

ALDH1 expression and potential clinical implications in chronic inflammatory periapical lesions

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Declaration of Interests: The authors certify that they have no commercial or associative interest that represents a conflict of interest in connection with the manuscript.

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<https://doi.org/10.1590/1807-3107bor-2022.vol36.0019>

Submitted: December 14, 2020
Accepted for publication: July 5, 2021
Last revision: November 9, 2021

Abstract: Aldehyde dehydrogenase 1 (ALDH-1) is a marker of stem cells in a variety of diseases, but its role in individuals with chronic inflammatory periapical lesions remains unknown. The aim of this study was to investigate the presence of cells with a stem cell profile based on the immunoexpression of ALDH-1 in periapical granulomas (PGs) and radicular cysts (RCs). A total of 51 cases of periapical lesions (25 PGs and 26 RCs) were subjected to immunohistochemical study. The anti-ALDH-1 antibody was applied using the immunoperoxidase technique. An immunoexpression score (intensity *vs.* percentage of cells) was used, with the cases being classified as low expression (score: 0 to 4) and high expression (score: 6 to 9). The Chi-square test was used with a 5% level of significance. Immunoexpression of ALDH-1 was detected in all cases of PGs and RCs. In PG cases, the expression was diffuse in connective tissue cells, with most cases exhibiting high expression (n = 18; 69.2%), while in RC cases the expression revealed focal distribution in cells of the capsule and epithelial cells of the cystic lining, with most cases classified as low expression (n = 18; 72%). Significant differences in the expression scores of ALDH-1 were observed in PGs (p = 0.003). The variable expression of ALDH-1 suggests the presence of cells with stem cell profiles in PGs and RCs. These findings suggest that periapical tissues infiltrated by chronic inflammation can recruit important cells for the repair or evolution of periapical lesions.

Keywords: Aldehyde Dehydrogenase 1 Family; Immunohistochemistry; Periapical Diseases; Periapical Granuloma; Radicular Cyst.

Introduction

Chronic inflammatory periapical lesions, also known as chronic apical periodontitis or periapicopathies, are reactive inflammatory diseases due to the chronic evolution of the process of invasion of the root canal system by microorganisms after pulp tissue necrosis.^{1,2} Worldwide, about 52% of all adults have at least one tooth with apical periodontitis.³ Periapical granulomas (PGs) and radicular cysts (RCs) are the most common conditions among chronic inflammatory periapical lesions.^{1,2} Data from a Brazilian multicenter study on chronic inflammatory periapical



diseases revealed that RCs and PGs accounted for 59.9% and 39.6% of the entire sample, respectively.⁴

In view of the complexity of periodontal tissue and the local inflammatory microenvironment that involve great challenges for tissue repair, the use of stem cells has been recently considered an encouraging strategy for the treatment of tissue damage and inflammation.⁵ Stem cells have remarkable properties, including stemness, proliferation, migration, multiline differentiation, and immunomodulation.⁵⁻⁷ The presence of stem cells has already been detected in the periodontal ligament and dental pulp with an inflammatory process, including irreversible pulpitis, and these cells are considered to be similar to stem cells from healthy tissues.^{6,7} Moreover, the presence of stem cells derived from inflamed periapical tissues that demonstrated the immunophenotype of mesenchymal stem cells has been reported, with expression of several surface markers such as STRO-1, CD13, CD29, CD34, CD44, CD45, CD73, CD90, CD105, and CD146.⁷⁻⁹ Although studies have indicated that stem cells may be delivered to infectious sites and function as critical players in controlling inflammation and regulating immune responses to achieve regeneration in periodontitis models, the immunomodulatory capabilities of these cells in chronic inflammatory periapical lesions have not been fully elucidated.^{10,11}

Aldehyde dehydrogenase (ALDH) is a superfamily of enzymes that detoxify a variety of endogenous and exogenous aldehydes and are necessary for the biosynthesis of retinoic acid and other molecular regulators of cellular function.¹² The unbalanced biological activity of ALDHs has been linked to vital physiological and toxicological functions in inflammation, metabolic disorders, and cancers.^{12,13} Previous studies have shown that ALDH-1 has been increasingly used as a promising marker for identifying populations of cells with stem cell and/or progenitor profiles.^{12,13} The expression of this enzyme indicates activation of cellular functions related to self-renewal, expansion, and differentiation in both normal and tumor-initiating stem cells.¹²

Considering that ALDH-1 was expressed in dental pulp stem cells,¹⁴ the identification of cells with potential for division and capacity for self-programming into different cell subtypes may be

related to the formation, maintenance, and repair of chronic inflammatory periapical lesions. As far as we know, there are no studies available on the expression of ALDH-1 in these lesions. In the present study, we analyzed the immunoexpression of ALDH-1 in order to identify cells with stem cell profiles involved in periapical inflammatory lesions (PGs and RCs) and their possible implications in the inflammation/repair process.

