

**CHEMICAL CHARACTERIZATION OF BIOACTIVE COMPOUNDS FROM THE ENDOPHYTIC FUNGUS  
*DIAPORTHE HELIANTHI* ISOLATED FROM *LUEHEA DIVARICATA***

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**ABSTRACT**

Endophytic microorganisms, defined as fungi or bacteria that colonize the interior of plants without causing any immediate negative effects or damages, have reciprocal relationships with host plants. In some cases their presence is beneficial to the host due to the synthesis of bioactive compounds, among which several alcohols, esters, ketones and others that may react with other compounds and may be lethal to pathogenic microorganisms. *Diaporthe helianthi* (*Phomopsis helianthi* in its anamorphic phase) is available worldwide, especially in Europe, Asia and America. Isolated in Europe as an agent of the sunflower stem cancer, it has also been endophytically isolated from tropical and temperate plants. A *D. helianthi* strain isolated from *Luehea divaricata* has been employed in current research. An investigation of the secondary metabolite from *D. helianthi* by CC and NMR of <sup>1</sup>H and <sup>13</sup>C yielded the separation of 10 fractions and the identification of the phenolic compound 2(-4 hydroxyphenyl)-ethanol (Tyrosol). Its antimicrobial reaction was tested and the ensuing antagonistic effects on the human pathogenic bacteria *Enterococcus hirae*, *Escherichia coli*, *Micrococcus luteus*, *Salmonella typhi*, *Staphylococcus aureus*, phytopathogenic *Xanthomonas asc. phaseoli* and phytopathogenic fungi were demonstrated. Results show that bioactive compounds and Tyrosol produced by *D. helianthi* have a biotechnological potential.

**Key words:** endophytic fungi; *Diaporthe helianthi*; secondary metabolite; phenolic compound; Tyrosol.

**INTRODUCTION**

An endophytic microorganism or endophyte is a term for fungi and bacteria that live in plant tissues (leaves, fruits, seeds, stems and roots) without causing any apparent damage to plants (14). Although studies on these organisms started in

the 1980s, scanty information is available. It is known that all plants under analysis have endophytes (3) and that studies on community organization have shown that endophyte communities are usually specific to the hosts at species level (13).

It has been estimated that worldwide fungus distribution

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reaches 1.5 million species. Whereas there is a ratio of 6:1 for vascular plants in the U.K., the proportion reaches 33:1 for endophyte and saprophyte fungi in tropical plants (3).

Endophytic microorganisms that live the interior of plants may transform the latter chemical components from which chemical compounds are produced (2). Substances produced by endophytic fungi may be used in agroindustries for the biological control of pests and diseases.

Endophytes may directly produce chemical defense in plants through the production of secondary compounds which inhibit insects and pathogenic organisms. The *in vitro* secretion of substances by endophytes that limit the growth of other microbial species, including pathogens, is currently interesting in cases of bioprospection and biological control by endophytic fungi (3, 4, 16).

Research has shown that the symbiosis of many fungi with plants produce bioactive metabolites in chemical defenses against herbivores. For instance, *Neotyphodium uncinatum* produces an insecticide alkaloid called Loline (20).

Endophytes are not required to produce a great quantity of chemical products to

defend the host's tissues. A genetically uniform plant will thus become non-palatable, quality-less and lacking pathogen infectivity for herbivores.

Although an increasing number of researches have produced solid bases for endophyte studies, the degrees of abundance, composition, taxonomic diversity and acceptance affinity within the endophyte-plant relationships are still hazy when geographical areas are concerned. Actually the determination of place is a relevant aspect for the formation of host's identity and for the symbiosis established with the microorganism (10).

Owing to the importance of endophytes in the biological control of pests, current research establishes the chemical

characteristics of the bioactive compounds of *Diaporthe helianthi* isolated from *Luehea divaricata*.

## MATERIALS AND METHODS

### Endophytic fungi

Endophytic fungus *D. helianthi* in current experiment was isolated from *L. divaricata* Mart. (Tiliaceae) by Bernardi-Wenzel et al. (6) and stored in the Microbial Biotechnological Laboratory of the Department of Cell Biology (DBC) of the State University of Maringá (UEM), Maringá PR Brazil.

