

Determination of the Main Influencing Factors on Road Fatalities Using an Integrated Neuro-Fuzzy Algorithm

Abstract

This paper proposed an integrated algorithm of neuro-fuzzy techniques to examine the complex impact of socio-technical influencing factors on road fatalities. The proposed algorithm could handle complexity, non-linearity and fuzziness in the modeling environment due to its mechanism. The Neuro-fuzzy algorithm for determination of the potential influencing factors on road fatalities consisted of two phases. In the first phase, intelligent techniques are compared for their improved accuracy in predicting fatality rate with respect to some socio-technical influencing factors. Then in the second phase, sensitivity analysis is performed to calculate the pure effect on fatality rate of the potential influencing factors. The applicability and usefulness of the proposed algorithm is illustrated using the data in Iran provincial road transportation systems in the time period 2012-2014. Results show that road design improvement, number of trips, and number of passengers are the most influencing factors on provincial road fatality rate.

Keywords

Road Fatalities, socio-technical factors, road transportation systems, Neuro-fuzzy.

Amir Masoud Rahimi ^a

^a Assistant Professor, Civil Engineering Department, Faculty of Engineering, University of Zanjan, Zanjan, Iran.
Email: amrahimi@znu.ac.ir

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1 INTRODUCTION

Road fatalities can be subject to many influencing factors that may vary from one country or region to another. Road fatality may be influenced by socio-technical-economical factors, cultural factors, road design factors, vehicle mix on road, etc. Although significant correlation may be reported between the changes in fatalities and the influencing factors, the type of functional characteristics remains undetermined to practitioners and policy makers. There is a need to develop reliable and robust methods to examine the effect of influencing factors on fatality rate.

Road safety performance has been the subject of many research works. Tingvall et al. (2010) studied the relationship between middle output indicators and final safety indicators and using a regression model they showed the significance of relationship between middle output indicators such

as behavior modification and technology utilization, and fatality rate. Ma et al (2011) categorized road safety indicators according to the geographical areas including regional, urban, and highway. Kulmala (2010) emphasized the need for a comprehensive framework in which different safety aspects including exposure rate, accident risk, and unwanted outcome management had been taken into consideration.

In the aggregate data context, factors that serve as surrogates for exposure to traffic crashes are generally classified as socioeconomic and demographic features (e.g., GNP, or amount of income tax, per capita alcohol consumption, literacy rate, total/urban population and vehicle densities, employment rate, the distribution of population by age class, by matrimonial status, number of suicide and of drug offences, number of hospitals and medical personnel, etc.), road network characteristics including environmental and engineering features (e.g., mean precipitation, mean number of rain, frost, hail, fog, and snow days, curvatures, presence of ramps, number of lanes, etc.), policymaking (e.g., road classification/ construction), driver behavior (e.g., age chords and rate of driver licensing), vehicle characteristics, and police enforcements (e.g., speed limit regulation and seat-belt legislation) in a county/city/country (Anwaar et al., 2012; Coruh et al., 2015; Jamroz, 2015).

Gitelman et al. (2013) focused on safety indicators in managing post-accident unwanted consequences. They introduced five groups of safety indicators including emergency service accessibility, emergency personnel accessibility, emergency facilities accessibility, response time to emergency calls, and hospital bed accessibility for accident injuries. Yannis et al. (2013) studied behavioral indicators (safety belt utilization, to avoid alcohol and drug usage) along with vehicle indicators (vehicle reliability, air bag) and discussed the need for other safety indicators including policy, infrastructure, management and intelligent technologies (see also Christoph et al. (2013) for more vehicle related indicators).

Rohayu et al. (2012) used a time-series (ARIMA) to model changes in fatality rate in Malaysia and predicted road fatalities for the year 2020. Macinko et al., (2015) studied the effect on motor vehicle fatalities of the two influencing factors position in the car and the sex of the driver. Burke and Nishitatenno (2015) studied the effect of gasoline price on road fatalities and showed that a 10% increase in the gasoline pump price will result in 3%–6% decline in fatalities. Castillo-Manzano et al. (2015) examined the impact on the traffic accident rate of the interaction between trucks and cars on Europe's roads using a panel data in 1999–2010. They reported that increasing the relative number of trucks lead to higher traffic fatalities. Ahangari et al. (2015) studied the improvement trends in road fatalities in 16 industrialized countries on a basis of structural factors, gasoline price, socioeconomic factors, mobility levels, motorization, and health care.

AI techniques are increasingly diversifying today but between them two techniques have gained special attention in function estimation problems namely Artificial Neural Networks (ANN) and adaptive neuro-fuzzy inference system (ANFIS) (Jang et al., 1997). Due to the ability of neural networks and neuro-fuzzy system in modeling complex nonlinear systems, plenty of their successful applications have been reported in the literature in different fields.

This paper proposes an integrated algorithm of two intelligent techniques namely ANN and ANFIS to find the complex impact of socio-technical influencing factors on road fatalities. The proposed algorithm can handle complexity, non-linearity and fuzziness in the modeling environment due to its mechanism.

This paper is organized as follows. In section 2, a step-by-step neural networks and neuro-fuzzy system algorithm is presented. Section 2 also introduces the theory of the methods. Section 3, presents the results of the proposed algorithm for modelling the relationship between influencing factors and fatalities in 31 provinces of Iran. Section 4 presents the main findings and conclusion.