Methodology

Ethical approval, study design, and tissue samples

The study was approved by the Ethics Committee of the University of Pernambuco (Approval No. 10723019.0.1001.514). The patients' identity remained anonymous according to the Declaration of Helsinki.

In a retrospective analysis, the sample was obtained from the archives of a diagnostic center in oral and maxillofacial pathology, University of Pernambuco, Camaragibe, Brazil. A total of 25 cases of PGs and 26 cases of RCs comprised the sample. Clinicopathological information was obtained from the patients' medical records and biopsies regarding sex, age, anatomical location, symptomatology, lesion size, and presence/absence of endodontic treatment of the tooth related to PG and RC.

All of the patients were treated surgically. The histopathological diagnosis of chronic inflammatory periapical lesions considered classical morphological patterns. The PG was characterized by the presence of granulation tissue with an intense mixed inflammatory infiltrate surrounded by a fibrous connective tissue wall. The RC was characterized by revealing a stratified squamous epithelium, which may demonstrate exocytosis, spongiosis, or hyperplasia. The cystic lumen may be filled with fluid and cellular debris and the cyst wall (capsule) consists of dense fibrous connective tissue that exhibits varying amounts of a chronic inflammatory infiltrate.

Immunohistochemical methods

For the immunohistochemical study, 3- μ m-thick sections were obtained from paraffin-embedded tissue blocks and placed on silanized glass slides. The

cuts were subjected to dewaxing, rehydration, and antigen recovery by immersion in Trilogy solution (Cell Marque; Rocklin, USA) (1:1000 dilution) in an electric pressure cooker. The blocking of endogenous peroxidase was performed with hydrogen peroxide. The primary anti-ALDH-1 antibody (Monoclonal, Abcam; Cambridge, MA, USA) was incubated at 1:2000 dilution in a humid chamber for 60 minutes. Next, the amplification reaction was performed using the HiDef detection™ - HRP Polymer System (Cell Marque; Rocklin, CA, USA). The chromogenic agent was diaminobenzidine (DAB; Sigma Chemical, St. Louis, USA) and counterstaining was performed with Harris hematoxylin. Negative controls were obtained by replacing the primary antibodies with normal rabbit serum (Dako; Carpinteria, USA). Samples of human liver were used as positive control, as recommended by the manufacturer.

Immunohistochemical analysis

Immunohistochemical analysis was performed using a Nikon E200 light microscope (Nikon, Tokyo, Japan). Tissue sections were examined at $\times 100$ and $\times 400$ magnification to identify areas that showed immunoreactive cells. All microscopic fields were examined. The analysis of ALDH-1 protein expression was adapted from the methodology described by Dai et al.¹⁵ and Huang et al.¹⁶. The evaluation system considered the percentage and intensity of staining of the cells examined. The percentage was classified as: 0 (< 5%, negative expression); 1 (5–25%, sporadic expression); 2 (> 25% to < 50%, focal expression); and 3 (> 50%, diffuse expression). The intensity of immunostaining was classified as: 0 (negative); 1 (weak); 2 (moderate); and 3 (strong). A final score was determined using the formula: immunoexpression score = intensity \times percentage of cells. Therefore, we obtained the following classification: low expression (score: 0 to 4) and high expression (score: 5 to 9).

Data analysis

Descriptive and quantitative data analysis was conducted using the Statistical Package for the Social Sciences (SPSS) software, version 25.0 (SPSS Inc., Armonk, USA). Qualitative variables were presented in the form of absolute and relative frequencies.

The Pearson Chi-square test was used to assess the association between categorical variables. For all analyses, the level of significance was set at < 0.05.