### Obtaining secondary metabolite

Secondary metabolite of endophyte *D. helianthi* was obtained following methodology by Li et al. (11), with modifications, this information is confidential in patent PI1005011-6.

### Column chromatography

Column Chromatography (CC) was undertaken in a glass column, Sephadex LH-20, diameter 1.5cm and height 30cm, as stationary phase. Movable phase consisted of pure solvents or combined solvents in polarity gradients.

CC was undertaken in thin layer chromatography (TLC) in 5.0 x 20.0 cm glass plates, with silica gel 60 G and 60 GF<sub>254</sub> (Merck), suspended in distilled water at 1:2, and distributed at an approximate thickness of 0.25mm and 1.0mm.

The visualization of compounds was undertaken by UV irradiation at 254 and 366 nm; pulverization with H<sub>2</sub>SO<sub>4</sub>/MeOH (1:1) occurred, followed by heating in a iodine atmosphere.

Whereas fractions were analyzed in TLC, re-sublimated iodine and H<sub>2</sub>SO<sub>4</sub>/MeOH (1:1) were the revealers, followed by heating.

Resulting 28 fractions were redistributed into 10 new fractions according to similarity shown in TLC (Table 1).

**Table 1.** Data from fractions from *D. helianthi* metabolic extract.

Identification	Joint Fractions	Mass (mg)	Methanol (mL)	Concentration tested (µg)
1	V 1-2	43.5	2.5	17.4
2	V 3	17.6	2.5	7.04
3	V 4	11.7	2.5	4.68
4	V 5	1.8	2.0	0.9
5	V 6-9	4.6	2.0	2.3
6	V 10-13	2.1	2.0	1.05
7	V 14-18	3.6	2.0	1.8
8	V 19-20	0.5	2.0	0.25
9	V 21-24	0.5	2.0	0.25
10	V 25-28	1.5	2.0	0.75

### Nuclear Magnetic Resonance of $^1\text{H}$ and $^{13}\text{C}$ (NMR of $^1\text{H}$ and $^{13}\text{C}$ )

NMR spectra of  $^1\text{H}$  and  $^{13}\text{C}$  were obtained by spectrometer MERCURY plus BB, at 300 MHz in the case of  $^1\text{H}$  and at 75.5 MHz in the case of  $^{13}\text{C}$ . Chemical displacements were given in ppm, with tetramethylsilane TMS ( $\delta = 0.0\text{ppm}$ ) or solvent as internal reference. Solvents were  $\text{D}_2\text{O}$  and  $\text{DMSO-d}_6$ .

### Evaluation of antibacterial and antifungal activity of secondary metabolite

Qualitative biological assays in triplicate were employed to evaluate antimicrobial activity. The following human pathogenic bacteria were used: *Enterococcus hirae* (ATCC 1227), *Escherichia coli* (ATCC 25922), *Micrococcus luteus* (ATCC 9341), *Salmonella typhi* (ATCC 19430), *Staphylococcus aureus* (ATCC 25923), (collection of microorganisms of the Microbiological Laboratory – State University of Maringá), phytopathogenic bacterium *Xanthomonas asc. Phaseoli* (collection of pathogenic microorganisms of Cenargen – Embrapa Genetic and Biotechnological Resources) and phytopathogenic fungi *Alternaria alternata*, *Colletotrichum sp.*, *Guignardia citricarpa* and *Moniliophthora perniciosa* (donated by João Lúcio de Azevedo Lab – ESALQ-USP), *Colletotrichum gloesporioides*, *Dydimella bryoniae*, *Fusarium solani* f. sp. *glycines*, *Sclerotinia sclerotiorum* (donated by Phytopathology Lab – Department of Agronomy - UEM).

