Aquatic physiotherapy in the functional capacity of elderly with knee osteoarthritis

Fisioterapia aquática na capacidade funcional de idosos com osteoartrite de joelho

Abstract

Introduction: Physical therapy in patients with osteoarthritis (OA) acts to decrease pain, increase joint functionality and to promote improvement in quality of life. Objective: To analyze the effect of a structured program of aquatic physiotherapy (AP) on functional capacity (FC) and mobility of elderly people with OA. Methods: Prospective, quantitative and analytical, randomized control study. The sample consisted of 29 patients, aged 60 years or over, diagnosed with OA, randomly allocated to the intervention group (IG), composed of 17 participants who underwent BP for two months, and to the control group (CG) of 12 participants. The primary outcome was measured by the WOMAC instruments and six-minute walk test. As a secondary endpoint, the Timed Up and Go Test measured mobility. Descriptive statistical analysis was used to present the data, with mean and standard deviation values. The comparison between groups for potential confounding factors and in relation to evolution was performed using the non-parametric chi-square test, Fisher’s exact test and the Kruskal-Wallis test, and Dunn’s test for multiple comparisons. The differences were considered statistically significant when p < 0.05. Results: There was a significant difference in physical and functional parameters related to pain (p < 0.001), stiffness (p < 0.001) physical activity (p < 0.001), distance covered in six minutes (p = 0.001), and mobility (p < 0.001) when comparing IG to CG. Conclusion: The structured AP program effectively contributed to the improvement of FC and mobility of elderly people with knee OA.

Keywords: Osteoarthritis. Physical Therapy modalities. Aged.
Introduction

The aging of the population occurs on a global scale. In Brazil, the number of elderly people was 16%, surpassing the mark of 34 million in 2019.\(^1\)

Longevity brings within chronic degenerative diseases that limits the functional capacity (FC) of affected population. Osteoarthritis (OA), a chronic degenerative disease of high prevalence, is a musculoskeletal disorder usually with insidious, progressive and slow pattern, which typically affects the joints such as hands, spine, hip and knees.\(^2\) It is the most common joint disorder, affecting between 6% and 12 % of adult population and more than a third of those who are aged 65 years or more.\(^3\) In addition to aging, obesity, trauma, joint surgery, hormonal imbalance, heredity, nutrition and bone density are some of the factors that predispose to OA.\(^2\)

Signs and symptoms related to OA in the population are related to: pain during the day, with relief when the individual is at rest; swelling and tenderness in the joints with or without the presence of crackles; morning stiffness and decreased range of motion, with decreased aerobic work capacity; and muscle weakness in the lower limbs.\(^2\) In addition to pain and stiffness, knee OA can impact mobility in the elderly, resulting in significant impairment of functional capacity.\(^5\)

Physiotherapy’s main objectives in patients with OA are to decrease pain, increase joint functionality and consequently promote improvement on the patient quality of life. The physiotherapist has several non-pharmacological resources to achieve those goals, such as the use of kinesiotherapy, manual therapy and electro thermal therapy.\(^6\)

In this perspective, aquatic physiotherapy (AP) appears as a therapeutic resource widely used for the treatment of rheumatic diseases, as it facilitates the movements and reduces joint overload.\(^7,8\) Various therapeutic effects are achieved with immersion in warm water, such as muscle relaxation, analgesia and reduction of joint impact.\(^9\) Therefore, based on clinic and scientific evidence, the study was developed in order to verify the effects of a structured protocol of AP in the FC and mobility of elderly participants with knee OA.

Methods

Design overview

This study was a prospective, quantitative and analytical clinical trial of randomized control, which is interested in the prognostic factor of a therapeutic intervention. It was approved by the Ethics Committee of the Faculty of Medicine of Botucatu (UNESP), protocol 4269/2012, and registered by the Clinical Trials Registration Number: UTN Number - U1111-1157-9025.

Population of the study

Voluntary participants of both genders, with clinic and radiologic diagnosis of knee OA, were referred to the physiotherapy department at Centro Universitário de Adamantina (UniFAI), São Paulo, Brazil. To be included,
they were required to have age equal or above 60 years, and diagnosis of knee OA according to the American College of Rheumatology criteria (ACR).\textsuperscript{10} They were excluded if they had other kind of associated disease that primarily affected the lower limbs (ankylosing spondylitis, rheumatoid arthritis, neurodegenerative or neurological diseases), surgical knee history in the previous year, physiotherapy treatment in the last six weeks, contraindications to the AP, OA functional class IV or who did not accept the terms and conditions of the research.

