New Method for Obtaining Complex Roots in the Semiclassical Coherent-State Propagator Formula

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A semiclassical formula for the coherent-state propagator requires the determination of specific classical paths inhabiting a complex phase-space and governed by a Hamiltonian flux. Such trajectories are constrained to special boundary conditions which render their determination difficult by common methods. In this paper we present a new method based on Runge-Kutta integrator for a quick, easy and accurate determination of these trajectories. Using nonlinear one dimensional systems we show that the semiclassical formula is highly accurate as compared to its exact counterpart. Further, we clarify how the phase of the semiclassical approximation is correctly retrieved during the time evolution.

I Introduction

Semiclassical methods have a long history in the study of several quantum systems. These methods (also termed quasi-classical) are able to describe the behavior of quantum states as expansions in terms of the classical behavior. There is a characteristic limit for these expansions in which the associated action of the system is sufficiently large if compared to the fundamental constant \hbar . However, since the characterization of quantum states strongly depends on the representation, the semiclassical limit (or the semiclassical approximation to the state) can be implemented by numerous methods.

Interesting questions arise when a representation that makes use of the phase-space is introduced [1][2]. As we know, such representation can not be unique since the uncertainty principle precludes the complete description of states depending on canonical conjugate variables. However, phase-space representations can indeed provide useful information mainly if carried out in the semiclassical limit (formally written as the limit $\hbar \to 0$).

The concept of coherent-state was created in 1926 by E. Schrödinger [3] while a systematic study of these states started much later in quantum optics [4], thanks to the formal equivalence of the evolution between the harmonic oscillator states and the quantized oscillation modes of the electromagnetic field. In terms of the oscillator states, |n>, a coherent-state can be written

as

$$|z> = \exp\left(-\frac{1}{2}|z|^2\right) \sum_{n=0}^{\infty} \frac{z^n}{\sqrt{n!}} |n>, (1)$$

where z is the coherent state label associated to the eigenvalue

$$z = \frac{1}{\sqrt{2}} \left(\frac{q}{b} + i \frac{p}{c} \right) \tag{2}$$

of the creation and destruction state operators [5]. In (2), q and p are the average position and momentum of the state (1) and b and c are the corresponding uncertainties such that

$$bc = \hbar. (3)$$

The dynamics of quantum systems is fully determined by the so called coherent-state propagator (CSP), which is just the coherent-state matrix elements of the time evolution operator

$$K(z'', z', t'' - t') = \langle z'' | \exp\left(-i\frac{\hat{H}(t'' - t')}{\hbar}\right) | z' \rangle,$$
(4)

where \hat{H} is the system Hamiltonian operators and t''-t' is the time interval between the initial and final states in consideration. Coherent-states are a class of the so-called continuous representations [6]. The elements given by (4) contain the entire quantum dynamics associated to the system. For instance, an initial state can be easily evolved in time by using a propagation integral where (4) acts as a kernel. On the other hand, the Fourier transform of the diagonal elements of (4) is

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an analytical function whose poles are the energy levels of the system.

In the context of the formalism represented by the CSP, an interesting program is the search for a semiclassical approximation to (4) [6] in the steps of similar works [7] which were able to provide state transition amplitudes built in terms of an underlying classical skeleton. Such semiclassical approximation formula exists [8-10] and, as we will show, is able to provide accurate values for the CSP even in the purely quantum regime, that is, for non zero values of \hbar .

The organization of the paper is as follows: in section II we give the main elements for the semiclassical coherent-state propagator (SCSP) formula, emphasizing the role of the complex roots. In section III we describe a new method for finding complex trajectories by a simple Runge-Kutta integrator. In section IV we discuss the behavior of the SCSP phase factor under time evolution and give some examples of SCSP calculation with one dimensional systems (harmonic and quartic wells). Finally, in section V we discuss the main results.

