

# Validation of the Brazilian version of the World Health Organization Disability Assessment Schedule in individuals with diabetes mellitus

*Validação da versão brasileira do World Health Organization Disability Assessment Schedule em indivíduos com diabetes mellitus*

*Validación de la versión brasileña del World Health Organization Disability Assessment Schedule en personas con diabetes mellitus*

Shamyr Sulyvan de Castro<sup>1</sup>, Camila Ferreira Leite<sup>2</sup>, Franciele Rodrigues Nacci<sup>3</sup>, Karolyne Stéfanie Sousa Barbosa<sup>4</sup>, Marilita Falângola Accioly<sup>5</sup>

**ABSTRACT** | To evaluate the functioning of individuals with diabetes mellitus (DM) using a biopsychosocial model, recommended by the World Health Organization and sustained in the theoretical-conceptual framework of the International Classification of Functioning, Disability and Health (ICF), this study proposed validating the Brazilian version of WHODAS 2.0 (World Health Organization Disability Assessment Schedule). The 36-item version of WHODAS 2.0 was applied to 100 participants with DM as validation procedures, using the measurement of Diabetes Quality of Life Measure (DQOL-Brazil) and a dynamometry. The psychometric properties analyzed were internal consistency (Cronbach Alpha coefficient) and convergent and divergent external validity (Spearman correlation coefficient). The internal consistency analysis was appropriate, except for the “having a good relationship with people” domain in the alpha Cronbach coefficient. External validity analysis confirmed the convergence hypothesis between the correlate domains of the different tools. The exception was the “life activities” domain (WHODAS) with the left-hand dynamometry. The Brazilian version of the WHODAS 2.0 instrument is a valid instrument to assess the functioning of these individuals.

**Keywords** | Diabetes Mellitus; Validation Studies; International Classification of Functioning, Disability and Health.

**RESUMO** | Visando avaliar a funcionalidade dos sujeitos com diabetes mellitus (DM) usando um modelo biopsicossocial, recomendado pela Organização Mundial de Saúde, e ancorado no arcabouço teórico-conceitual da Classificação Internacional de Funcionalidade, Incapacidade e Saúde, este estudo propôs a validação da versão brasileira do WHODAS 2.0 (*World Health Organization Disability Assessment Schedule*). A versão de 36 itens do WHODAS 2.0 foi aplicada a 100 sujeitos com DM. Como instrumentos auxiliares à validação, utilizou-se o instrumento Diabetes Quality of Life Measure (DQOL-Brasil) e a dinamometria. As propriedades psicométricas analisadas foram consistência interna (coeficiente Alfa de Cronbach) e validade externa – convergente e divergente (coeficiente de correlação de Spearman). A análise de consistência interna mostrou-se apropriada, à exceção do domínio “relações interpessoais”. A análise da validade externa confirmou as hipóteses de convergência esperadas na comparação dos domínios correlatos dos instrumentos auxiliares utilizados no processo de validação, exceto no domínio “atividades de vida” (WHODAS) com a dinamometria da mão esquerda. Conclui-se que a versão brasileira do instrumento WHODAS 2.0 é válida para aferição da funcionalidade nesses indivíduos.

**Descritores** | Diabetes Mellitus; Estudos de Validação; Classificação Internacional de Funcionalidade, Incapacidade e Saúde.

<sup>1</sup>Universidade Federal do Ceará (UFC) – Fortaleza (CE), Brazil. E-mail: shamyrulyvan@gmail.com. Orcid: 0000-0002-2661-7899

<sup>2</sup>Universidade Federal do Ceará (UFC) – Fortaleza (CE), Brazil. E-mail: camilaf.fisio@gmail.com. Orcid: 0000-0001-6375-8845

<sup>3</sup>Universidade Federal do Triângulo Mineiro (UFTM) – Uberaba (MG), Brazil. E-mail: franciele\_rodriguesnacci@hotmail.com. Orcid: 0000-0003-1090-4858

<sup>4</sup>Universidade Federal do Triângulo Mineiro (UFTM) – Uberaba (MG), Brazil. E-mail: karolstefanie@hotmail.com. Orcid: 0000-0002-7626-4163

<sup>5</sup>Universidade Federal do Triângulo Mineiro (UFTM) – Uberaba (MG), Brazil. E-mail: marilita@terra.com.br. Orcid: 0000-0002-9623-3145