2 NEURO-FUZZY ALGORITHM

The neuro-fuzzy algorithm for determination of the potential influencing factors on road fatalities is depicted in Figure 1. This algorithm consists of two phases. In the phase 1, first, all the potential influencing factors with significant effect on fatality rate are specified based on a survey in the related literature. Then, data on the specified variables are collected. In the next two parallel steps, ANN and ANFIS models are formulated and then got trained with the train data. At the end of phase 1, ANN and ANFIS are compared regarding their error for test data (capability for prediction) and the best method is selected based on minimum error. Therefore, the main output of the first phase is the best method for modelling and estimation of fatality rate.

In the second phase, sensitivity analysis is performed to calculate the pure effect on fatality rate of the potential influencing factors. It should be noted that this sensitivity analysis is performed with the use of the preferred best method from phase 1. Finally, a priority map of the influencing factors will be drawn and those factors with the relative high impact on fatality rate will be determined.

2.1 Data on the Influencing Factors

For study the fatality rate and its changes over years in different provinces, some influencing factors are derived and the data are collected. These influencing factors are:

Daily transit: the number of vehicles passed a special point in the road, discovered by traffic monitoring cameras

- Number of road improving projects in a province, eliminating dangerous points
- Number of intelligent technologies (ITS) installed along all road in the province
- Number of emergency stations along all road in the province
- Number of users trained for better road safety
- The share of highway in the total length of provincial roads
- The share of illuminated roads in the total length of provincial roads
- The total number of trips in a year in a province
- The total number of passengers delivered in every 100 KM
- Population density; the number of people in every 100 KM of roads
- Fatalities; number of users died on the provincial roads

The data on the above variables are collected from the RMTO (2015) website and their descriptive statistics are presented in Table 1.

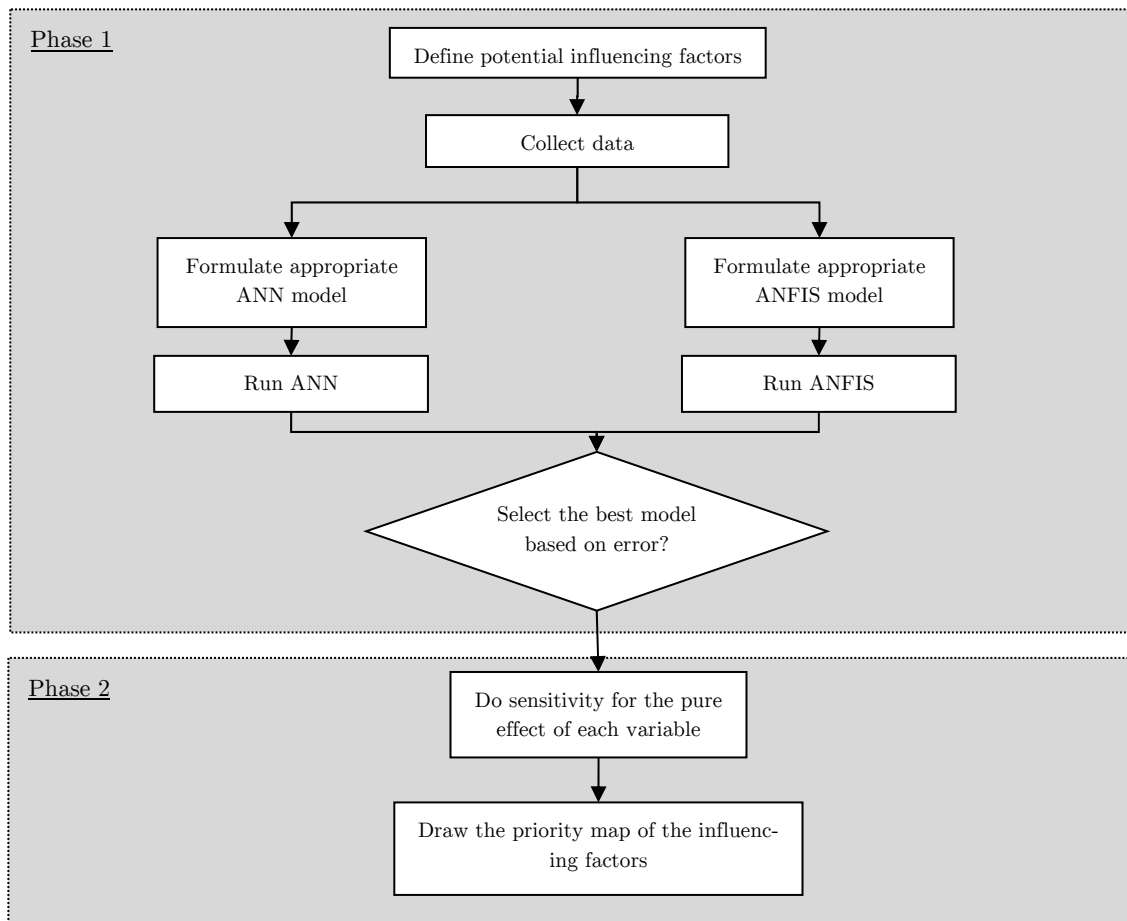


Figure 1: The Neuro-fuzzy algorithm to determine the influencing factors on fatalities.

