



## Population structure, fluctuating asymmetry and genetic variability in an endemic and highly isolated *Astyanax* fish population (Characidae)

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### Abstract

Morphological and chromosomal markers were used to infer the structure and genetic variability of a population of fish of the genus *Astyanax*, geographically isolated at sinkhole 2 of Vila Velha State Park, Paraná, Brazil. Two morphotypes types were observed, the standard phenotype I and phenotype II which showed an anatomical alteration probably due to an inbreeding process. Fluctuating asymmetry (FA) analysis of different characters showed low levels of morphological variation among the population from sinkhole 2 and in another population from the Tibagi river (Paraná, Brazil). The *Astyanax* karyotype was characterized in terms of chromosomal morphology, constitutive heterochromatin and nucleolar organizer regions. Males and females presented similar karyotypes ( $2n = 48, 6M+18SM+14ST+10A$ ) with no evidence of a sex chromosome system. One female from sinkhole 2 was a natural triploid with  $2n = 3x = 72$  chromosomes ( $9M+27SM+21ST+15A$ ). The data are discussed regarding the maintenance of population structure and their evolutionary importance, our data suggesting that *Astyanax* from the Vila Velha State Park sinkhole 2 is a recently isolated population.

*Key words:* Fish, *Astyanax* sp., fluctuating asymmetry, karyotype structure, triploidy, genetic conservation.

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### Introduction

In South America, the *Astyanax* species is a very diversified and widely distributed group exhibiting taxonomic and systematic complexity (Gery, 1977; Garutti and Britski, 2000). These small Characidae live in varied freshwater environments, including under conditions of high stress such as those occurring in headwaters (LoweMcConnel, 1969), and can also form species complexes showing morphological and genetic variability (Caramaschi, 1986; Langeani, 1989; Moreira-Filho and Bertollo, 1991).

At Vila Velha State Park (Paraná state, Brazil), there is a rare form of sandstone landscape made up of deep cave or crater-like wells known as 'sinkholes' which have been formed by the action of the water in the water table (Soares, 1989) and which present a water column approximately 50m deep (Figure 1) where isolated populations of

*Astyanax* can be found (Artoni and Almeida, 2001). The genera *Astyanax* also occurs in rivers in the Paraná region and since there is some chromosomal variability between the different riverine populations it is possible that the isolated sinkhole habitats could hold new species of the *Astyanax* complex (Artoni and Almeida, 2001; Matoso *et al.*, 2002).

Isolated populations tend to reduce their genetic variability and, consequently, their ability to adapt to environmental variation, thus restricting their evolutionary options (Meffe and Carroll, 1994). Inbreeding predisposes small populations the population to a deleterious recessive allele effect which can manifest itself not only by precocious mortality but also by a reduction in fertility or growth rate which can lead to the extinction of the populations (Galbusera *et al.*, 2000). An accurate measurement of genetic heterozygosity and the environmental stress on organisms can be assessed using the fluctuating asymmetry index (FA; Palmer, 1996), which Van Valen (1962) defined as the mean difference between two bilateral characteristics in a population.

Our study used external anatomy and karyotype characteristics to estimate the genetic variation and evolutionary behavior of the endemic *Astyanax* population of Vila Velha State Park sinkhole 2.

**Material and Methods**

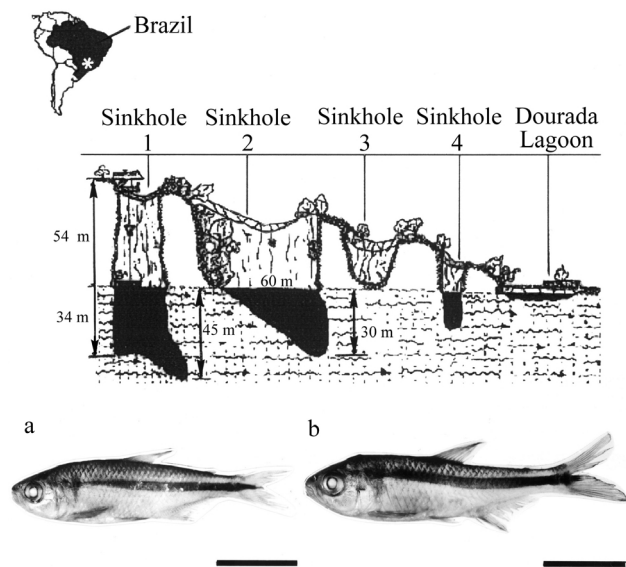
**Characterization of the study area and sampling**