Results

Most of the 51 selected cases were females ($n = 33$; 64.7%). Patient age ranged from 13 to 78 years, with a mean of 35.63 ± 15.6 years. PG lesions ranged in size from 0.4 to 2 cm, with a mean of 0.9 ± 0.5 cm, while RC lesions ranged from 0.3 to 3 cm, with a mean of 1.6 ± 0.8 cm. The lesions were located mainly in the maxilla (26 in the anterior region and nine in the posterior region). With respect to symptomatology, 21 cases were symptomatic (*i.e.*, presence of pain, flushing, and/or pressure). Most of the lesions did not have previous endodontic treatment (78.4%) (Table 1).

Regarding the immunohistochemical expression of ALDH-1 in the studied chronic inflammatory periapical lesions, all cases exhibited positivity for this enzyme. In PGs, the expression of ALDH-1 was diffuse in cells of mesenchymal morphology in the capsule and central region of the lesions. When the final categorized score was assessed, it was observed that most PG cases were classified with a high expression score ($n = 18$; 72.0%). In RC cases, the presence of sporadic immunopositive cells with focal distribution (cystic epithelium and cells with mesenchymal morphology in the capsule) was observed. Most RC cases were classified as having low expression scores ($n = 18$; 69.2%). Figures 1B and 1D illustrate the expression of ALDH-1 in both conditions.

ALDH1 immunoexpression was different between PGs and RCs ($p = 0.003$) but it showed no statistical association and/or difference with the clinicopathological variables of the study. There were no significant differences between the expression of ALDH-1 and the other clinical variables analyzed. Despite the absence of a statistically significant association, it was observed that cases of chronic inflammatory periapical lesions with endodontically treated teeth showed greater expression of ALDH-1 (Table 2). However, when the chronic inflammatory periapical lesions were evaluated separately (*i.e.*, PGs or RCs), there were also no statistically significant

Table 1. Clinicopathologic data of individuals with periapical granulomas and radicular cysts.

Variables	Periapical granuloma (n = 25)	Radicular cyst (n = 26)	Total (n = 51)
Sex			
Female	17	16	33 (64.7%)
Male	8	10	18 (35.3%)
Age			
≤ 40	12	18	30 (58.8%)
> 40	13	8	21 (41.2%)
Anatomical location			
Maxilla	17	18	35 (68.6%)
Mandible	8	8	16 (31.4%)
Symptomatology			
Asymptomatic	17	13	30 (58.8%)
Symptomatic	8	13	21 (41.2%)
Size			
≤ 1 cm	18	9	27 (52.9%)
> 1 cm	7	17	24 (47.1%)
Endodontic treatment			
Not treated	17	23	40 (78.4%)
Treated	8	3	11 (21.6%)