Cup plate diffusion technique was used for antimicrobial analysis from the metabolite extraction of endophyte isolate. Bacteria under analysis were grown for 24h in LB liquid medium (pH 7.0) (18), adjusted to a concentration of  $10^6$  cell/mL. Antibiotic Tetracycline (Sigma) ( $50 \mu\text{g.mL}^{-1}$  absolute ethanol) and water methanol were respectively employed as positive and negative controls. Bacteria were inoculated ( $100 \mu\text{L}$ ) on petri plates with LB medium and spread with a Drigalsky spatula. Four Whatman sterile paper discs n. 4 ( $\varnothing 6$

mm) were then placed at equal distance and inoculated by  $10 \mu\text{L}$  metabolite extract. Plates were kept incubated at  $37^\circ\text{C}$  during 24h. Antimicrobial activity was evaluated by inhibition halo formation, according to Souza *et al.* (21).

Phytopathogen inhibition test was undertaken according to Li *et al.* (11), with modifications. Fungi were grown in medium BDA at  $27^\circ\text{C}$  for 7 days; solution of spores in tween was prepared;  $100 \mu\text{L}$  suspension was inoculated in petri plates and spread with a Drigalsky spatula. Four Whatman sterile paper discs n. 4 ( $\varnothing 6$  mm) were then placed at equal distance and inoculated by  $10 \mu\text{L}$  metabolite extract. Fungicide Derosal plus® with a  $10^{-1}$  dilution of Methyl benzimidazol-2-ylcabamato (Carbendazim)  $150\text{g/L}$  (15,0% m/v); Tetramethylthiuram disulfide (TIRAM)  $350\text{g/L}$  (35,0% m/v). Inert ingredient  $667\text{g/L}$  (66,7% m/v), water and methanol were respectively employed as positive and negative controls. Plates were kept incubated at  $27^\circ\text{C}$  for 7 days. Antimicrobial activity was evaluated by inhibition halo formation.

Results were statistically evaluated by variance analysis ( $p < 0.05$ ) for the comparison of averages using SAS (19).

## RESULTS AND DISCUSSION

### Analysis of Nuclear Magnetic Resonance (NMR) of $^1\text{H}$ and $^{13}\text{C}$

The chemical analysis of the crude extract (Figures 1A, 1B, 1C, and 1D) and of the fractions separated by CC from the bioactive compound produced by endophytic fungus *D. helianthi* yielded the isolation of carbinolic hydrogens, namely, glucosides and aromatic hydrogens, and identified the compound 2-(4 hydroxyphenyl) ethanol, known as Tyrosol.

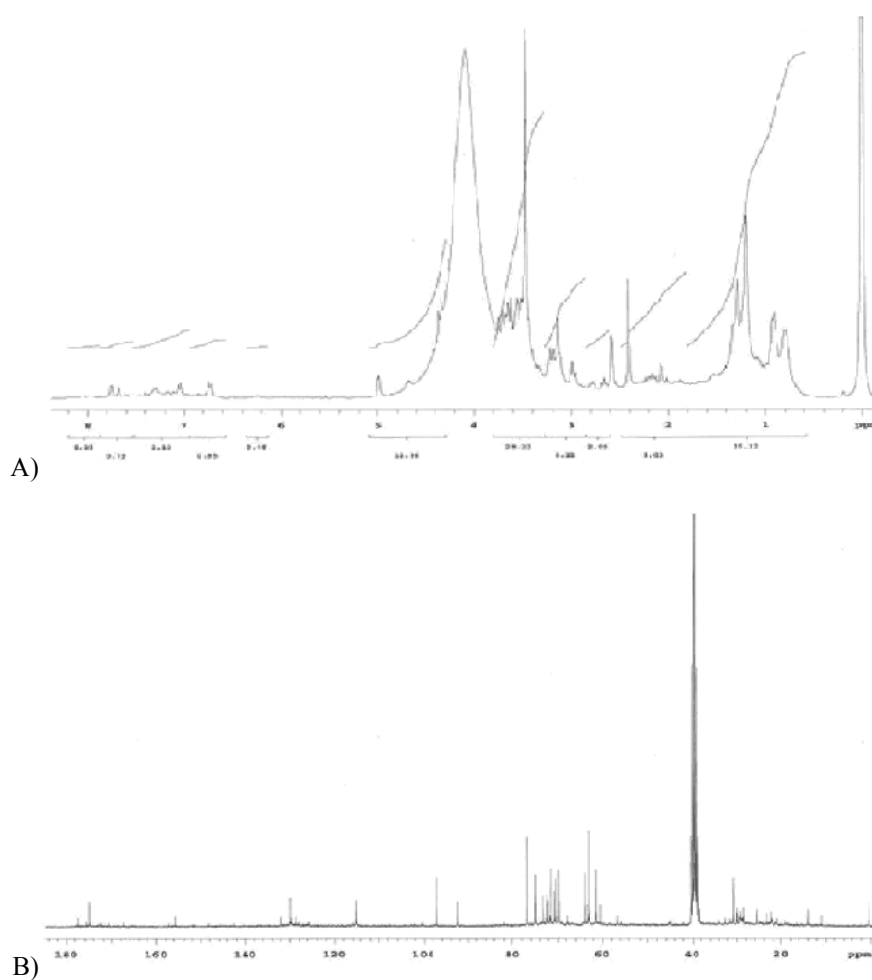
The structure of the isolated substance was identified by uni- and bi-dimensional NMR spectroscopy data analysis and by their comparison with data from the literature (12, 25).