Model Variables	Min	Max	Average	STDEV
Daily transit,	6552	218208	43786	46524
Road design improvement	3	76	19	15
ITS in 100 KM	1	18	6	4
Emergency service	16	107	41	25
User training	2874	27984	9183	5799
Highway share in 100 KM	2	65	22	14
Road illumination in 100KM	1	30	7	7
Population	459754	11860666	2447182	2198878
# of trips (thousands)	84	1478	570	364
# of passengers	1463	26533	6741	5830
Fatalities	10	1023	356	210
Road network size (length)	375	7877	2722	1820

Source: RMTO, 2015

Table 1: Descriptive Statistics of the Model Variables.

2.2 Artificial Neural Network

The research in the field has a history of many decades, but after a diminishing interest in the 1970's, a massive growth started in the early 1980's. Today, Neural Networks can be configured in various arrangements to perform a range of tasks including pattern recognition, data mining, classification, forecasting and process modeling. ANNs are composed of attributes that lead to perfect solutions in applications where we need to learn a linear or nonlinear mapping. Some of these attributes are: learning ability, generalization, parallel processing and error endurance. These attributes would cause the ANNs solve complex problem methods precisely and flexibly.

ANNs consists of an inter-connection of a number of neurons. There are many varieties of connections under study, however here we will discuss only one type of network which is called the Multi Layer Perceptron (MLP). In this network the data flows forward to the output continuously without any feedback. Figure 2 shows a typical two-layer feed forward model used for road fatality forecasting.

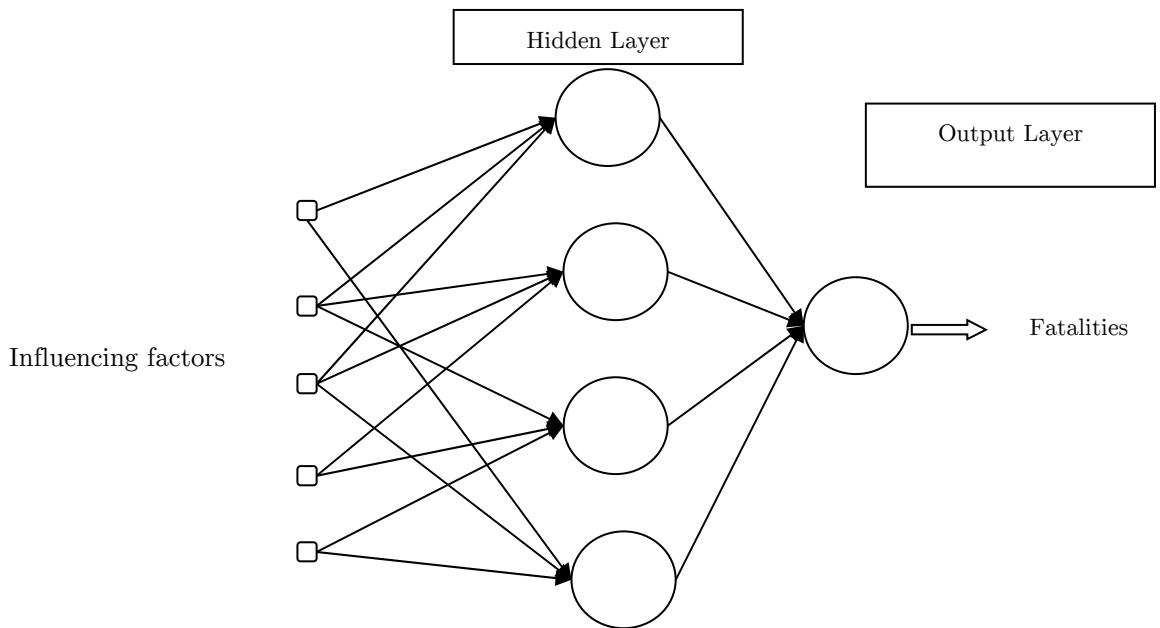


Figure 2: A two-layer MLP network.

The input nodes are the previous lagged observations while the output provides the forecast for the future value. Hidden nodes with appropriate nonlinear transfer functions are used to process the information received by the input nodes. The model can be written as:

$$y_t = \alpha_0 + \sum_{j=1}^n \alpha_j f \left(\sum_{i=1}^m \beta_{ij} y_{t-i} + \beta_{0j} \right) + \varepsilon_t \quad (1)$$

Where m is the number of input nodes, n is the number of hidden nodes, f is a sigmoid transfer function such as the logistic:

$$f(x) = \frac{1}{1 + \exp(-x)}$$

$\{a_j, j = 0, 1, \dots, n\}$ is a vector of weights from the hidden to output nodes and $\{\beta_{ij}, i = 1, 2, \dots, m; j = 0, 1, \dots, n\}$ are weights from the input to hidden nodes. a_0 and β_{0j} are weights of arcs leading from the bias terms which have values always equal to 1. Note that Equation (1) indicates a linear transfer function is employed in the output node as desired for forecasting problems.

The MLP's most popular learning rule is the error back propagation algorithm. Back Propagation learning is a kind of supervised learning introduced by Werbos (1974). At the beginning of the learning stage all weights in the network are initialized to small random values. The algorithm uses a learning set, which consists of input – desired output pattern pairs. Each input – output pair is obtained by the offline processing of historical data. These pairs are used to adjust the weights in the network to minimize the Sum Squared Error (SSE) which measures the difference between the real and the desired values over, all output neurons and all learning patterns. After computing SSE, the back propagation step computes the corrections to be applied to the weights.