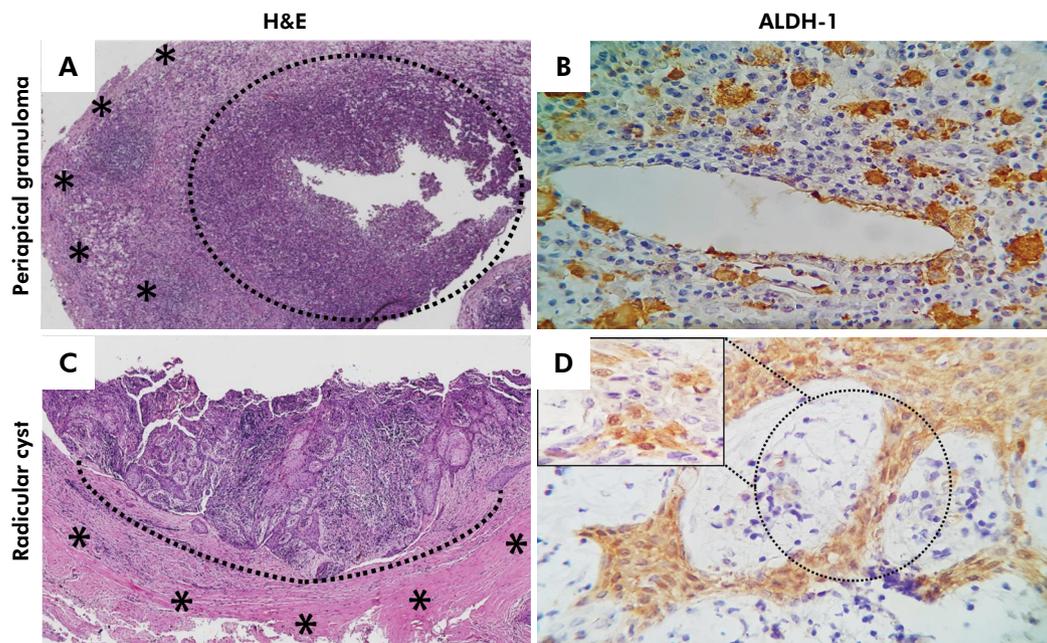


Figure 1. Photomicrograph of a periapical granuloma and radicular cyst. (A) Granuloma capsule (asterisks) and central region of the lesion (dashed circle). (C) Periapical cyst exhibiting a cystic capsule (asterisks) and arciform epithelial lining (above the dashed line). Cytoplasmic immunorexpression of ALDH-1 in the periapical granuloma and radicular cyst. (B) Diffuse cytoplasmic immunostaining in cells of the center of a periapical granuloma. (D) Diffuse immunostaining of epithelial cells of the periapical cyst (A, C: H&E, ×20; B, D: IHC, ×40).

Table 2. Association of ALDH-1 protein expression and clinicopathological data of cases of periapical granulomas and radicular cysts.

Variables	ALDH-1 expression		p-value*
	Low expression n (%)	High expression n (%)	
Lesion			
Radicular cyst	18 (72.0)	8 (30.8)	0.003
Periapical granuloma	7 (28.0)	18 (69.2)	
Sex			
Female	13 (52.0)	20 (76.9)	0.063
Male	12 (48.0)	6 (23.1)	
Age			
≤ 40	15 (60.0)	15 (57.7)	0.867
> 40	10 (40.0)	11 (42.3)	
Anatomical location			
Maxilla	17 (68.0)	18 (69.2)	0.920
Mandible	8 (32.0)	8 (30.8)	
Symptomatology			
Asymptomatic	13 (52.0)	17 (65.4)	0.332
Symptomatic	12 (48.0)	9 (34.6)	
Size			
≤ 1 cm	12 (48.0)	15 (57.7)	0.488
> 1 cm	13 (52.0)	11 (42.3)	
Endodontic treatment			
Not treated	22 (88.0)	18 (69.2)	0.103
Treated	3 (12.0)	8 (30.8)	

*Chi-square test.

differences between the expression of ALDH-1 and clinicopathological variables (Tables 3 and 4).

PG cases showed more immunopositive cells both in the connective tissue capsule and in the central region of the lesions; however, RC cases also had fewer positive cells in the connective tissue capsule. The immunopositive cells in the capsule showed mesenchymal morphology (oval and spindle shaped) in both lesions. Additionally, the presence of odontogenic epithelium remnants (Malassez epithelial remnants) was observed inside the connective tissue/capsule that exhibited ALDH-1 expression, both in cases of RCs (n =1 6; 65.4%) and PGs (n = 12; 48.0%) (Figure 2B and 2D).

Discussion

A high activity of ALDH-1 has been shown to be a property of a subset of human hematopoietic cells, but the regulation and the role of ALDH-1 in chronic inflammatory periapical lesions is virtually

Table 3. Analysis of ALDH-1 expression in periapical granulomas.

Variables	ALDH-1 expression		p-value
	Low expression n (%)	High expression n (%)	
Sex			
Female	3 (42.8)	14 (77.8)	0.156*
Male	4 (57.2)	4 (22.2)	
Age			
≤ 40	5 (71.4)	12 (66.7)	1.000*
> 40	2 (28.6)	6 (33.4)	
Anatomical location			
Maxilla	5 (71.4)	12 (66.7)	1.000*
Mandible	2 (28.6)	6 (33.4)	
Symptomatology			
Asymptomatic	3 (42.8)	14 (77.8)	0.156*
Symptomatic	4 (57.2)	4 (22.2)	
Size			
≤ 1 cm	5 (71.4)	13 (72.2)	1.000*
> 1 cm	2 (28.6)	5 (27.8)	
Endodontic treatment			
Not treated	6 (85.7)	11 (61.1)	0.362*
Treated	1 (14.3)	7 (38.9)	

*Fisher's exact test.

Table 4. Analysis of ALDH-1 expression in radicular cysts.