The required number of participants was performed by statistical calculation based on the prevalence of knee arthrosis in the population over 55 years old,\textsuperscript{11} and epidemiological data obtained by the IBGE during the 2010 census in the municipality of Adamantina, SP.\textsuperscript{12} A margin of error of 7% (\(\alpha = 0.07\)) and a reliability of 90% (\(\beta = 0.10\)) were considered. Twenty-nine elderly people (\(n = 29\)) with knee OA participated in the study.

Randomization

Randomization was performed with SAS for Windows, v.9.1.8, and distributed between the IG, where participants underwent AP and stretching, and CG, where participants did not receive any physiotherapy.

The draw was carried out by a physiotherapist who did not participate in the execution of the research, the allocation being informed to the volunteer through telephone contact on the same day as the draw. The intervention group (IG) was composed of fifteen women and two men (\(n = 17\)), and the control group (CG) was composed of ten women and two men (\(n = 12\)). The CG group had 16 participants at the beginning, but four of them dropped out of the research; three for giving up and one for having undergone knee infiltration.

Outcome measures

The instrument Western Ontario and Macmaster Universities Osteoarthritis Index (WOMAC)\textsuperscript{13-15} evaluated the FC and six-minute walk test (6MWT)\textsuperscript{16} and the Timed Up and Go Test (TUG) measured the mobility.\textsuperscript{17,18} The collection took place in two stages (initial evaluation and after two months). Each of the steps was performed by the same evaluator. Initial evaluation: on the first day, sociodemographic data and the 6MWT were obtained. On the second day, the WOMAC questionnaire, the TUG and the functional classification based on the ACR criteria were applied. Evaluation after two months: the same procedures were repeated after the end of the treatment protocols in a maximum of seven days.

Interventions

The activities of the IG program (Table 1) were performed in the hydrotherapy sector of UniFAI, São Paulo, Brazil, that is equipped with cloakroom, lighting, and water equipment such as spaghetti, steps, ankle weights, triangular dumbbells, cervical and pelvic vests.

<table>
<thead>
<tr>
<th>Exercise</th>
<th>Description</th>
<th>Time/Series and repetitions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Heating</td>
<td>Free walk to adapt to the environment and bicycle with the aid of spaghetti.</td>
<td>5 minutes</td>
</tr>
<tr>
<td>Muscle strengthening</td>
<td>Quadriceps and hamstrings with the aid of flotation anklets.</td>
<td>3 sets of 20 reps</td>
</tr>
<tr>
<td>Stretching</td>
<td>Patients were instructed to maintain a slow respiratory rhythm and to avoid compensations, respecting each one’s limit and possibility.</td>
<td>30 seconds for each muscle group</td>
</tr>
<tr>
<td>Buttocks</td>
<td>Patients with their back to the bar and hands resting on the anterior region of the knee perform maximum hip flexion.</td>
<td></td>
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<tr>
<td>Quadriceps</td>
<td>Supported patients perform knee flexion with the hand on the back of the foot, preferably contralateral, bringing the heel towards the gluteus.</td>
<td></td>
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<tr>
<td>Ischiotibials</td>
<td>Patients at the bar raises one leg with the knee in extension supporting the foot against the wall of the pool. Lean the torso forward and flex the hips until feeling a slight stretch in the back of the thigh. Keep the spine and shoulders aligned.</td>
<td></td>
</tr>
<tr>
<td>Sural triceps</td>
<td>Patients supported on the bar leans forward with one knee flexed and the other in extension. The feet must be in contact with the ground and facing forward.</td>
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</table>
The AP program was conducted over a period of two months, totaling sixteen sessions with approximately 60 minutes each. The activities were carried out by two examiners, one responsible for collecting the initial data and supporting the distribution of equipment and the other for directing the proposed treatment.

All participants were instructed to wear appropriate clothes for aquatic practice; they were also oriented on how to behave in an aquatic environment.

Participants from the CG did not receive any physiotherapy in two months. Weekly phone calls were done in order to follow them up.

**Statistical analyses**

Data were analyzed using Statistical Package for Social Sciences Version 20 (SPSS v21.0). Descriptive statistics including means and standard deviations of baseline score and post-physiotherapy score for each measure were used to summarize the data. Comparison for potential confounders between the groups and in relation to evolution after the follow-up was done via the nonparametric chi-square test, Fisher’s exact test and the Kruskal-Wallis test. Statistical significance was considered when \( p < 0.05 \).

**Results**

In total, 29 elderly with knee OA participated of the study. The IG consisted of 15 women and 2 men, and CG was composed of 10 women and 2 men. There was a sample loss in the CG of four participants, three of them by dropping out and one by having performed an infiltration in the knee.

Comparisons among socio demographic variables showed no significant differences between IG and CG groups (\( p < 0.05 \)) regarding potential confounders (Table 2). The same occurred with dependent variables (pain, stiffness and physical disability, related to physical and functional aspects, mobility and functional capacity), demonstrating a homogeneous distribution of the sample.