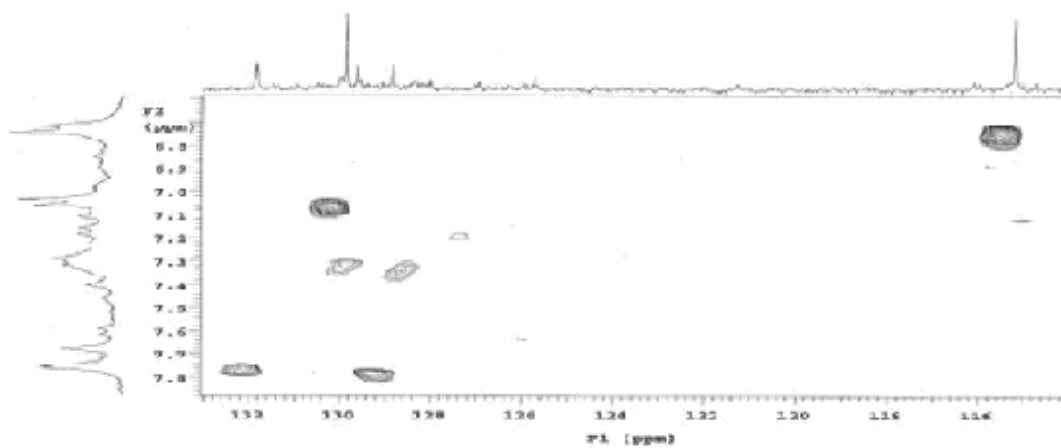
Fraction 1, representing 49.8% of total weight in mg of metabolite extracted from *D. helianthi*, is constituted by carbinolic hydrogens (glucosides) H-C-OH, fraction 2, weight

17.6 mg, is similar to fraction 1 and features glucosides in its composition. Fraction 3 shows hydrogens of the methyl group (CH<sub>3</sub>); aliphatic hydrogens of CH<sub>2</sub>, carbinolic hydrogens, hydrogens of the aromatic system. Similar to fraction 3, fraction 4 has an aromatic region.