The attraction of MLP has been explained by the ability of the network to learn complex relationships between input and output patterns, which would be difficult to model with conventional algorithmic methods. There are three steps in solving an ANN problem which are 1) training, 2) generalization and 3) implementation. Training is a process that network learns to recognize present pattern from input data set. We present the network with training examples, which consist of a pattern of activities for the input units together with the desired pattern of activities for the output units.

For this reason each ANN uses a set of training rules that define training method. Generalization or testing evaluates network ability in order to extract a feasible solution when the inputs are unknown to network and are not trained to network. We determine how closely the actual output of the network matches the desired output in new situations. In the learning process the values of interconnection weights are adjusted so that the network produces a better approximation of the desired output. ANNs learn by example. They cannot be programmed to perform a specific task.

The examples must be selected carefully otherwise useful time is wasted or even worse the network might be functioning incorrectly. The disadvantage is that because the network finds out how to solve the problem by itself and its operation can be unpredictable. In this paper the effort is made to identify the best fitted network for the desired model according to the characteristics of the problem and ANN features.

2.3 Adaptive Network-Based Fuzzy Inference System (ANFIS)

Neuro-fuzzy modeling (Jang, 1993; and Brown and Harris, 1994) refers to the way of applying various learning techniques developed in the neural network literature to fuzzy modeling or a fuzzy inference system. Neuro-fuzzy system, which combine neural networks and fuzzy logic recently have gained great interest in research and application. The neuro-fuzzy approach added the advantage of reduced training time not only due to its smaller dimensions but also because the network can be initialized with parameters relating to the problem domain. Such results emphasize the benefits of

the fusion of fuzzy and neural network technologies as it facilitates an accurate initialization of the network in terms of the parameters of the fuzzy reasoning system.

A specific approach in neuro-fuzzy development is the adaptive neuro-fuzzy inference system (ANFIS), which has shown significant results in modeling nonlinear functions Jang et al. (1997). ANFIS uses a feed forward network to search for fuzzy decision rules that perform well on a given task. Using a given input-output data set, ANFIS creates a FIS whose membership function parameters are adjusted using a backpropagation algorithm alone or a combination of a backpropagation algorithm with a least squares method. This allows the fuzzy systems to learn from the data being modeled. For more details the interested readers are referred to Jang et al. (1997).

Adaptive Neuro-Fuzzy Inference Systems are fuzzy Sugeno models put in the framework of adaptive systems to facilitate learning and adaptation. Such framework makes FLC more systematic and less relying on expert knowledge. To present the ANFIS architecture, let us consider two-fuzzy rules based on a first order Sugeno model:

$$\begin{aligned} \text{Rule 1: if } (x \text{ is } A_1) \text{ and } (y \text{ is } B_1) \text{ then } (f_1 = p_1x + q_1y + r_1) \\ \text{Rule 2: if } (x \text{ is } A_2) \text{ and } (y \text{ is } B_2) \text{ then } (f_2 = p_2x + q_2y + r_2) \end{aligned} \quad (2)$$

One possible ANFIS architecture to implement these two rules is shown in Figure 3. In the following presentation O_{Li} denotes the output of node i in a layer L .

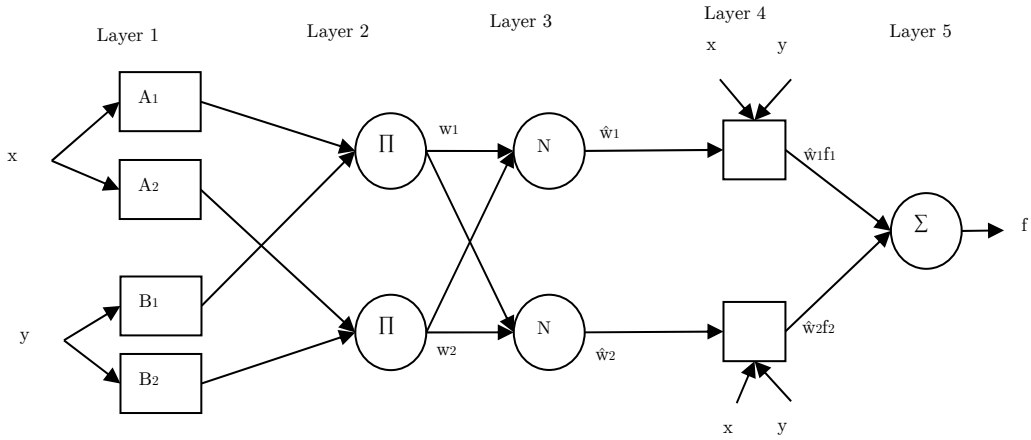


Figure 3: Construct of ANFIS

Layer 1: All the nodes in this layer are adaptive nodes, i is the degree of the membership of the input to the fuzzy membership function (MF) represented by the node:

$$\begin{aligned} o_{1,i} &= \mu_{A_i}(x) \\ o_{1,i} &= \mu_{B_{i-2}}(y) \end{aligned} \quad (3)$$

A_i and B_i can be any appropriate fuzzy sets in parameter form.

Layer 2: The nodes in this layer are fixed (not adaptive). These are labeled M to indicate that they play the role of a simple multiplier. The outputs of these nodes are given by:

$$o_{2,i} = w_i = \mu_{A_i}(x) \times \mu_{B_i}(y), i=1, 2 \quad (4)$$

The output of each node in this layer represents the firing strength of the rule.