II Semiclassical formulas for the CSP

In a previous paper [10] we have presented the main relations describing the semiclassical approximation to the CSP. The SCSP is based on a steepest descent procedure in which special roots are used to construct the semiclassical version of the coherent-state kernel. These roots can be viewed as classical trajectories that obey special boundary conditions in a complex phase space (that is, both position and momentum are complex variables). Usual classical dynamics is contained in the complex one for the set of trajectories whose imaginary position and momentum vanish. The aim of reference [10] was to provide a numerical program for the determination of the complex roots in terms of which the SCSP, K(z'', z', T), could be calculated in the time interval T = t'' - t'. This is because the hardest task in the SCSP approximation is the calculation of such complex trajectories. It is therefore highly recommended to developed alternative tools for this problem. We label here the complex roots by the variables u and ν which are functions of the complex conjugate pair z and z^* . The SCSP approximation is given by

$$\tilde{K}(z'', z', T) = \exp\left(-\frac{1}{2}|z''|^2 - \frac{1}{2}|z'|^2\right) \sum_{k} \sqrt{\frac{1}{\Delta_k}} \exp\left(\frac{i}{\hbar} S_k(\nu'', u', T) + i\sigma_k\right)$$
 (5)

Relation (5) is an approximation valid for \hbar small, that is \hbar small in comparison with other "actions" of the system (for instance the integral of pdq along a certain path in phase-space), and ν'' and u' stands for z'' and z' respectively. Also σ_k is a phase-factor gained after the time evolution which we will discuss later (section IV). The sum over k contains terms that are functions of complex paths connecting the initial and final states |z'| > and |z''| >. These complex trajectories are described in terms of complex the variables u and v evolving according to Hamilton equations

$$i\hbar\dot{u} = \frac{\partial \tilde{H}}{\partial \nu}, \quad i\hbar\dot{\nu} = -\frac{\partial \tilde{H}}{\partial u},$$
 (6)

which obey the boundary conditions u'=z' and $\nu''=z''$ *. The function \tilde{H} in Eqs (6) is the "smoothed" Hamiltonian

$$\tilde{H}(q,p) = \langle z | \hat{H}(q,p) | z \rangle. \tag{7}$$

To complete the description of Eq. (5), the function $S_k(\nu'', u', T)$ is the generalized action of the k-th contributing complex trajectory and is given by

$$S(\nu'', u', T) = \int_0^T \left[\frac{i\hbar}{2} (\nu \dot{u} - u\dot{\nu}) - \tilde{H} \right] dt - \frac{i\hbar}{2} (\nu'' u'' + \nu' u'). \tag{8}$$

Also, in Eq. (5) we have

$$\Delta_k = -i\hbar \left(\left| \frac{\partial^2 S_k}{\partial u' \partial \nu''} \right| \right)^{-1} \exp \left(-\frac{i}{\hbar} \int_0^T \frac{\partial^2 \tilde{H}}{\partial u \partial \nu} \Big|_k dt \right). \tag{9}$$

 $S_k(\nu'', u', T)$, \tilde{H} and Δ_k are complex functions. The dynamics generated by Eqs. (6) inhabits a complex phase space where both position and momentum have real and imaginary parts. This is a requirement of the semiclassical approximation $\tilde{K}(z'', z', T)$ since, from the point of view of the classical "real" mechanics, it is not always possible to find a classical trajectory linking z' to z'' during the time interval T. There are simply too many boundary conditions to be satisfied. By extending the dynamics over a complex algebra, it is possible to satisfy the additional conditions. Therefore, Eq. (5) gives the transition probability between states labeled by z' and z'' that are classically connected by complex trajectories.

The SCSP was successfully calculated for a variety of one dimensional systems [11]. In particular, its was possible to propose a new tunneling time for one dimensional scattering problems [12] where the dwelling time was simply taken as the time interval of the complex trajectory within the potential barrier.

III New numerical method for calculating the complex roots of the SCSP

We have previously proposed [11] a method based on the monodromy matrix [13] for the determination of complex trajectories of the SCSP. Given an initial guess trajectory, this method iterates the entire initial guess for a number of times according to the linearized dynamics as in the usual Newton's method. Convergence is attained when the final corrected trajectory satisfies Eq. (6) within a given accurancy range. The advantage of this method was the determination of the SCSP amplitude factor, Eq. (9), as a byproduct of the process. However, the monodromy method is of somewhat hard implementation, besides suffering from lack of convergence if the initial guess orbit is not sufficiently close to the answer.

Hamilton equations, Eqs. (6), suggests that a next point predictor method could be used [14], the only difficulty being how to fulfill the unusual boundary conditions u'=z' and $\nu''=z'$ *. Here we present a new method which implements this idea. In what follows we work out the main relations for one dimensional systems only.