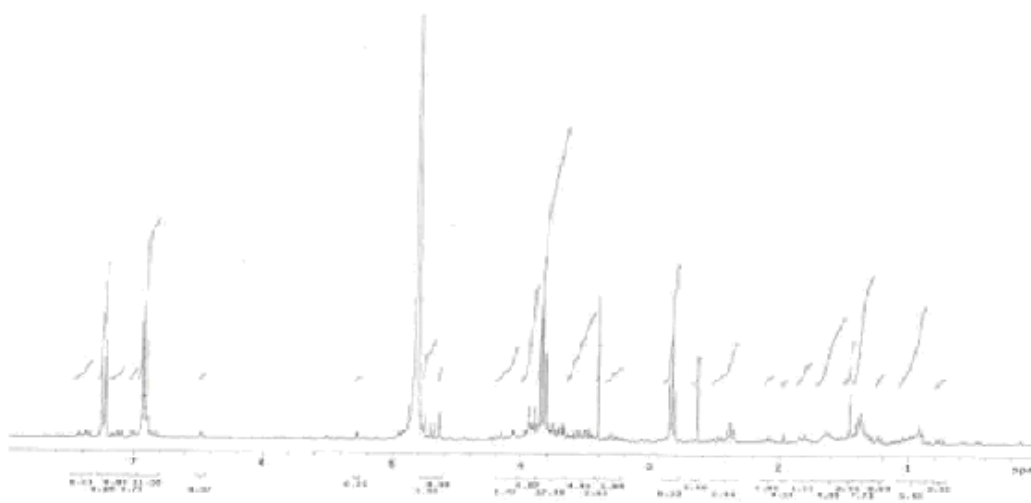
The compound 2-(4 hydroxyphenyl)-ethanol is identified in fraction 5 (Figure 1E), with 4.6 mg, by means of aromatic hydrogens with defined doubles with the same coupling constant  $\delta_H$  7.23 (2H, d, J=8.4 Hz) and  $\delta_H$  6.91 (2H, d, J=8.4 Hz). It may be affirmed that these hydrogens are orthoposition-coupled owing to J rate. Triplets corresponding to aliphatic hydrogens  $\delta_H$  3.84 (2H, t, J=6.7 Hz),  $\delta_H$  2.83 (2H, t, J=6.7 Hz) have also been reported. Hydrogens are coupled owing to J rate, whereas the integration of two hydrogens occurs in all

peaks. Above data have been confirmed by the literature (12, 17, 22). A bi-dimensional spectrum of correlation 1H x 1H (COSY) (Figure 1F) was undertaken due to the purity degree of the fraction, to the obtained peaks and to the good results in the microbiological assay. Correlation of hydrogen  $\delta_H$  7.23 with  $\delta_H$  6.91 and hydrogen  $\delta$  3.84 with  $\delta$  2.83 was reported. Since a small amount of mass was involved, NMR spectrum of <sup>13</sup>C was not undertaken. However, when chemical displacement of carbon ( $\delta_C$ ) of <sup>13</sup>C of fraction 5 by the HSQC spectrum of the crude fraction was analyzed (Figure 1C), correlations of <sup>1</sup>H x <sup>13</sup>C between <sup>1</sup>H for  $\delta_H$  7,23 ppm with <sup>13</sup>C  $\delta_C$  130 ppm ( $\delta_C$  129.85 ppm, carbons 4' and 8') and between <sup>1</sup>H  $\delta_H$  6.91 ppm with <sup>13</sup>C  $\delta_C$  115 ppm ( $\delta_C$  115.19 ppm, carbons 5' and 7') were reported.