Layer 3: Nodes in this layer are also fixed nodes. These are labeled N to indicate that these perform a normalization of the firing strength from previous layer. The output of each node in this layer is given by:

$$o_{3,i} = \frac{w_i}{\sum w_i} = \frac{w_i}{w_1 + w_2} = \bar{w}_i \quad (5)$$

Layer 4: All the nodes in this layer are adaptive nodes. The output of each node is simply the product of the normalized firing strength and a first order polynomial:

$$o_{4,i} = \bar{w}_i f_i = \bar{w}_i (p_i x + q_i y + r_i) \quad i=1, 2 \quad (6)$$

Where p_i , q_i and r_i are design parameters (consequent parameter since they deal with the then-part of the fuzzy rule).

Layer 5: This layer has only one node labeled S to indicate that it performs the function of a simple summer. The output of this single node is given by:

$$o_{5,i} = \sum_i \bar{w}_i f_i = \frac{\sum_i w_i f_i}{\sum_i w_i} \quad (7)$$

The ANFIS architecture is not unique. Some layers can be combined and still produce the same output. In this ANFIS architecture, there are two adaptive layers (1, 4). Layer 1 has three modifiable parameters (a_i , b_i and c_i) pertaining to the input MFs. These parameters are called premise parameters. Layer 4 has also three modifiable parameters (p_i , q_i and r_i) pertaining to the first order polynomial. These parameters are called consequent parameters (Jang et al., 1997).

3 RESULTS

The data on the influencing factors as well as the fatality rate are collected for the 31 provinces in Iran in the time period 2012-2014. Therefore, totally 93 data observations are available to train and test the methods. For training, 80% of the data available are used to run ANN and ANFIS. To have robust and accurate results and to eliminate the effect of variable scales, all data are normalized between 50 and 100.

ANN is trained with the Neural Network toolbox in MATLAB. The important features of ANN training is as follows: The structure of ANN is a multi-layer perceptron with a single hidden layer. The number of neurons in the hidden layer is optimized between 2 and $N/(p+2)$ where N is the total number of available data for training and p is the number of ANN inputs. The training algo-

rithm is the error back-propagation named *trainlm*. The transfer function for the hidden layer is Sigmoid function and for output layer is Linear.

For ANFIS training, the input variables should be represented in terms of fuzzy linguistic variables. Here, we use subtractive clustering algorithm. First, *genfis2* function of MATLAB[®] has generated an initial FIS and then this initial FIS is trained by *anfis* function to yield a final fuzzy inference system named ANFIS.

After training, ANN and ANFIS are compared for their better performance according to their forecasting error. The error estimation method used in this study is Mean Absolute Percentage Error (MAPE). It can be calculated by the following equation:

$$MAPE = \frac{1}{n} \sum_{t=1}^n \left| \frac{x_t - x'}{x_t} \right| \quad (8)$$

In (8), x and x' are actual and estimated data, respectively. Scaling the output, MAPE method is the most suitable method to estimate the relative error because input data may have different scales.

Figure 4 illustrates the performance of ANN and ANFIS for modeling and estimation of fatality rate. As seen, the estimated fatality rate by ANN is closer to the actual data than the estimated fatality rate by ANFIS. In this figure, the MAPE for ANN is 4.3% and for ANFIS is 6.3%, both error rates are satisfactory but the error of ANN is lower than ANFIS.

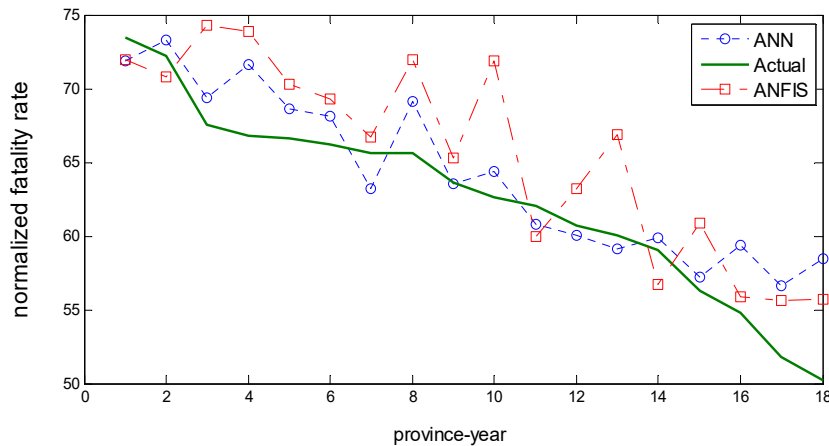


Figure 4: Comparison of ANN and ANFIS for fatality rate prediction.

To show the robustness and significance of ANN superiority over ANFIS method, 30 experiments with different sets of test data are conducted. The test set is always 20% of the total available data but in each experiment they selected on a random basis. Consequently, in each experiment different data observations are used for training and test. The idea is that the superior method should significantly perform better all over the 30 experiments. The results of MAPE in the randomly conducted experiments are presented in Table 2.

The results of MAPE in the above Table 1 show that the error of ANN with the average of 4.3% is significantly less than the error of ANFIS with the average of 6.3%. Therefore, with respect to MAPE, the preferred method for modelling fatality rate is ANN.