Vila Velha State Park is situated in the southern Brazilian state of Paraná at 25°14'09" S, 50°00'17" W and covers an area of 3,122 ha destined for environmental preservation and eco-tourism. The Vila Velha sinkholes (Figure 1) number 1, 2 and 3 occur at an altitude of 850 m and are deep wells excavated from the sandstone bedrock by the action the water in the water table, sinkholes 1 and 2 being connected to the water table at a depth of more than 50 m by only tiny fissures in the rocks without any defined or continuous large-scale connections, sinkhole 3 being presently dry (Soares, 1989). All the sinkholes drains into a 200 m diameter lake called the Dourada Lagoon (Golden Lagoon), surrounded by a well-preserved riparian forest, and there is constant drainage of water into the Tibagi river (Maack, 1956).

For our study, the first of its kind in these sinkholes, 39 *Astyanax* specimens were caught at random in sinkhole 2 (Table 1) and 37 further *Astyanax* specimens in the Tibagi river inside the Vila Velha Park, the riverine fish being only used in the morphological analysis (Table 2). All specimens were identified and catalogued by Dr Oscar A. Shibatta (Zoology Museum of Londrina State University, Londrina, Paraná, Brazil).

**Morphological characters**

Based on morphological characters diagnostic for the genus *Astyanax* (e.g. continuity of the lateral line and the



**Figure 1** - General view of the sinkhole landscape of Vila Velha State Park (Paraná state, Brazil). Detail shows the two *Astyanax* phenotypes: a = phenotype I; b = phenotype II. Bar = 3cm.

**Table 1** - Meristic data for the Vila Velha sinkhole 2 Tibagi river *Astyanax* specimens and the bilateral characters used for fluctuating asymmetry analysis.

Code number and sex	Bilateral characters (number)							
	Pectoral fin rays		Pelvic fin rays		Gill rakers		Inferior jaw teeth	
	Left	Right	Left	Right	Left	Right	Left	Right
87 <sup>1</sup>	13	13	8	8	4	4	4	4
89 <sup>1</sup>	13	13	-	-	4	4	3	4
91 <sup>1</sup>	13	13	8	8	4	4	4	4
92 <sup>1</sup>	12	13	8	8	3	4	4	4
93 <sup>1</sup>	13	11	-	-	4	4	4	4
94 <sup>1</sup>	11	11	7	7	4	4	4	4
96 <sup>1</sup>	14	13	8	8	4	4	4	4
98 <sup>1</sup>	-	-	8	6	4	4	4	4
101 <sup>1</sup>	13	13	8	8	4	4	4	4
104 <sup>1</sup>	13	13	8	8	4	4	4	4
106 <sup>1</sup>	13	8	-	-	4	4	4	4
108 <sup>1</sup>	13	11	8	8	4	4	2	4
109 <sup>1</sup>	13	13	7	8	4	4	4	4
111 <sup>1</sup>	13	13	8	8	4	4	4	4
112 <sup>1</sup>	13	13	8	8	4	4	2	4
113 <sup>1</sup>	13	13	7	8	4	4	4	3
114 <sup>1</sup>	13	13	8	8	4	4	4	4
115 <sup>1</sup>	13	13	8	8	4	4	2	4
135 <sup>1</sup>	13	13	8	8	4	4	4	4
136 <sup>1</sup>	13	13	8	8	4	4	4	4
137 <sup>1</sup>	13	13	8	8	4	4	4	4
140 <sup>1</sup>	13	13	8	8	4	4	4	4
141 <sup>1</sup>	13	13	8	8	4	4	4	4
142 <sup>1</sup>	13	11	8	8	0	4	4	4
169 <sup>1</sup>	13	13	8	8	4	4	4	4
170 <sup>1</sup>	12	12	8	8	4	4	4	3
171 <sup>1</sup>	13	13	8	8	4	4	4	4
86 <sup>2</sup>	14	14	9	8	4	4	4	4
88 <sup>2</sup>	13	10	8	8	4	4	4	0
90 <sup>2</sup>	13	13	8	8	4	4	4	4
97 <sup>2</sup>	13	13	9	9	4	4	4	4
99 <sup>2</sup>	13	11	8	8	4	4	4	4
100 <sup>2</sup>	13	13	8	8	4	4	4	4
102 <sup>2</sup>	13	13	8	8	4	4	4	4
103 <sup>2</sup>	13	13	8	8	4	4	4	0
105 <sup>2</sup>	13	13	8	8	4	4	4	4
107 <sup>2</sup>	11	10	8	8	4	4	4	4
110 <sup>2</sup>	14	14	9	9	4	4	5	5
139 <sup>2</sup>	13	13	8	8	4	4	4	4

1: Male. 2: Female.

body height/body length ratio; Bristski *et al.*, 1984) there were two main morphotypes, the standard phenotype (phenotype I) and phenotype II which presented some deformation of the spinal column in relation to phenotype I (Figure

**Table 2** - Meristic data for the Tibagi river *Astyanax* specimens and the bilateral characters used for fluctuating asymmetry analysis.