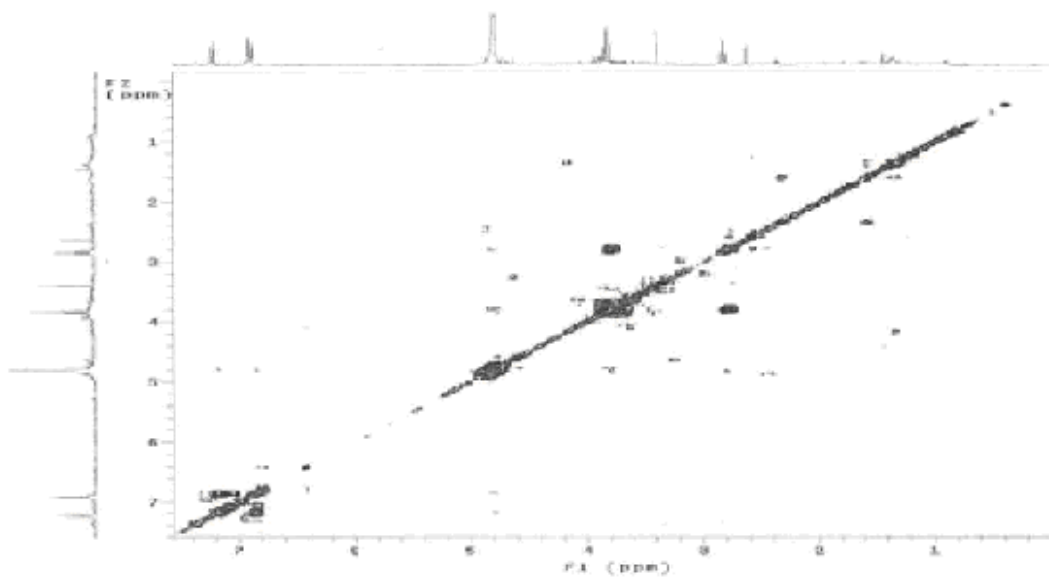




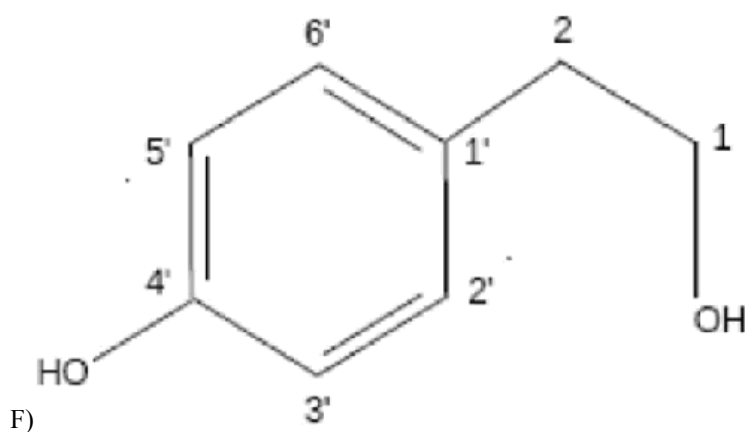
C)



D)



E)



**Figure 1.** RMN spectrums of  $^1\text{H}$  and  $^{13}\text{C}$ . (A) RMN spectrum of  $^1\text{H}$  (DMSO, 300 MHz) from crude extract. (B) RMN spectrum of  $^{13}\text{C}$  (DMSO, 75 MHz) from crude extract. (C) Espectrum de HSQC ( $^1\text{H} \times ^{13}\text{C}$ ) (DMSO from crude extract). (D) RMN spectrum of  $^1\text{H}$  ( $\text{D}_2\text{O}$ , 300 MHz) from fraction 5. (E) Spectrum of  $^1\text{H} \times ^1\text{H}$  – COSY ( $\text{D}_2\text{O}$ , 300 MHz) from fraction 5. (F) 2-(4-hidroxifenil)-etanol (Tirosol).

Conferring the literature (Table 2), data indicate Tyrosol (12, 17, 25), a well-known phenolic compound with antioxidant qualities found in wine and olive oil. It is produced by soil fungi

and is beneficial when human pathologies, such as cardiovascular diseases and thromboses, occur. It also presents antifungal activity against *Lagenidium callinectes* and *Gibberella pulicaris* (9).

**Table 2.**  $^1\text{H}$  e  $^{13}\text{C}$  data for compound Tyrosol (300 MHz).

N°	Fraction 5		Owen <i>et al.</i> (2000)	Ravirosa <i>et al.</i> (2006)	
	$^1\text{H}$ NMR	$^{13}\text{C}$ NMR	$^1\text{H}$ NMR	$^1\text{H}$ NMR	$^{13}\text{C}$ NMR
1'	3.84 t (6.7)		3.67 (t)	3.83 t (6.5)	63.4 t
2'	2.83 t (6.7)		2.70 (tt)	2.80 t (6.5)	38.2 t
3'					
4'	7.23 d (8.4)	129.85 d	7.01 (dt)	7.10 d (8.4)	130.1 d
5'	6.91 d (8.4)	115.19 d	6.69 (d)	6.78 d (8.4)	115.4 d
6'					
7'	6.91 d (8.4)	115.19 d	6.68 (d)	6.78 d (8.4)	115.4 d
8'	7.23 d (8.4)	129.85 d	7.01 (dt)	7.10 d (8.4)	130.1 d

Tyrosol has been identified as a quorum-sensing molecule, a signaler in the growth and morphogenesis of *Candida albicans*. Tyrosol is self-stimulated and increases its concentration according to population increase. In fact, it induces response as a bioluminescence, antibiotics production, biofilm formation and virulence (7).