#Experiment	ANN MAPE	ANFIS MAPE	#Experiment	ANN MAPE	ANFIS MAPE	#Experiment	ANN MAPE	ANFIS MAPE
1	4	6.3	11	4.1	6.3	21	4.2	6.5
2	3.9	5.5	12	4	5.7	22	4.2	5.8
3	4.6	6.4	13	4.6	6.4	23	4.8	6.5
4	4.1	6.2	14	4.3	6.4	24	4.4	6.5
5	4.2	6.8	15	4.3	6.8	25	4.3	7
6	4.5	6.9	16	4.6	7.1	26	4.7	7.1
7	4.4	6.5	17	4.5	6.6	27	4.5	6.7
8	4.4	6.3	18	4.5	6.3	28	4.7	6.5
9	4.1	5.8	19	4.1	5.9	29	4.2	6.1
10	3.9	5.6	20	4	5.7	30	4.2	5.9

Table 2: The results of MAPE in the randomly conducted experiments.

3.1 Impact Analysis With ANN

Figure 5 shows the pure effect of daily transit on road fatality rate. As seen this effect is very small that cannot be significant however, the small changes are non-linear.

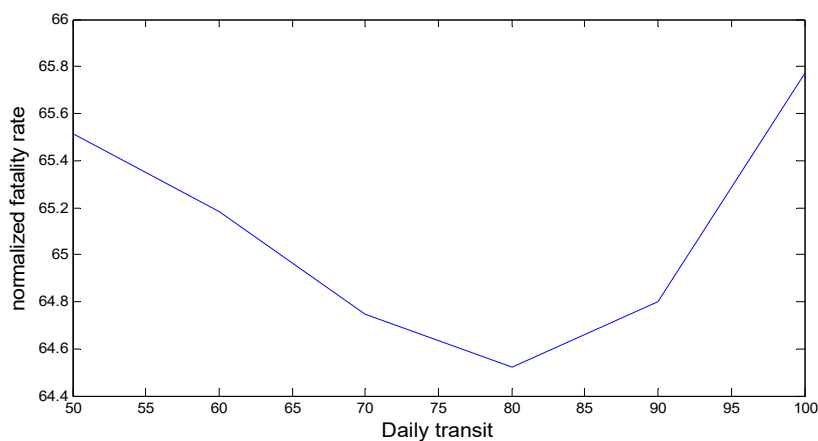


Figure 5: The pure effect of daily transit on provincial road fatalities.

Figure 6 shows the pure effect of road design improvement on road fatality rate. As seen this effect is significant and the changes are non-linear.

Figure 7 shows the pure effect of intelligent technologies on road fatality rate. As seen this effect is not significant yet the changes are non-linear.

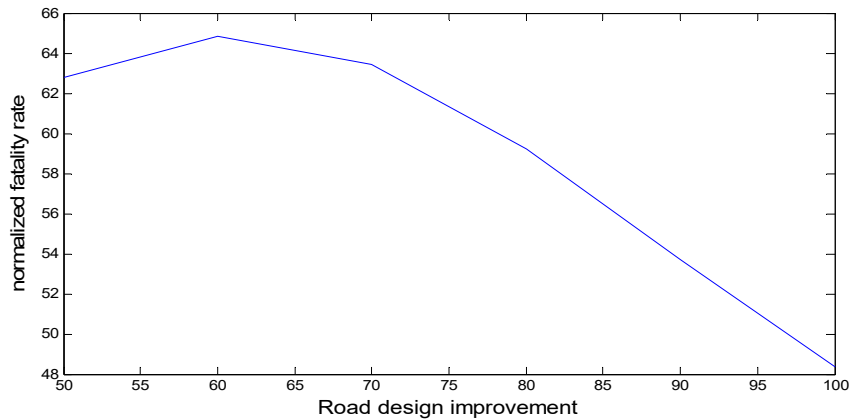


Figure 6: The pure effect of road design improvement on provincial road fatalities.

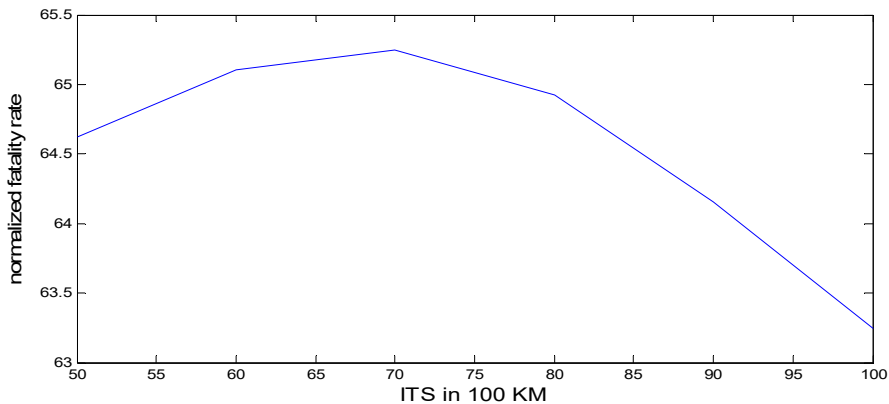


Figure 7: The pure effect of intelligent technologies on provincial road fatalities.

Figure 8 shows the pure effect of emergency services on road fatality rate. As seen this effect is significant and the changes are non-linear. Figure 9 shows the pure effect of user training on road fatality rate. As seen this effect is not significant and the changes are non-linear.