Code number and sex	Bilateral characters (number)							
	Pectoral fin rays		Pelvic fin rays		Gill rakers		Inferior jaw teeth	
	Left	Right	Left	Right	Left	Right	Left	Right
AF1 <sup>1</sup>	12	14	8	8	4	4	4	4
AF2 <sup>1</sup>	14	14	8	8	4	4	4	4
AF3 <sup>1</sup>	14	14	8	8	4	4	4	4
AF4 <sup>1</sup>	14	14	8	8	4	4	4	4
AF5 <sup>1</sup>	13	14	8	8	4	4	4	4
AF6 <sup>1</sup>	13	13	8	8	4	4	4	4
AF7 <sup>1</sup>	13	13	8	8	4	4	4	4
AF8 <sup>1</sup>	13	13	8	8	4	4	4	4
AF9 <sup>1</sup>	14	14	8	8	4	4	4	4
AF10 <sup>1</sup>	14	14	9	9	4	4	4	4
AF11 <sup>1</sup>	14	14	8	8	4	4	4	4
AF12 <sup>1</sup>	14	14	8	8	4	4	4	4
AF13 <sup>1</sup>	14	14	8	8	4	4	4	4
AF14 <sup>1</sup>	13	13	8	8	4	4	4	4
AF15 <sup>1</sup>	13	13	8	8	4	4	4	4
AF16 <sup>1</sup>	15	15	8	8	4	4	4	4
AF19 <sup>1</sup>	12	12	8	8	4	4	4	4
AF20 <sup>1</sup>	13	13	8	8	4	4	4	4
AF21 <sup>1</sup>	14	14	8	8	4	4	4	4
AF22 <sup>1</sup>	13	13	8	8	4	4	4	4
AF24 <sup>1</sup>	14	14	8	8	4	4	4	4
AF25 <sup>1</sup>	14	14	8	8	4	4	4	4
AF26 <sup>1</sup>	13	13	8	8	4	4	4	4
AF27 <sup>1</sup>	14	13	8	8	4	4	4	4
AF28 <sup>1</sup>	14	14	8	8	4	4	4	4
AF29 <sup>1</sup>	14	14	8	8	4	4	4	4
AF30 <sup>1</sup>	14	14	8	8	4	4	4	4
AF31 <sup>1</sup>	13	13	8	8	4	4	4	4
AF32 <sup>1</sup>	13	13	8	8	4	4	4	4
AF34 <sup>1</sup>	14	14	8	8	4	4	4	4
AF35 <sup>1</sup>	13	13	8	8	4	4	4	4
AF36 <sup>1</sup>	14	14	8	8	4	4	4	4
AF37 <sup>1</sup>	14	14	8	8	4	4	4	4
AF38 <sup>1</sup>	13	13	8	8	4	4	4	4
AF18 <sup>2</sup>	14	14	8	8	4	4	4	4
AF23 <sup>2</sup>	14	14	8	8	4	4	4	4
AF33 <sup>2</sup>	14	14	8	8	4	4	4	4

1: Male. 2: Female.

1a, b), the chi-squared ( $\chi^2$ ) test being used to test the relationship between sex and phenotypes I and II.

Four bilateral characters (number of pectoral and pelvic fin rays, number of inferior jaw teeth and number of gill rakers on the right and left side of each specimen) were used to estimate the fluctuating asymmetry of the *Astyanax* specimens from both sinkhole 2 and the Tibagi river (Figure 2, Tables 1 and 2).

