC.<sup>64</sup> □

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