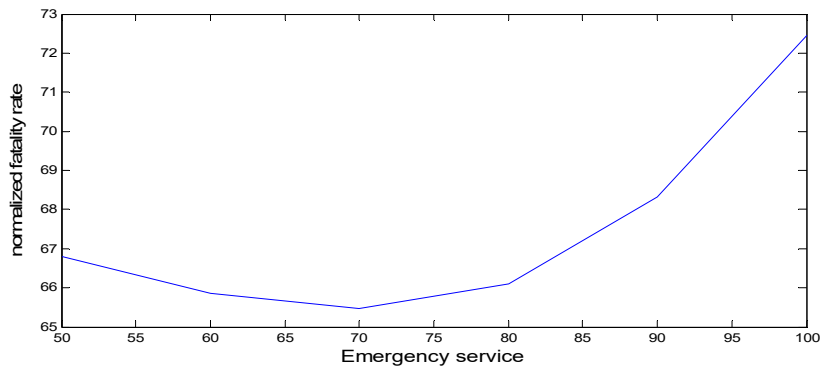


Figure 8: The pure effect of emergency services on provincial road fatalities.

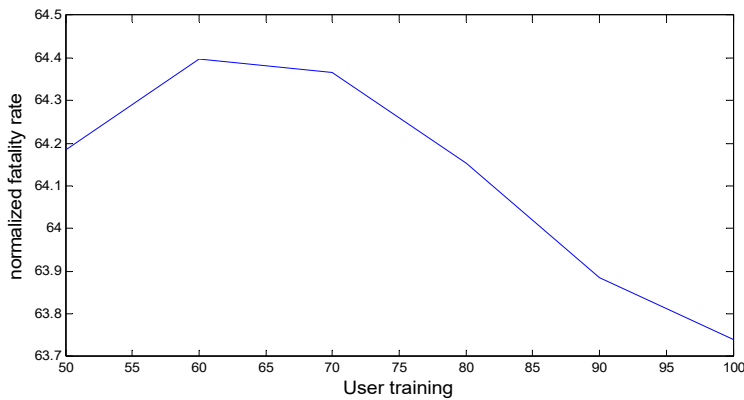


Figure 9: The pure effect of user training on provincial road fatalities.

Figure 10 shows the pure effect of highway share on road fatality rate. As seen this effect is significant and the changes are non-linear. Figure 11 shows the pure effect of road illumination on road fatality rate. As seen this effect is significant and the changes are non-linear.

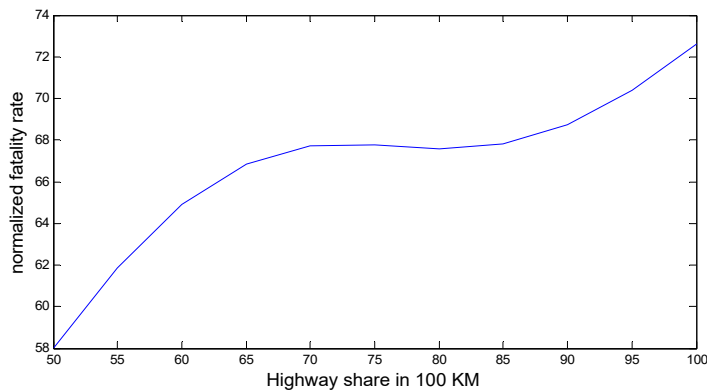


Figure 10: The pure effect of highway share on provincial road fatalities.

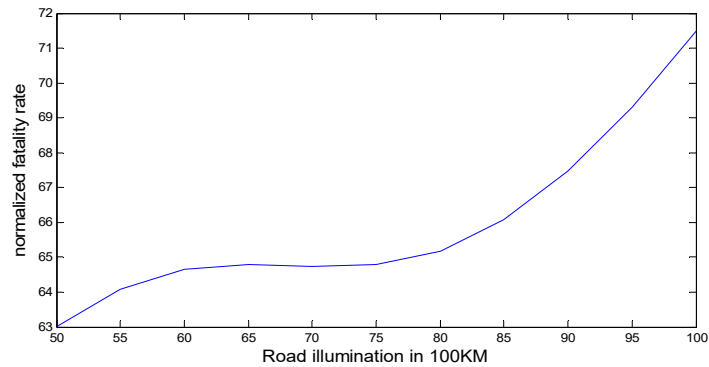


Figure 11: The pure effect of road illumination on provincial road fatalities.

Figure 12 shows the pure effect of number of trips services on road fatality rate. As seen this effect is significant and the changes are linear. Figure 13 shows the pure effect of number of passengers on road fatality rate. As seen this effect is significant and the changes are linear. Figure 14 shows the pure effect of population density on road fatality rate. As seen this effect is significant and the changes are non-linear.

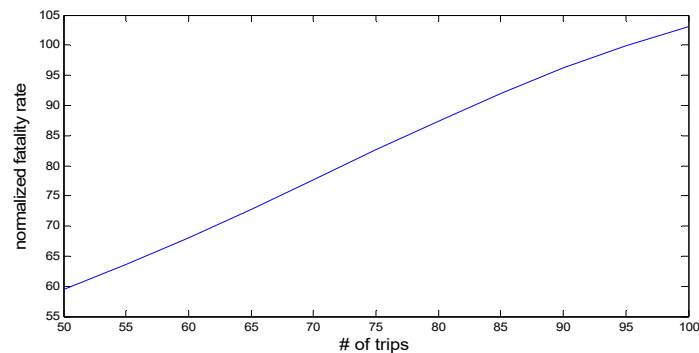


Figure 12: The pure effect of number of trips on provincial road fatalities.