Comparing the variables after the intervention (pain, stiffness and physical disability, related to functional capacity aspects, and mobility), we observed that participants in the IG obtained statistically significant changes of all variables compared to the CG (Table 3).

**Discussion**

The sample of this study was characterized by individuals with 60 years of age or older, predominantly female. Similarly, Nevitt and Felson\(^{15}\) found that women with body mass index between 30 and 35 and aged over 50 years were the stereotype group for greater predisposition to the development of knee OA.

The functionality is essential for the elderlies to carry out their daily life activities independently; therefore, FC conservation is one of the main guidelines of physiotherapy treatments.\(^{8}\)

Alves et al.\(^{19}\) suggest that chronic diseases have a strong influence on the elderly FC. Furthermore, the FC is one of the most important benefits promoted by therapeutic intervention in the elderly and is directly

| Table 2 - Comparison between the intervention group (IG) and control group (CG) regarding potential confounders |
|---------------------------------------------------|-----------------|-----------------|-----------------|
| Variables                                         | IG (n = 17)     | CG (n = 12)     | p-value         |
| Socio demographic                                 |                 |                 |                 |
| Male                                              | 2 (12%)         | 2 (17%)         | -               |
| Women                                             | 15 (86%)        | 10 (83%)        | -               |
| Age (years)                                       | 63              | 64              | -               |
| Functional capacity                               |                 |                 |                 |
| Pain                                              | 300             | 288             | 0.987 (2)       |
| Stiffness                                         | 125             | 88              | 0.637 (2)       |
| Physical disability                               | 825             | 1000            | 0.816 (2)       |
| Traveled distance (m)                             | 351             | 333             | 0.941 (2)       |
| Mobility                                          |                 |                 |                 |
| TUG time (s)                                      | 13              | 14              | 0.905 (2)       |

Note: TUG = Timed Up and Go Test.

| Table 3 - Comparison between intervention group (IG) and control group (CG) in relation to outcomes after intervention |
|-----------------------------------------------------------------------------------------------------------------|-----------------|-----------------|-----------------|
| Variables                                                        | IG (n = 17)     | CG (n = 12)     | p-value         |
| Functional capacity                                              |                 |                 |                 |
| Pain                                                             | -125            | 25              | < 0.001         |
| Stiffness                                                        | -25             | 13              | < 0.001         |
| Physical disability                                              | -275            | 113             | < 0.001         |
| Traveled distance (m)                                            | 45              | -7.5            | 0.001           |
| Mobility                                                         |                 |                 |                 |
| TUGT time (s)                                                     | -2.3            | -0.73           | < 0.001         |

Note: TUGT = Timed Up and Go Test.
related to independence and may contribute directly to their quality of life.20

Caromano and Candeloro21 highlighted that AP is a feature that uses physical, physiological and kinesiological effects arising from body immersion in a heated pool as an auxiliary resource of rehabilitation or prevention of functional alterations.

The main benefits of AP for individuals in treatment of knee OA are: reducing pain; maintenance or increase in joint range of motion and muscle strength; improvements in functional gait ability; relaxation and relief of muscle spasm; reduction in joint overload, stiffness and swelling.9

For Carregaro and Toledo,22 AP provides a lower intra-articular pressure and decreases the impact forces on the joints. The decreased gravity action inside the water makes an ideal environment for rehabilitation of individuals who require low weight-bearing forces or have limitations on land-based physiotherapy.

In their study, Barduzzi et al.8 showed significantly satisfactory results of elderly with knee OA functional capacity who underwent AP, with regard to improvements in the time of usual and fast gait, as well as going up and down stairs.

Aquatic physiotherapy presents evolution in the mobility of patients with knee OA, as shown in the significant improvement assessed by the TUG. The movement of water generates comfort and decreases pain, consequently the elderly can better perform their functions, improving their functional mobility. Decrease in joint pain and improvement in the elderly’s functional tasks ensue benefits in psychological factors, self-confidence and well-being.23-25

In conclusion, several studies8,26-29 confirm that AP programs benefit individuals with OA, providing increase in muscle strength and aerobic capacity, pain reduction, improvement of functional capacity and consequently improvement of quality of life.

The limitations of this study were the sample loss that occurred during its development. For future studies with this theme, we suggest expanding the sample to better represent this segment of the population.

Authors’ contributions

PJFVB and FPG supervised the research. Design and data collection were conducted by FPG, NSP, GOB. Tabulation, analysis and statistical interpretation of data by FPG and PRRJ. FPG, AO and GOB were responsible for the writing of the manuscript and creation of tables and charts. NSP and AO, for the text preparation according to the journal’s guidelines. PRRJ and PJFVB reviewed the manuscript, and all authors have approved the final version here published.

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