Let us denote the complex phase-space variables by

$$q = x_1 + ip_2,$$

 $p = p_1 + ix_2, (10)$

where x_1 , p_2 , p_1 and x_2 are real numbers. If we restrict ourselves to analytical Hamiltonians [11], we can show that Eqs. (6) become

$$\dot{x}_1 = \frac{\partial \tilde{H}_1}{\partial p_1}, \quad \dot{x}_2 = \frac{\partial \tilde{H}_1}{\partial p_2},$$

$$\dot{p}_1 = -\frac{\partial \tilde{H}_1}{\partial x_1}, \quad \dot{p}_2 = -\frac{\partial \tilde{H}_1}{\partial x_2},$$
(11)

We see that the one dimension problem (two degrees of freedom in classical phase-space) was transformed into a two dimensional one (four degrees of freedom in complex phase-space). In Eqs. (11) we have

$$\tilde{H}_1 = \Re[\tilde{H}]. \tag{12}$$

Further, the boundary conditions to Eqs. (11) are

$$x'_{1} - \left(\frac{b}{c}\right) x'_{2} = q', \quad x''_{1} + \left(\frac{b}{c}\right) x''_{2} = q'',$$

$$p'_{1} + \left(\frac{c}{b}\right) p'_{2} = p', \quad p''_{1} - \left(\frac{c}{b}\right) p''_{2} = p'', \tag{13}$$

In order to integrate Eqs. (11) by Runge-Kutta methods for instance, we need the initial conditions $x_1(0)$, $p_1(0)$, $x_2(0)$ and $p_2(0)$. These are unfortunately unknown since only the propagator labels q', p', q'', p'' and the total time T are given. Let us however find a way out. First we choose the initial variables $x_1(0)$ and $p_1(0)$ as the initial guess for each trajectory to be integrated. According to (13) we have

$$x_2(0) = \frac{c}{b}(x_1(0) - q'),$$

$$p_2(0) = \frac{b}{c}(p' - p_1(0)),$$
(14)

that is, given the pair (x'_1, p'_1) , the complete set of initial conditions is determined. Integrating Eqs. (11) using these initial conditions led to a trajectory that often fail to satisfy the *final* boundary conditions

$$x_1(T) + \left(\frac{b}{c}\right) x_2(T) = q'',$$

$$p_1(T) - \left(\frac{c}{b}\right) p_2(T) = p'',$$
(15)

Let us define the function

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$$D(x_1', p_1', T) = \sqrt{\left[x_1(T) + \frac{b}{c}x_2(T) - q''\right]^2 + \left[p_1(T) - \frac{c}{b}p_2(T) - q''\right]^2}$$
(16)

which is calculated after integrating Eqs. (11) under the initial conditions (14) and (15). This function actually measures the distance of the initial guess (x'_1, p'_1) to the answer. Therefore, looking for an answer to the complex root problem under (13) was converted into a search for the zeros of (16). This process is illustrated in Fig.1.

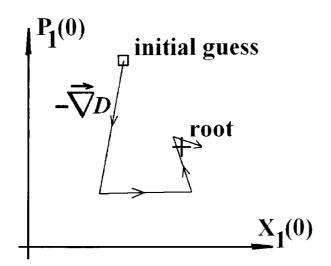


Figure 1. Schematic representation of the search for zeros of D in the space of initial guesses (x'_1, p'_1)

In the space of initial variables (x'_1, p'_1) , we start from an initial guess and calculate the gradient vector of D,

$$\vec{\nabla}D = \left(\frac{\partial D}{\partial x_1'}, \frac{\partial D}{\partial p_1'}\right),\tag{17}$$

which points to the negative of the decreasing values of D. The initial variables are updated at every j-th iteration step according to

$$x_{1}^{(j)}(0) = x^{(j-1)} - \epsilon \frac{\partial D^{(j)}}{\partial x_{1}'},$$

$$p_{1}^{(j)}(0) = p^{(j-1)} - \epsilon \frac{\partial D^{(j)}}{\partial p_{1}'},$$
(18)

with ϵ a small distance in the space (x_1', p_1') . In order to fasten convergence, the value of the gradient of D is only updated when its value in a given iteration surpass the value of a previous one. Also, to attain accuracy and convergence, the value of the distance should be decreased proportionally to D. Iteration continues until the value of D becomes smaller than a certain $\delta > 0$.