Variables	ALDH-1 expression		p-value
	Low expression n (%)	High expression n (%)	
Sex			
Female	10 (55.5)	6 (75.0)	0.420*
Male	8 (44.5)	2 (25.0)	
Age			
≤ 40	12 (66.7)	6 (75.0)	1.000*
> 40	6 (33.3)	2 (25.0)	
Anatomical location			
Maxilla	12 (66.7)	6 (75.0)	1.000*
Mandible	6 (33.3)	2 (25.0)	
Symptomatology			
Asymptomatic	10 (55.5)	3 (37.5)	0.673*
Symptomatic	8 (45.5)	5 (62.5)	
Size			
≤ 1 cm	7 (38.9)	2 (25.0)	0.667*
> 1 cm	11 (61.1)	6 (75.0)	
Endodontic treatment			
Not treated	16 (88.9)	7 (87.5)	1.000*
Treated	2 (11.1)	1 (12.5)	

*Fisher's exact test.

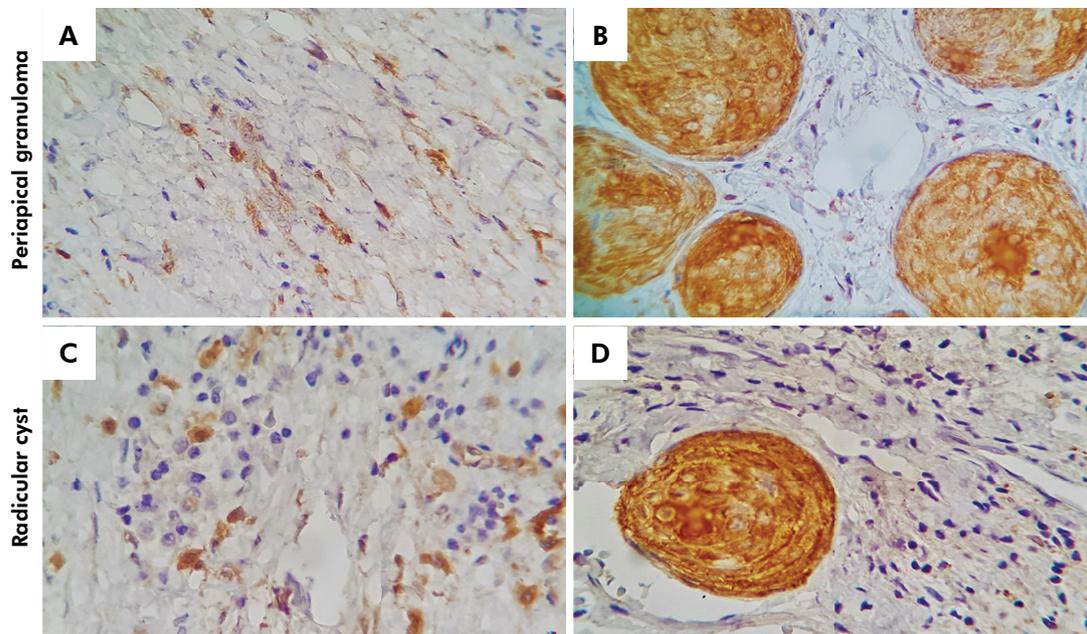


Figure 2. Photomicrographs of ALDH-1 immunopositivity in mesenchymal cells present in lesion capsules. (A) Periapical granuloma capsule. (C) Radicular cyst capsule. (B, D) Presence of odontogenic epithelium remains inside the capsule showing ALDH-1 immunopositivity, both in the periapical granuloma and radicular cyst (A-D: IHC, ×40).

unknown. In the present study, we noticed the expression of ALDH-1 in all PG and RC cases, which indicates the presence of cells with a stem cell profile distributed in both conditions. This fact agrees with previous studies that used different markers to identify mesenchymal stem/progenitor cells in periapical inflammatory tissues.^{8,17,18,19} In fact, the expression of stem cells markers in connective tissue cells of chronic inflammatory periapical lesions may be indicative of a phenotype compatible with mesenchymal stem cells. According to Liao et al.,⁸ mesenchymal progenitor cells were present in inflamed periapical tissues, as determined by the expression of the STRO-1 and CD146 markers. Herein, ALDH-1 was also immunexpressed in cells with mesenchymal morphology and in the capsule of periapical lesions.