In their assays with the endophytic fungus *Glomerella cingulata* isolated from *Viguiera arenaria*, Guimaraes *et al.* (8) identified Tyrosol in one of the analyzed fractions and tested its activities on human T leukemia cells, although no cytotoxic

activity was reported. Its anti-microbial activity against *E. coli* was tested, with minimum inhibitory concentration at 187 $\mu\text{g/mL}$ .

Fractions 7, 8 and 9 yielded a highly similar spectrum to that of Fraction 3 above. However, a better analysis of these fractions, especially 8 and 9, failed due to their small mass. Fraction 10 show that the main component is an aromatic compound. However, due to its small quantity, a better purification of the fraction was unavailable and new tests for its complete identification were impossible.

**Evaluation of antibacterial activity**

The metabolic extract from the fermented medium of endophytic fungus *D. helianthi* used for the evaluation of antimicrobial activity. When compared with the control, the metabolite had a significant statistically antibacterial activity on all pathogenic bacteria under analysis. Results were more pronounced

for *E. coli* and *S. typhi* (Table 3). Results for *E. coli* corroborated those by Bernadi-Wenzel (5).

Fraction test on the same bacteria was undertaken so that the activities of each separate compound in the crude extract may be evaluated. With its composition identified, fraction 5 showed inhibitory activity on *S. aureus*, *E. coli* and *M. luteus* (Table 4).

**Table 3.** Antagonistic activity (halo size) of secondary metabolite (crude extract) of *D. helianthi* with pathogenic bacteria

Bacteria	Halo size (cm)	Control 1	Control 2	Control 3
<i>E. hirae</i>	0.75±0.07 b	4.00±0.00 a	0.00±0.00 c	0.00±0.00 c
<i>S. typhi</i>	0.98±0.11 b	3.79±0.07 a	0.00±0.00 c	0.00±0.00 c
<i>S. aureus</i>	0.83±0.14 b	3.63±0.13 a	0.00±0.00 c	0.00±0.00 c
<i>E. coli</i>	1.07±0.05 b	3.17±0.14 a	0.00±0.00 c	0.00±0.00 c
<i>M. luteus</i>	0.72±0.02 b	3.92±0.07 a	0.00±0.00 c	0.00±0.00 c
<i>Xanthomonas sp</i>	0.77±0.03 b	4.00±0.00 a	0.00±0.00 c	0.00±0.00 c

Control: 1 – Antibiotic; 2 - Methanol; 3 – Water.

\* Means followed by same letter on the line do not differ among themselves by Tukey's test ( $p>0.05$ )

**Table 4.** Antagonistic activity (halo size in cm) of *D. helianthi* fractions with pathogenic bacteria

Fractions	<i>E. hirae</i>	<i>S. typhi</i>	<i>S. aureus</i>	<i>E. coli</i>	<i>M. luteus</i>	<i>Xanthomonas sp</i>
1	0.0±0.00 d	0.75±0.07 bc	0.75±0.02 b	0.75±0.02 c	0.90±0.14 b	0.0±0.00 f
2	0.0±0.00 d	0.73±0.04 bc	0.72±0.04 b	0.74±0.01 c	0.0±0.00 f	0.83±0.04 c
3	0.0±0.00 d	0.77±0.00 bc	0.74±0.03 b	0.77±0.00 c	0.0±0.00 f	0.0±0.00 f
4	0.0±0.00 d	0.0±0.00 d	0.72±0.02 b	0.80±0.00 c	0.78±0.11 cd	0.0±0.00 f
5	0.0±0.00 d	0.0±0.00 d	0.79±0.08 b	0.78±0.00 c	0.70±0.00 e	0.0±0.00 f
6	0.87±0.06 bc	0.73±0.03 c	0.76±0.05 b	0.73±0.06 c	0.0±0.00 f	0.0±0.00 f
7	0.87±0.03 bc	0.85±0.18 bc	0.77±0.03 b	1.00±0.09 b	0.0±0.00 f	0.95±0.35 b
8	1.06±0.20 b	0.74±0.05 c	0.91±0.10 b	0.76±0.05 c	0.0±0.00 f	0.0±0.00 f
9	0.96±0.15 bc	0.91±0.02 b	0.86±0.13 b	0.80±0.12 c	0.80±0.14 c	0.70±0.00 e
10	0.77±0.09 c	0.70±0.00 c	0.73±0.03 b	0.79±0.09 c	0.72±0.02 de	0.80±0.00 d
Control 1	4.0±0.00 a	3.0±0.00 a	3.42±0.19 a	2.58±0.07 a	3.00±0.00 a	4.00±0.00 a
Control 2	0.0±0.00 d	0.0±0.00 d	0.0±0.00 c	0.0±0.00 d	0.0±0.00 f	0.0±0.00 f
Control 3	0.0±0.00 d	0.0±0.00 d	0.0±0.00 c	0.0±0.00 d	0.0±0.00 f	0.0±0.00 f