**RESUMEN** | Para evaluar las limitaciones funcionales de las personas con diabetes mellitus (DM) utilizando un modelo biopsicosocial, recomendado por la Organización Mundial de la Salud y anclado en el marco teórico-conceptual de la Clasificación Internacional del Funcionamiento, de la Discapacidad y de la Salud, este estudio propuso validar la versión brasileña de WHODAS 2.0 (*World Health Organization Disability Assessment Schedule*). La versión de 36 ítems de WHODAS 2.0 se aplicó a 100 participantes con DM. Como procedimientos auxiliares de validación, se utilizaron la Diabetes Quality of Life Measure (DQOL-Brasil) y la dinamometría. Las propiedades psicométricas analizadas fueron la consistencia interna (coeficiente alfa de Cronbach) y la validez externa convergente y

divergente (coeficiente de correlación de Spearman). El análisis de la consistencia interna fue apropiado, excepto en el dominio “llevarse bien con las personas” en el coeficiente alfa de Cronbach. El análisis de validez externa confirmó la hipótesis de convergencia entre los dominios correlacionados de las diferentes herramientas. La excepción fue el dominio “actividades de la vida” (WHODAS) con la dinamometría izquierda. Se concluye que la versión brasileña del WHODAS 2.0 es un instrumento válido para evaluar el funcionamiento de estos individuos.

**Palabras clave** | Diabetes Mellitus; Estudios de Validación; Clasificación Internacional del Funcionamiento, de la Discapacidad y de la Salud.

## INTRODUCTION

Diabetes mellitus (DM) is a group of genetic or acquired metabolic disturbances with a main characteristic – frequent hyperglycemia due to impaired insulin secretion and/or action<sup>1,2</sup>. DM is a chronic and incapacitating disease<sup>2</sup>. These metabolic alterations cause persistent high glycemic levels, which can lead to variations in the functioning of individuals. Some of these variations are: difficulties when walking 400 meters<sup>3</sup>; climbing a ladder; performing house chores; and poor performance<sup>4,5</sup> when compared with same-age-non-diabetic individuals in physical evaluation measures (walking speed<sup>4,5</sup>, muscular strength<sup>4,6</sup>, sitting<sup>3</sup> and getting up from a chair<sup>4,7</sup>). In general, these functioning impacts are consequences of the diabetic patient comorbidities<sup>3-5</sup>.

Due to progressive increase in chronic diseases incidence, the study and evaluation of their impact in human functioning has academic and clinical importance<sup>1</sup>. The World Health Organization (WHO) is constantly developing tools and models to rate disability, incapacity, and functioning. One of these tools is the International Classification of Functioning, Disability and Health (ICF), which establishes functioning in a multidimensional view. Its biopsychosocial definition includes functions and structures of the body, activity, participation as well as environmental and personal factors<sup>8</sup>.

The WHO also developed the World Health Organization Disability Assessment Schedule (WHODAS 2.0), a generic and practical questionnaire to assess health and disability in a populational or clinic scale. It allows designing and monitoring of the health interventions impact<sup>9</sup>. WHODAS 2.0 was developed

based on the theoretical concept of the ICF and is able to quantify the patient’s functioning through analysis of cognition, mobility, self-care, good relationship with people, life activities and participation<sup>9</sup>.

According to health professionals who work with diabetes patients, the assessment of a functioning tool based on the ICF will allow the design of more appropriate intervention and assessment strategies to the biopsychosocial model. Furthermore, diabetes patients will benefit from more effective and broadly based interventions and the health system as a whole could plan the offering of interprofessional services according to the biopsychosocial model.

The objective of this study was to validate the Brazilian version of the WHODAS 2.0 tool via analysis of its psychometric properties to assess functioning of DM patients.

## METHODOLOGY

### Participants

One hundred patients who had DM diagnosis and follow-up by an endocrinologist were included in this study. They were selected by a convenience sample among people waiting for a health appointment at the Universidade Federal do Triângulo Mineiro (UFTM) specialties ambulatory or at a primary health unit in Uberaba. The following inclusion criteria were used: confirmed medical diagnosis of DM; currently being treated or supervised in an ambulatory; age equal or above 18 years old; consent to participate in the study; and

signature of the free and informed term of consent. People who could not understand or answer the questions and those who had physical disabilities unrelated to DM were excluded. These criteria were confirmed on the patients' medical records.

### Instruments and admeasurement tools

The following tools were used in this study: World Health Organization Disability Assessment Schedule 2.0 (WHODAS 2.0) and Diabetes Quality of Life Measure (DQOL-Brazil). The latter was selected since its method is similar to those studied in WHODAS.

WHODAS 2.0 is a WHO-developed generic tool used to assess functioning and health of any individual regardless of their health condition<sup>10</sup>. It consists of 36 questions covering six domains: cognition (6 items); mobility (5 items); self-care (4 items); good relationship with people (5 items); life activities (8 items); and participation (8 items). Each question has five alternatives as possible answers; the first answer represents "No struggle" and the fifth answer means "Extreme struggle or unable to perform". The sum of the answers constitutes a 0-100 score in which the higher the number, the worse the functioning level. WHODAS questionnaire is available in three different versions according to the number of questions: 36, 12 and a hybrid version with 12+24 questions. It also varies in method of administration (self-administered, interview or proxy-administered). In this study, the complete 36-question questionnaire was applied through interview by a trained interviewer<sup>9</sup>. WHODAS 2.0 is translated and validated to Brazilian Portuguese<sup>10</sup>.