Although studies have not yet reached a consensus about a specific molecular signature for the characterization of stem cells, cells with a profile compatible with mesenchymal stem cells are generally identified by means of a set of cell surface markers.^{5,10,11} However, no specific and/or unique ideal marker has been established to characterize the most primitive cells and to clearly identify their different stages or activation.²⁰ Some investigations have indicated as an alternative for the characterization of stem cells the use of universal markers, such as some enzymes of the ALDH family, mainly in their isoform 1.¹³ The ALDH-1 enzyme represents an intracellular metabolic marker which has been used mainly in research with breast and salivary gland tumors.^{12,13,20-25} On the other hand, a study demonstrated that ALDH-1 was expressed by cells isolated from the dental pulp, which had characteristics of mesenchymal stem cells, and suggested that ALDH-1 is a potential marker employed for dental pulp stem cells.¹⁴

A previous study analyzed the immunexpression of stem cell markers in persistent periapical lesions (with endodontic treatment) and primary lesions (without endodontic treatment).¹⁹ There was no difference in SOX2 expression between lesions with or without treatment, and the greatest expression of CD90 occurred in persistent lesions.¹⁹ In our study, however, 8 of the 11 cases of periapical persistent lesions (with endodontic treatment) showed a high expression of ALDH-1. In this respect, the expression

of this protein in the lesions studied herein suggests that cells with a stem cell profile may be related to the process of maintenance of chronic lesions, even with the decrease in the antigenic stimulus by bacterial infections. This greater number of cells with a stem cell profile may also be related to the repair process most evident after treatment. In line with Estrela et al.,¹⁹ stem cells can contribute to the immunosuppressive environment in persistent lesions. In addition, different sources of stem cells may be associated with the chronic nature of primary lesions, as well as the development of persistent lesions.^{19,26}

Araujo-Pires et al.¹⁷ identified the expression of CD29, CD73, CD90, CD146, CD166, NANOG, Stro-1, and CXCR4 in human periapical lesions and experimental periapical lesions produced in mice. Interestingly, immunexpression was more prevalent in PGs and in inactive lesions, similar to the findings of the present study. Accordingly, the greatest expression in inactive lesions found by the cited study¹⁷ may be related to the recruitment of stem cells in perivascular niches and in the periodontal ligament to the microenvironment of the periapical lesion through cell mobilization, proliferation, and/or differentiation, resulting in partial repair of inactive lesions.²⁷

Stem cells are activated or emerge in the periapical region in the presence of an inflammatory stimulus and, thus, play their immunosuppressive and/or pro-repairing role.^{8,19,28} Considering the origin of these stem cells from periapical lesions, they can also be actively recruited from the perivascular region and/or periodontal ligament to the lesion microenvironment, certainly due to the action of the stem cell factor, an important stem cell activating cytokine that acts on the proliferation, migration, survival, and differentiation of hematopoietic progenitor cells, melanocytes, and germ cells.²⁹ Nevertheless, in view of a greater expression of labeled cells in PGs and in agreement with a previous study,¹⁷ we may infer that these conditions are capable of presenting greater capacity for differentiation and/or proliferation of cells with a stem cell profile. Reinforcing the reaction capacity of PGs, some studies have unveiled that granulation tissues in the periapical region contain osteogenic cells^{27,30} and, possibly, these cells in inflamed tissues

differentiate into osteoblasts and can regenerate periapical bone once the source of infection has been removed. However, further studies including chronic inflammatory periapical lesions in order to identify, isolate, characterize phenotypically, and evaluate their functions with differentiation pathways may be useful for the therapeutic evolution of these conditions, particularly with the proposal to isolate these cells at different stages of the periapical inflammatory/reactive process.

Conclusion

In summary, all cases of PGs and RCs were positive for ALDH-1. In particular, most PG cases

revealed a high expression score, while RC cases showed the presence of sporadic immunopositive cells with focal distribution. Thus, the presence of cells with a stem cell profile in PGs and RCs may be related to the maintenance and attempted repair of these conditions.

Acknowledgements

This study was supported by Coordenação de Aperfeiçoamento de Pessoal de Nível Superior (CAPES, Finance Code 001), Brazil. J.A.A.A. is recipient of fellowship. We would like to thank Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq), Brazil. Mrs. E. Greene provided English editing of the manuscript.

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