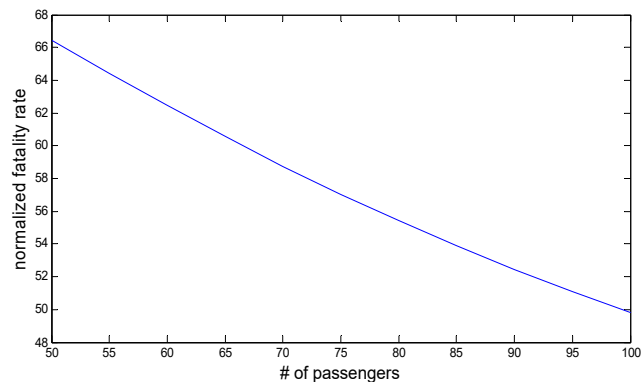


Figure 13: The pure effect of number of passengers on provincial road fatalities.

In summary, the effects of the influencing factors normalized between -1 to +1 are presented in Figure 15. Assuming all these effects are distributed according to a Normal distribution, the lower and upper bound in this figure shows a distance of one standard deviation from the mean, i.e. $[\mu-\sigma, \mu+\sigma]$. Those pure effects which lay outside of this confidence interval are considered as the most influencing factors on road fatality rate. As seen in this figure, the influencing factors 1, 8, and 9 are the most influencing factors which are road design improvement, number of trips, and number of passengers.

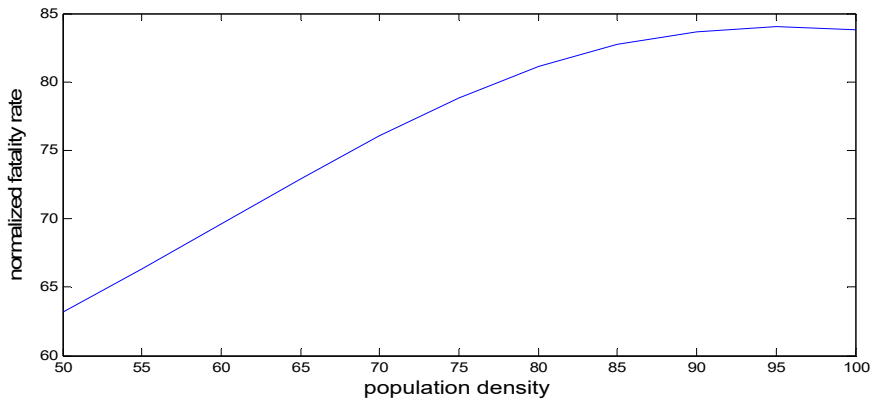


Figure 14: The pure effect of population density on provincial road fatalities.

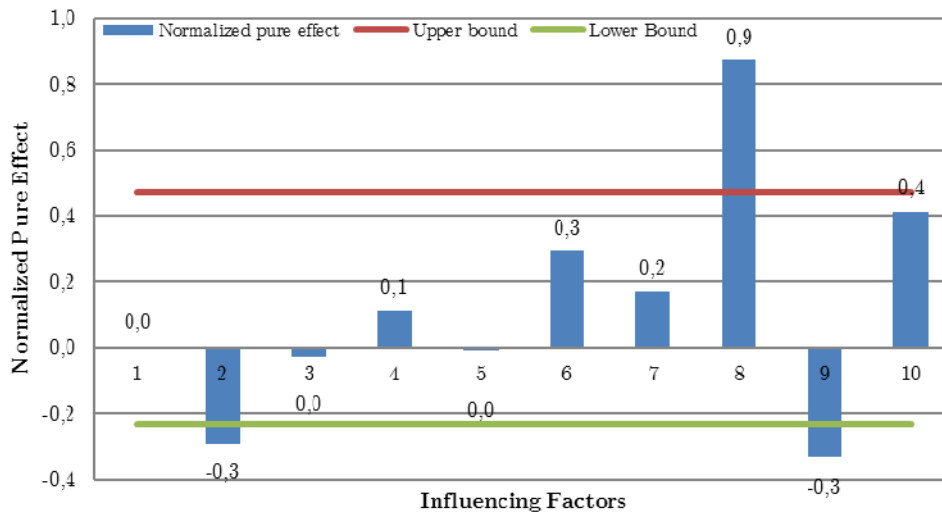


Figure 15: The normalized pure effect of influencing factors on provincial road fatalities.

4 CONCLUSIONS

There was a need to develop reliable and robust methods to examine the effect of influencing factors on provincial fatality rate. In this respect, this paper proposed an integrated algorithm of two intel-

ligent techniques namely ANN and ANFIS to find the complex impact of socio-technical influencing factors on road fatalities. The proposed algorithm could handle complexity, non-linearity and fuzziness in the modeling environment. The neuro-fuzzy algorithm for determination of the potential influencing factors on road fatalities consisted of two phases. In the first phase, ANN and ANFIS were compared regarding their accuracy for fatality prediction and ANN was determined as the preferred method. In the second phase, ANN based sensitivity analysis was performed to calculate the pure effect on fatality rate of the potential influencing factors. Results showed that road design improvement, number of trips, and number of passengers are the most influencing factors on road fatality rate.

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