Control: 1 – Antibiotic; 2 - Methanol; 3 – Water.

\* Means followed by the same letter in the column do not differ among themselves by Tukey's test ( $p>0.05$ )

Wang *et al.* (23) tested the bacterial and fungicide potential of isolated endophytic fungi of *Quercus variabilis*. Tests were undertaken for *E. coli*, *Bacillus subtilis* and *Pseudomonas fluorescens* and for five fungi *Trichophyton rubrum*, *Candida albicans*, *Aspergillus niger*, *Epidermphyton floccosum* and *Microsporium canis*. Moreover, 53.7% of the isolated 67 endophytes inhibited the tested pathogenic microorganisms. Endophytic fungi have an important bactericide potential since good results against 40.3% of the pathogenic bacteria were obtained.

Abdou *et al.* (1) tested the antibacterial activity of the endophyte *Botryosphaeria rhodina* isolated from *Bidens pilosa*. Results showed that two out of the four metabolic compounds tested were efficient against *Bacillus subtilis*. The authors concluded that the production of bioactive compounds by endophyte fungi may be involved within the endophyte-plant relationship owing to their ability in protecting the plant against pathogens.

Phongpaichit *et al.* (15) tested antimicrobial activity in an endophyte fungi culture which had been isolated from five

species of *Garcinia* sp all a total of 377 fungi. Results showed that 18.6% had an antimicrobial activity at least against one pathogenic microorganism, 53% were efficient against *S. aureus* and *Cryptococcus neoformans* strains and 4.3% inhibited *C. albicans*. However, no endophyte inhibited gram-negative bacteria such as *E. coli*, *Pseudomonas aeruginosa* and *Microsporum gypseum*.

Xu *et al.* (24) tested the antibacterial activities of endophytic fungi isolated from the rhizomes of the Chinese medicinal herb *Dioscorea zingiberensis*. Seven out of the nine endophytes isolated showed antibacterial activity at least against three of the four tested pathogenic bacteria (*E. coli*, *Xanthomonas vesicatoria*, *B. subtilis*, *Staphylococcus haemolyticus*). Results show that endophytes are a source of bioactive compounds.

#### Evaluation of antifungal activity

*D. helianthi* metabolite, produced by fermentation and extracted by ethyl acetate, was tested for seven phytopathogenic fungi. Fraction 5 was then tested against pathogen *M. perniciosa*.

However, when compared to control, there was no statistically significant anti-fungus activity in the crude extract or in any of its fractions. This is probably due to the manner the experiment was undertaken. If certain modifications in the protocol had been undertaken, results would have been probably positive with regard to the inhibition of certain phytopathogens as the literature shows.

The chemical investigation of the secondary metabolite of *D. helianthi* identified the phenolic compound 2-(4-hydroxyphenyl)-ethanol known as Tyrosol, already found in wine and olive oil. Other plant-associated fungi produce Tyrosol with its antimicrobial characteristics and its activity in human pathogens such as cardiovascular diseases and thrombosis.

Assays in current research using the crude extract and fractions of secondary metabolites and *D. helianthi*-produced compound showed an antibacterial activity. Since there is

evidence of the bioactive potential of endophyte fungi, the importance of the chemical and biological importance of these microorganisms is thus enhanced.

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