Before presenting some examples of the method at work, we give the relations of the SCSP as function of the dynamical variables (x'_1, p'_1) which label the complex root for a given boundary condition. The prefactor, Eq. (9), contains the second derivative of S_k which is

$$\frac{\partial^2 S}{\partial u' \partial \nu''} = \hbar \left[\left(\frac{b}{c} \right) \frac{\partial p_1''}{\partial q'} - \left(\frac{c}{b} \right) \frac{\partial x_1''}{\partial p'} - i \left(\frac{\partial x_1''}{\partial q'} + \frac{\partial p_1''}{\partial p'} \right) \right] \tag{19}$$

After finding a root, the function (19) is found by calculating the sensitivity of the final trajectory coordinates x_1'' and p_1'' under changes in q' and p'. Writing the complex action, Eq. (8) in the form

$$S(\nu'', u', T) = I_s + f,$$

with

$$I_s = \int_0^T \left[\frac{i\hbar}{2} (\nu \dot{u} - u \dot{\nu}) - \tilde{H} \right] dt,$$

$$f = -\frac{i\hbar}{2} (\nu'' u'' + \nu' u'),$$

then

$$I_{s} = \frac{1}{2} \int [p_{2}dx_{2} + p_{1}dx_{1} - (x_{1}dp_{1} + x_{2}dp_{2})] +$$

$$+i\frac{1}{2} \int [x_{2}dx_{1} + p_{1}dp_{2} - (x_{1}dx_{2} + p_{2}dp_{1})] +$$

$$[\tilde{H}_{1}(x_{1}, p_{1}, x_{2}, p_{2}) + i\tilde{H}_{2}(x_{1}, p_{1}, x_{2}, p_{2})]T, \qquad (20)$$

since \tilde{H} is a constant of motion. Also

$$f = \frac{1}{2}[q''p_1'' + p'x_1' - (p''x_1'' + q'p_1')] - \left[\left(\frac{c}{b}\right)(q''x_1'' + q'x_1') + \left(\frac{b}{c}\right)(p''p_1'' + p'p_1')\right] + \frac{i\hbar}{2}(|z''|^2 + |z'|^2) \tag{21}$$

Finally, in Eq. (9), the phase of the exponential is

$$\int_{0}^{T} \frac{\partial^{2} H}{\partial u \partial \nu} dt = \frac{1}{2} \int_{0}^{T} \left(b^{2} \frac{\partial^{2} \tilde{H}_{1}}{\partial x_{1}^{2}} + c^{2} \frac{\partial^{2} \tilde{H}_{1}}{\partial p_{1}^{2}} \right) dt - \frac{i}{2} \int_{0}^{T} \left(b^{2} \frac{\partial^{2} \tilde{H}_{1}}{\partial x_{1} \partial p_{2}} + c^{2} \frac{\partial^{2} \tilde{H}_{1}}{\partial x_{2} \partial p_{1}} \right) dt$$

$$(22)$$

where we use the fact that \tilde{H} is an analytic function of q and p.

IV Examples of SCSP determination

The complete determination of the SCSP in Eq. (5) also requires to find the phase σ_k which arises from the phase of the amplitude term (19). A given complex function z(t) can be represented in Cartesian notation

$$z(t) = a(t)ib(t), (23)$$

with a(t) and b(t) real functions. However, one can also write z(t) in the polar form

$$z(t) = r(t) \exp(i\alpha(t) + in\pi), \tag{24}$$

with n = [0, 2, 4, ...] and the phase

$$\alpha(t) = \arctan\left(\frac{b(t)}{at}\right).$$
 (25)

Suppose that we take $\sqrt{z(t)}$. A phase factor arises in the polar representation since

$$\sqrt{z} = \sqrt{r} \exp\left(\frac{i\alpha(t)}{2} + \frac{in\pi}{2}\right)$$

and the "true" phase is clearly underestimated since using (25)

$$-\frac{\pi}{2} \ge \alpha(t) \ge \frac{\pi}{2}.$$

So, in order to correctly retrieve the phase, we have to follow the sign of the functions a(t) and b(t). Let us call the "true" phase $\varphi(t)$ and further assume that z(t) is a periodic function of t with period τ . Table I gives the correct phase in terms of the sign of a(t) and b(t). Moreover, every time that $t > m\tau$ with m an integer number, the phase $\varphi \to \varphi + 2\pi$. We take the number z(t) as the complex function (19)

$$\frac{\partial^2 S}{\partial u' \partial u''} = a(T) + ib(T),$$

so that

$$\sigma(T) = \frac{1}{2}\alpha(T) + s\frac{\pi}{2} + \rho\pi, \tag{26}$$

with s=0,1,1, or 2 according to the line case in Table 1 (1,2,3 or 4, respectively) and $\rho=1,2,3,...$ as T becomes larger than a multiple of the period of function

(19). Note that the phase (26) and the assumption of periodicity is valid for closed systems (such as quantum wells). In the case of opened system (scattering problems) $\rho = 0$. Each complex trajectory contributing to (5) has its proper phase factor.