**Fluctuating asymmetry (FA) analysis**

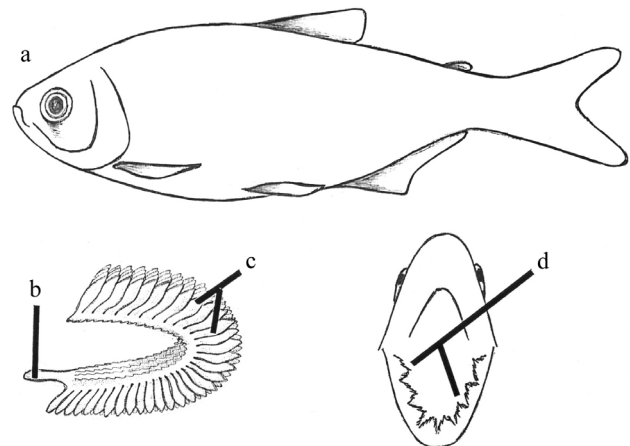
The fluctuating asymmetry (FA) of the sinkhole 2 and Tibagi river *Astyanax* specimens was estimated using the formula of Palmer and Strobeck (1986):

$$FA = |R_i - L_i|$$

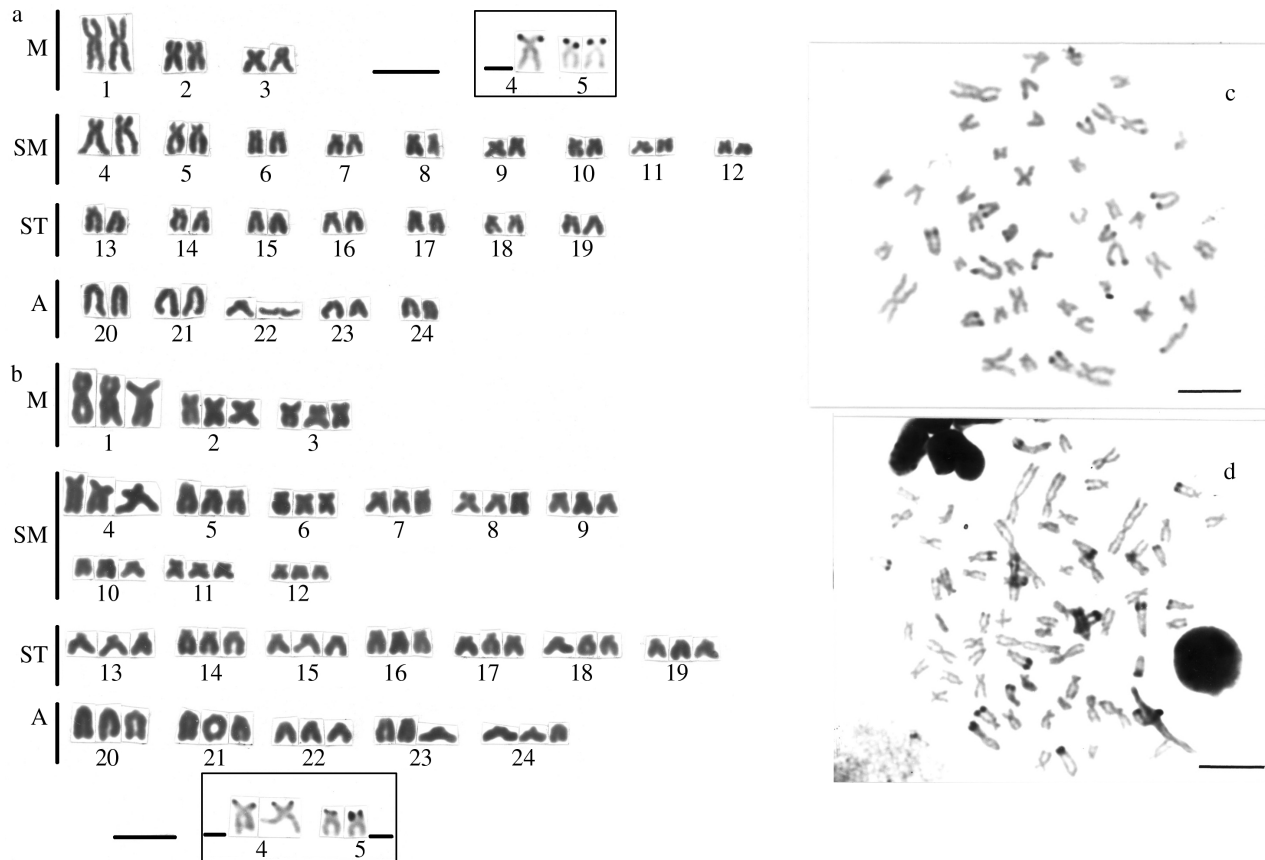
where  $R_i$  and  $L_i$  are the right ( $R_i$ ) and left ( $L_i$ ) side morphological characteristic chosen for the study (number of pectoral and pelvic fin rays, number of inferior jaw teeth and number of gill rakers; Figure 2 and Tables 1 and 2). The FA frequency distribution for each character was analyzed comparatively for the two populations as the absolute difference between both sides for each individual in both populations using the Kolmogorov-Smirnov test at the 5% significance level.