DQOL-Brazil was developed specifically for diabetes patients in order to assess quality of life<sup>11</sup>. It is also validated to be used in Brazil<sup>12</sup>. The Brazilian version is made of 44 items distributed in four domains: satisfaction with treatment (15 items); impact of treatment (18 items); concern about social/vocational issues (7 items); and concern about the future effects of diabetes (4 items). DQOL-Brazil uses the Likert scale of answers which varies from 1 to 5 according to the level of satisfaction reported by the interviewee. The final score is obtained by the arithmetic mean of individual answers, in which the lower the score, the better the participant's quality of life<sup>12</sup>.

Both WHODAS and DQOL-Brazil questionnaires were conducted at the same time by an interview performed in a private room of the UFTM ambulatory or in a primary health care unit in Uberaba. In the same occasion, social and demographic data, anthropometric measures and comorbidities information were also collected from the participants.

In this study, we also assess the strength of both hands using a dynamometer. This tool is recommended by the American Society of Hand Therapists (ASHT)<sup>13</sup> and is certified to estimate the global muscular strength through the hand grip technique<sup>14,15</sup>. Participants were sitting in an armless chair, with both feet on the ground and with the hip and knee joints flexed at approximately 90 degrees. The shoulder at the same side of the examined limb was adducted in neutral rotation and the elbow was flexed at 90 degrees with the forearm in neutral position. The hand that was not being tested was left resting on the ipsilateral thigh. Participants were instructed to keep a steady position during tests and were corrected when necessary. The final variable was determined by the arithmetic mean of three measurements in each hand<sup>14</sup>.

### Statistical analysis

The reliability was assessed by the internal consistence of the tool, using the alpha coefficient of Cronbach. To assess the (convergent and divergent) external validity, the Spearman correlation coefficient was used. As a *priori assumption*, we established the (convergent/divergent) relational hypothesis between the WHODAS questionnaire, DQOL-Brazil measures/domains, and dynamometry, as showed in Table 1.

Moderate correlations were expected since the comparable tools have similar but not identical domains, a fact that excludes the possibility of strong correlations. Here, we consider correlations with coefficients between 0.10 and 0.39 as weak, coefficients between 0.40 and 0.69 as moderate and coefficients between 0.70 and 1<sup>16</sup> as strong.

All statistical analysis was described and executed using the Stata 13 software package. A statistical significance level of 5% was considered.

Table 1. Relational hypothesis between WHODAS domains and the other methods used

WHODAS/Domain	DQOL/Domain	Dynamometry	Expected relation
Mobility		Dynamometry	Convergent
Mobility	Concern about social/vocational issues		Divergent
Self-care	Concern about future effects of diabetes	Dynamometry	Convergent
Having a good relationship with people		Dynamometry	Divergent
Having a good relationship with people	Satisfaction with treatment		Convergent
Life activities		Dynamometry	Convergent
Participation	Satisfaction with treatment		Convergent
Participation	Concern about social/vocational issues		Convergent
Total	Satisfaction with treatment	Dynamometry	Convergent

## RESULTS

### Sample characterization

All 100 participants properly answered the questions. Tables 2 and 3 show the sample description.

Table 2. General sample characterization

Variables	n (100)	% (100)
Gender		
Women	73	73
Men	27	27
Skin Color		
White	59	59
Brown	12	12
Black	29	29
Education		
Illiteracy	4	4.04
Some primary school	52	52.53
Primary school	18	18.18
Some high school	3	3.03
High school	13	13.13
Technical education	3	3.03
Some graduation	1	1.01
Graduation	5	5.05
Marital status		
Single	14	14
Married	53	53
Separated	4	4
Divorced	12	12
Widow	13	13
Live together	4	4
Work		
Paid work	28	28
Freelancer	9	9
Unpaid work	1	1
Homemaker	17	17
Retired	31	31
Unemployed	3	3
Other	11	11

(continues)

Table 2. Continuation

Variables	n (100)	% (100)
Body mass index		
Underweight (<18.5)	1	1
Healthy weight (18.5-24.9)	27	27
Overweight (25-29.9)	37	37
Obese Class I (30-34.9)	20	20
Obese Class II (35-39.9)	7	7
Obese Class III (>40)	8	8
Insulin dependent	43	43
Variables	Mean	Standard deviation
Age (years)	54.75	12.35
Diagnose time (years)	10.82	8.67
WHODAS		
Cognition	58.35	26.93
Mobility	64.37	33.50
Self-care	56.10	27.66
Having a good relationship with people	58.33	21.38
Life activities	24.36	12.58
Participation	68.50	28.66
Total	56.25	19.91
DQOL		
Satisfaction with treatment	2.26	0.71
Impact of treatment	2.19	0.68
Concern about social/vocational issues	1.43	0.69
Concern about the future effects of diabetes	2.54	0.97
Left hand dynamometry	24.61	9.70
Right hand dynamometry	26.12	9.74