Table I: Relation between the phase α and the true phase φ of the complex number z as a function of the sign in $\alpha(t)$ and b(t). Four cases are distinguished.

$\alpha(t)$	b(t)	α	φ
> 0	≥ 0	$0 \le \alpha \le \pi/2$	$\varphi = \alpha \ (1)$
< 0	≥ 0	$\pi/2 < \alpha \le \pi$	$\varphi = \alpha + \pi \ (2)$
< 0	≤ 0	$\pi \le \alpha < 3\pi/2$	$\varphi = \alpha + \pi \ (3)$
> 0	≤ 0	$3\pi/2 < \alpha \le 2\pi$	$\varphi = \alpha + 2\pi(4)$

To illustrate the application of the method and the excellence of the SCSP in comparison to the exact calculation of the CSP we present some examples. We restrict to one dimensional Hamiltonians of the type (harmonic and quartic terms)

$$H(q,p) = \frac{1}{2}p^2 + \frac{1}{2}\lambda q^2 + \beta q^4,$$
 (28)

with $\beta \geq 0$. If $\beta = 0$ we obtain the simple harmonic oscillator for which the SCSP is exact. The smoothed Hamiltonian is easily found to be

$$\tilde{H}\frac{1}{2}p^2 + \frac{1}{2}(\lambda + 6\beta b^2)q^2 + \beta q^4 + \frac{1}{4}(c^2 + \lambda b^2 + 3\beta b^4), \tag{29}$$

where the "zero point" energy appears as a constant. The coherent state nature of the initial state also modifies the harmonic potential so that the new harmonic frequency is

$$\omega = \omega_{\text{classical}} \sqrt{1 + \frac{\beta b^2}{\lambda}}$$

in the presence of the quartic potential $(\beta \neq 0)$.

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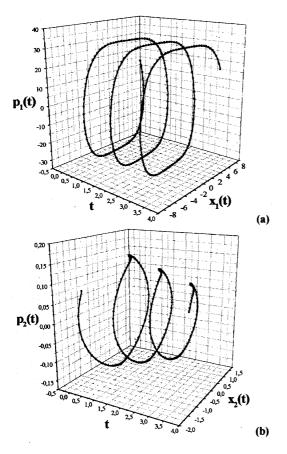


Figure 2. Perspective view in phase-time space of a complex trajectory in the quartic oscillator. (a) and (b) are the real and imaginary parts respectively.

In Fig.2 we see an example of complex trajectory calculated for the purely quartic system ($\lambda = 0$) and $\beta = 0.2$ using the method exposed in section III. This figure shows the time evolution of the coordinates (x_1, p_1) (part a) and (x_2, p_2) (part b) along t. The CSP labels are q' = 8, 0, q'' = 6.0, p' = p'' = 15.0and T = 3.5. This orbit is closed to a real trajectory for which $x_2(t) = p_2(t) = 0.0 (q' = q'' = 8.0,$ p' = p'' = 15.0) in which case the primitive period is 1.003. Therefore in the (x_1, p_1) graph, the trajectory shows three distinct turns along t with a structure that closely resemble the phase-space trajectory of quartic systems. A similar time evolution is seen in the imaginary part (b). The trajectory has 1000 points and the final value of the D function was 8.6×10^{-12} . The initial coordinates which generate this trajectory are

$$x_1(0) = 6.68642954,$$

$$p_1(0) = 14.9244986$$

In order to gain confidence in the accuracy of the SCSP, we must calculate the "exact" CSP and compare it to its semiclassical version. The exact CSP is obtained by its expansion in terms of the system eigenfunctions weighted by an amplitude factor that depends on the

system eigen energies:

$$K(z'', z', T) = \sum_{N} \langle z'' | n \rangle \langle n | z' \rangle \exp\left(-\frac{iE_n T}{\hbar}\right).$$
(30)

In Eq.(30), $\langle z|n \rangle$ is the Husimi function of the *n*-th eingenstate and E_n is the corresponding eigen energy. Both $|n\rangle$ and E_n are easily calculated by the diagonalization of Hamiltonian (28) in a suitable basis [15] and performing the projection integrals into the coherent-state representation.