**Chromosomal markers**

Thirty-five sinkhole 2 *Astyanax* specimens (10 males and 25 females; Table 1) were analyzed cytogenetically (Figure 3). Chromosome preparations were obtained by the air-drying method of Bertollo *et al.* (1978) and the constitutive heterochromatin detected using Sumner's (1972) method, silver nitrate (Ag) staining being used to visualize the nucleolar organizer regions (Ag-NORs) according to the method of Howell and Black (1980). The chromosomes were classified as metacentric (M), submetacentric (SM), subtelocentric (ST) or acrocentric (A) according to their morphology and arm ratios (Levan *et al.*, 1964) and arranged in decreasing order of size in the karyotype.



**Figure 2** - Some morphological characteristics of *Astyanax*: a = gill; b = inferior jaw teeth; c = pectoral and pelvic fin rays.



**Figure 3** - Diploid and triploid karyotypes of sinkhole 2 *Astyanax* specimens. The box shows diploid (a) and triploid (b) Ag-NORs and the C-banding pattern of diploid (c) and triploid (d) metaphases. Bar = 5  $\mu$ m.

## Results

### External morphology and sex ratio

Two morphological types were observed for the sinkhole 2 *Astyanax* (Figure 1), 16 specimens (4 males and 12 females) belonging to phenotype I while 19 (6 males and 13 females) belonged to phenotype II, but there was no significant association between sex and morphotype ( $\chi^2$ -test,  $p = 0.9572$ ). The number of females exceeded the number of males by about 2.5 times, indicating a possible distortion of the sex ratio.

### Fluctuating asymmetry

The fluctuating asymmetry (FA) of the sinkhole 2 *Astyanax* specimens did not differ significantly from that of *Astyanax* specimens from the Tibagi river, suggesting no significant morphological differences between the two populations according to the Kolmogorov-Smirnov test ( $p = 0.1337$  for the pectoral fin; 0.1111 for the pelvic fin, 0.0512 for the gill rakers and 0.2051 for the inferior jaw teeth).

### Chromosomal variability

Both male and female sinkhole 2 *Astyanax* specimens had a diploid number of  $2n = 48$  composed of 3 metacen-

tric, 9 submetacentric, 7 subtelocentric and 5 acrocentric pairs (Figure 3a) and there was no differentiation regarding heteromorphic sex chromosomes. One female specimen was a natural triploid with a chromosome complement of  $2n = 3x = 72$  (9M + 27 SM + 21 ST + 15 A) (Figure 3b). As normally seen in other Characidae, the number 1 metacentric pair was the largest chromosome of the complement, indicating that this is a preserved characteristic of the *Astyanax* group.

The Ag-NORs were mainly located on chromosomal pairs 4 and 5 at the distal region of the short arm (Figure 3a, b, in the box). Conspicuous heterochromatic segments were present on the telomeric regions of the long arm of the subtelo-acrocentric chromosomes and less evident heterochromatin blocks also occurred on several other chromosomes (Figure 3c, d).

## Discussion

### Genetic variation, inbreeding effects and implications for species conservation

Populations with a high level of endogamy generally show depressed fitness, especially in respect to factors such as fertility, growth and survival (Leberg, 1990), lower levels of which damage the ability of a population to adapt to

changes in their environment. For example, the South African cheetah *Acinomyx jubatus jubatus* has an uncommon allozyme loci and a complex major histocompatibility monomorphism resulting from a severe bottleneck and inbreeding during its recent evolutionary history (O'Brien *et al.*, 1983; 1985) and, in contrast with other felines, also presents a low level of fertility due the significant quantity (71%) of morphologically anomalous sperm produced by the male and a high infant mortality rate (O'Brien *et al.*, 1985). In addition, *A. jubatus jubatus* also has a high level of variation in the morphological characters of the facial area of the skull, which reinforces the theory that this feline has suffered developmental instability as consequence of inbreeding.