Table 3. Sample characterization of diabetes mellitus comorbidities

Diseases	n	%
None	31	31
Amputation	2	2
Sensibility alterations	43	43
Visual alterations	48	48
Kidney diseases	15	15
Cardiovascular diseases	1	1
Wounds	2	2
Others	3	3
Total	145*	100

\*Some people have more than one disease

### Psychometric properties

The data of the 36 items of this questionnaire were verified in each of its domains. Table 4 shows results of internal consistency (IC).

Using the alpha test of Cronbach in each domain, results that varied between 0.49 and 0.91 were obtained. The total score obtained a Cronbach alpha of 0.94. This shows that the tool has a suitable internal consistency, that is, values between 0.70 and 0.90<sup>17</sup> in five of its six domains (the Good relationship with people domain was the exception).

In Table 5, to validate the convergent and divergent criteria, correlations of the WHODAS 2.0 domains with

the DQOL-Brazil domains were verified. It showed that, in general, the questionnaire presented moderate correlation.

Table 4. WHODAS Alpha Cronbach distribution (Internal consistency)

WHODAS 2.0 - domains	Cronbach $\alpha$
Cognition	0.84
Mobility	0.85
Self-care	0.72
Good relationship with people	0.49/0.58 <sup>1</sup>
Life Activities	0.91
Participation	0.84
Total	0.94

<sup>1</sup>Excluding the sexual relations question.

Table 5. WHODAS 2.0 correlation with DQOL-Brazil

	WHODAS/Domains						
	Cognition	Mobility	Self-care	Good relationship with people	Life activities	Participation	Total
DQOL - Brazil							
Satisfaction with treatment	0.5358*	0.4204*	0.3016*	0.4489*	0.3567*	0.6582*	0.6332*
Impact of treatment	0.5308*	0.4703*	0.3499*	0.4216*	0.3805*	0.7202*	0.6577*
Concern about the future effects of diabetes	0.2891*	0.0709	0.1468	0.2745*	0.1941	0.2013*	0.2560*
Concern about social/vocational issues	0.5127*	0.3788*	0.3042*	0.4124*	0.3814*	0.5896*	0.5738*
Left hand dynamometry	-0.4143*	-0.4059*	-0.3045*	-0.0824	-0.1252	-0.2916*	-0.3444*
Right hand dynamometry	-0.3905*	-0.4298*	-0.3151*	-0.0078	-0.2190*	-0.3494*	-0.3948*

\*p<0.05 (Spearman correlation test).

### DISCUSSION

The WHODAS questionnaire showed suitable internal consistency to be used with DM patients in almost all domains, as other studies with similar Cronbach alpha coefficients have shown<sup>18,19</sup>. The only exception was in the Good relationship with people domain, in which, as other studies demonstrate<sup>20-22</sup>, the sexual activities question decreased the internal consistency of the domains. Therefore, the use of this question is debatable, as its advantages and disadvantages should be considered for the questionnaire's internal consistency. Some studies may assess functioning in different ways and, for some of them, the sexual activities item might not be important, but we emphasize that disturbance in sexual activities is frequently noticed<sup>23-25</sup>, which shows the importance of this subject regarding the health and functioning of DM patients.

Analysis of external validity confirmed all convergence hypotheses presented in this study, except for the Life activities domain (WHODAS) with left hand dynamometry (DQOL-Brazil). The two divergent

relations were also confirmed by the conducted statistical analysis, which shows that the tool has external validity to be used in people with diabetes.

Therefore, corroborating other studies that used the complete version of WHODAS 2.0, the validity of the Brazilian version of the WHODAS 2.0 questionnaire shows satisfactory psychometric properties in general, which allows its use to assess functioning in DM patients<sup>19,26</sup>.

A limitation of this study is the geographic restriction, since each locality may have its own specificities despite dealing with the same health condition. On the other hand, this study provides a generic tool based on ICF to assess functioning of a specific group. The WHODAS 2.0 validity allows health professionals to use it to assess functioning in a broader way according to WHO recommendations.

### CONCLUSION

WHODAS 2.0 instrument is valid and reliable to assess DM patient functioning. Cautious use of this

questionnaire is suggested, specifically when asking about sexual activities in the Good relationship with people domain. More discussion is necessary on the use of this question.

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