Using the relations presented in section III for the SCSP, we have calculated the time evolution of both conventional CSP and SCSP for different values of λ and β using $\hbar = 1.0$ and b = 1.0. In Fig.3 we show the case $\lambda = 1.0$ and $\beta = 0.01$. The figure shows the perfect agreement between the exact CSP (line) and its semiclassical counterpart (circles) for both real and imaginary components from T = 0.0 to T = 10.0. In this case q' = q'' = 0.0 and p' = p'' = 1.0. At every propagator time T, a single complex trajectory with 3000 points is used to calculate the SCSP until $D \leq 10^{-12}$. In order to obtain a faster convergence, the (x'_1, p'_1) pair of a given trajectory is used as initial guess for the following one. In fact the number of time points between T=0.0and T = 10.0 is 1000 but we only show a sample with 50 points in Fig. 3 and 4.

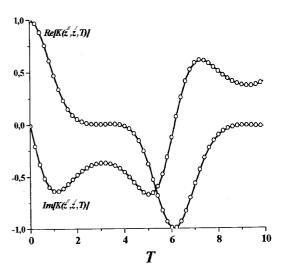


Figure 3. Time evolution of the real and imaginary parts of the exact (lines) and SCSP (circles) for the harmonic + quartic oscillator. The agreement is excellent.

The agreement between the exact CSP and the SCSP for $\hbar=1.0$ shows that the method is valid even outside the semiclassical range. As we previously said, the SCSP for the harmonic oscillator is exact, that is, it completely agrees with the CSP calculated by the conventional approach. This raises the question of whether the agreement in Fig. 3 could be explained by the perturbative character (a harmonic oscillator slightly perturbed by a quartic term) of the system at hand. To show that this is not the case, we calculated the time

evolution of a purely non linear system with $\lambda = 0.0$ and $\beta = 0.1$.

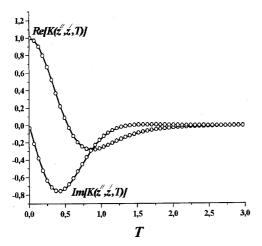


Figure 4. Time evolution of the real and imaginary parts of the exact (lines) and SCSP (circles) for a purely quartic oscillator. The agreement is excellent.

Fig. 4 shows the results from T=0.0 to T=3.0 Again the agreement between the real and imaginary parts of exact CSP and SCSP is excellent. This case was simulated with the same parameters of Fig. 3, q'=q''=0.0, p'=p''=1.0 and b=1.0. The same convergence approach was used, each time point (along 1000 points) is built from a single complex trajectory whose initial guess is taken from the previous (x'_1, p'_1) pair.

We would like to point out here that, in order to get the SCSP value for longer times in the quartic case, it is necessary to include contributions from several complex trajectories. These are generated by families of real trajectories at higher energies. Due to the dynamical character of the quartic potential, the shorter the period of its classical trajectories the higher their energies. Thus close to the propagator time T=0, an infinite number of complex trajectories exist but their contributions approach zero (the imaginary part of their complex action is very large) and the only relevant root is the so called "free" complex trajectory. Close to T=0, the initial coherent packet behaves as a free particle and the SCSP is therefore constructed by free complex paths.

V Conclusion

We have shown here that the semiclassical approximation in the coherent-state representation leads to accurate values of the CSP amplitudes even far from the semiclassical domain (large values of \hbar). The excellence and importance of the method is therefore confirmed. This importance is justified by the possibility of extending the semiclassical method over multi dimensional systems which would lead to interesting results mainly in the field of molecular dynamics.

We have presented a new method based on a simple Runge-Kutta algorithm that allows a quick and easy calculation of complex trajectories. Special care must be exercised with the phase factor [16] of the SCSP amplitude which arises from the amplitude factor of the semiclassical propagator.

The relative role of each complex trajectory in the SCSP amplitude (depending on the system) still needs to be further clarified. In the case of the quartic potential, the number of contributing complex trajectories grows with T as the number of turns of real trajectory families increases. This is not the case with other kinds of potentials where the orbit period - energy relation is different . The role of complex trajectories is specially important in the semiclassical determination of spectra by Fourier transform of the CSP diagonal elements. A work in this direction will be presented elsewhere in the future.

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