The sinkhole 2 *Astyanax* specimens showed some alteration in external morphology (Figure 1) against a low (but not statistically significant) level of asymmetry and karyotypic stability. For example, Phenotype II showed an alteration in the normal vertebral column not present in phenotype I (Figure 1), although no association was found between these two phenotypes and sex ( $\chi^2$ -test,  $p = 0.9572$ ), and it is probable that the anomalous phenotype II is a congenital deformation caused by inbreeding and the absence of gene flow between populations. Genetic problems such as loss of the genetic variability and low fertility rate due to inbreeding are common artificially-reared salmon (*Oncorhynchus kisutch*) stocks (Winkler *et al.*, 1999) but these problems are uncommon in natural populations because of a large gene pool and a slight endogamic effect (Zakharov and Graham, 1992).

The review by Palmer and Strobeck (1986) shows that there is a high index of fluctuating asymmetry in various fish populations under strong environmental pressure. In spite of the exaggerated endemism of the sinkhole 2 *Astyanax* population and the probable morphological evidence for a possible loss of heterozygosity detected by us the level of fluctuating asymmetry detected within this population does not indicate that endogamy is having an effect on development. Although both the sample size and the morphological characters employed for fluctuating asymmetry analysis may have influenced the results, the possibility of a recent colonization of the sinkhole by *Astyanax* remains plausible. In addition, the effective size of the pioneer population is still unknown.

Karyotypic data did not show significant differences between phenotypes I and II *Astyanax* specimens, supporting the notion that this population is one taxonomic unit.

The sinkhole 2 *Astyanax* specimens showed a distortion in the sex ratio (2.5 females for each captured male), which seems to be a common occurrence in other *Astyanax* populations (Salvador and Moreira-Filho, 1992; Vicente *et al.*, 1996) and is probably related to the behavioral and ecological strategies of this group of fish.

### Karyotypic features of the *Astyanax* populations studied

The sinkhole 2 *Astyanax* had a diploid chromosome number of  $2n = 48$ , with a preferential distribution of the constitutive heterochromatin in the telomeric region of the acrocentric chromosomes (Figure 3c, d). This heterochromatin distribution seems to be quite common among the *Astyanax* populations which live in the different Vila Velha sinkholes, this same karyotypic pattern having been detected in sinkhole 1 (Matoso *et al.*, 2002) and Tibagi river (Artoni and Almeida, 2001) *Astyanax* specimens. This karyotype homogeneity may indicate that the sinkhole and riverine populations became isolated only recently and that insufficient evolutionary time has elapsed for the fixation of chromosomal rearrangements. In addition, the genomic and mitochondrial DNA of these populations are very similar with only a small divergence occurring in the sinkhole 2 *Astyanax* population, which also supports the recent colonization hypothesis (Matoso, 2002).

Karyotypic divergence is a common feature of *Astyanax* populations with, for example, *Astyanax scabripinnis* populations from different populations from regions of the Piracuama river (São Paulo state, Brazil) which are at different altitudes showing the same diploid chromosome number ( $2n = 50$ ) but exhibiting conspicuous differentiation in regard to chromosome types, with geographical accidents such as waterfalls appearing to have served to isolate different populations (Souza and Moreira-Filho, 1995). According Lowe-McConnel (1969), some neotropical fish species can be isolated at the headwaters of river basins through physical, chemical and even biotic barriers. Indeed, works on the taxonomy, distribution and cytogenetics of the ichthyofauna of headwaters have reinforced this proposition (Caramaschi, 1986; Langeani, 1989; Moreira-Filho and Bertollo, 1991).

Natural triploidy is a relatively common event in neotropical fish, especially in members of the genus *Astyanax* (Fauaz *et al.*, 1994), with, according to Centofante *et al.* (2001), about 14 species/populations from different neotropical fish families presenting triploid specimens. Although the fertilization of a  $2n$  egg by a haploid sperm is one of the most probable origins of a triploid zygote (Cuellar and Uyeno, 1972; Valenti, 1975) an unreduced egg can also be formed by the retention of the second polar body through thermal shock, fish living at higher altitudes (which is the case for the Vila Velha sinkholes), especially in headwater regions where the temperature can drop suddenly, being more susceptible to this type of event. This hypothesis supports the *de novo* formation of natural triploids in different fish populations due to sporadic occurrences related to adverse environmental conditions. The triploid sinkhole 2 *Astyanax* specimens showed three typical Ag-NORs, indicating that all NOR sites were transcriptionally active and the absence of a differential regulatory process for these genes.

In conclusion, our data, including the karyotype characteristics, indicate that this interesting model of enclosed *Astyanax* populations from the Vila Velha sinkholes shows evidence of inbreeding depression and loss of heterozygosity due to the particular conditions verified in the sinkholes.

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