Antiretroviral resistance in individuals presenting therapeutic failure and subtypes of the human immunodeficiency virus type 1 in the Northeast Region of Brazil

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This study aimed to analyze human immunodeficiency virus (HIV) mutation profiles related to antiretroviral resistance following therapeutic failure, and the distribution of HIV subtypes in the Northeast Region of Brazil. A total of 576 blood samples from AIDS patients presenting therapeutic failure between 2002 and 2004 were analyzed. The genotyping kit ViroSeq® was used to perform viral amplification in order to identify mutations related to HIV pol gene resistance. An index of 91.1% of the patients presented mutations for nucleoside reverse transcriptase inhibitors (NRTI), 58.7% for non-nucleoside reverse transcriptase inhibitors (NRTI), and 94.8% for protease inhibitors (PI). The most prevalent mutations were 184V and 215E for NRTI, 103N and 190A for NNRTI. Most mutations associated with PIs were secondary, but significant frequencies were observed in codons 90 (25.2%), 82 (21.1%), and 30 (16.2%). The resistance index to one class of antiretrovirals was 14%, to two classes of antiretrovirals 61%, and to three classes 18.9%. Subtype B was the most prevalent (82.4%) followed by subtype F (11.8%). The prevalence of mutations related to NRTI and NNRTI was the same in the two subtypes, but codon analysis related to PI showed a higher frequency of mutations in codon 63 in subtype B and in codon 36 in subtype F. The present study showed that there was a high frequency of primary mutations, which offered resistance to NRTI and NNRTI. Monitoring patients with treatment failure is an important tool for aiding physicians in rescue therapy.

Key words: human immunodeficiency virus type 1 - resistance to drugs - genotyping - subtypes - Brazil

The use of antiretroviral drugs which started with AZT at the end of the 80s (Fischl et al. 1987) was transformed through the use of highly active antiretroviral therapy (HAART) from 1996 onwards, and this brought in a period of great euphoria (Hammer et al. 1997). At that moment it seemed that the challenge of treating human immunodeficiency virus (HIV) infection had largely been solved. A significant decrease in morbidity and mortality rates due to AIDS was observed (Palella et al. 1998) even in Brazil, where universal distribution of antiretrovirals by the Ministry of Health began in 1991. However, despite the success of this therapy, it was observed that some patients presented failure of the antiretroviral regimens, even in the case of the higher active ones (Ledergerber et al. 1999). As a result, the choice of subsequent therapies proved to be difficult, since such failure generally resulted in the emergence of resistance mutations that could even cause crossresistance between several antiretrovirals of the same drug class (Clavel & Hance 2004). In this scenario, several studies

such as those of Viradapt (Europe), Gart (United States), Narval (France), Havana (Spain), and Avanti (Italy), assessed the benefit of genotyping tests as a tool for assisting in the therapeutic rescue of patients with treatment failure. These studies showed that, among patients with antiretroviral failure, the use of genotyping tests led to a greater effectiveness regarding medication change, in comparison with the empirical choices that were habitually made (Haubrich & Demeter 2001). With regard to costs, a decrease in those related to medication was observed as a result to an earlier and correct treatment choice and particularly to the rational use of protease inhibitors (Chaix et al. 2000, Diaz 2004).

In Brazil, through the National STD/AIDS Program, the Ministry of Health implemented the National Genotyping Network (Renageno) from 2001 on. The network was composed of laboratories distributed along different country regions with capacity to carry out genotyping tests and also teams of doctors trained for interpreting these tests. The Central Public Health Laboratory of Pernambuco State (Lacen-PE) was responsible for tests in the states of Piauí, Ceará, Rio Grande do Norte, Paraíba, Pernambuco, and Alagoas; all of them localized in the Northeast Region of Brazil. The present study describes the frequency of resistance to the principal classes of antiretrovirals, the frequency of HIV-1 mutations associated with these medications, the prevalence of this virus subtypes in the Northeast region, and the differences in mutation frequency between the most prevalent subtypes, detected in samples from patients who underwent the genotyping test at Lacen-PE, between 2002 and 2004.

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PATIENTS, MATERIALS AND METHODS

Cases - All samples taken from individuals from the states of Piauí, Ceará, Rio Grande do Norte, Paraíba, Pernambuco, and Alagoas, who presented therapeutic failure to antiretrovirals and underwent HIV genotyping tests at Lacen-PE between January, 2002 and December, 2004, were included in the study. Therapeutic failure was defined as the elevation of viral load to above 5000 copies/ml or a rise in viral load of 0.5 log or more. The participants received relevant information and signed a consent form. This study was part of the Ministry of Health's original Renageno project, which was approved by the National Ethics and Research Commission (Protocol no. 2857).

HIV-1 resistance genotyping determination - The ViroSeqTM HIV-1 Genotyping System (Celera Diagnostic, Abbott Laboratories, US) was used to identify the resistance-associated mutations in the HIV-1 polymerase (pol) gene. The methodology included isolation and purification of plasma viral RNA, followed by cDNA syn-

thesis and genomic amplification by polymerase chain reaction (PCR) assay of the HIV-1 *pol* fragment (reverse transcritase-PCR and PCR module), spanning the entire protease (PR) gene and approximately two thirds of the RT gene. The amplified PCR products were sequenced using the BigDye Terminator sequencing chemistry (Sequencing Module – Big Dye v.2.0), and they were analyzed on an ABI Prism 3100 Genetic Analyzer (Applied Biosystems, US), coupled to the DNA sequencing analysis software. Mutation resistance profiles were classified according to the International AIDS Society consensus (D'Aquilla et al. 2002). To determine the HIV-1 genetic subtypes, all sequences were analyzed using the Stanford Sequence Resistance Database (http://hivdb.stanford.edu).

RESULTS

A total of 576 samples were collected, but the mutation analysis was performed on only 516 samples, since 21 (3.6%) were mislaid, 37 (6.4%) were not amplified and two (0.35%) did not show any mutations. The

TABLE

Demographic, immunological, and virological data from all individuals, and in relation to the most prevalent subtypes

Variable	Subtype							
	Total		В		F			
	N	%	N	%	N	%	χ^2	p-value
State ^b							28.53	< 0.001
Alagoas	57	9.9	42	10.2	3	5.0		
Ceará	241	41.8	190	46.0	12	20.0		
Paraíba	13	2.3	10	2.4	1	1.7		
Pernambuco	240	41.7	153	37.0	43	71.7		
Piauí	22	3.8	16	3.9	-	-		
Rio Grande do Norte	3	0.5	2	0.5	1	1.7		
Sex^b							12.72	< 0.001
Male	424	73.5	325	78.9	34	56.7		
Female	152	26.5	88	21.1	26	43.3		
Age (years) ^{a b}							2.42	0.489
< 25	31	5.4	20	4.9	3	5.0		
25 to 34	149	26.0	106	25.8	10	16.7		
35 to 49	326	56.8	239	58.2	40	66.7		
50 and more	68	11.8	46	11.2	07	11.7		
Symptoms ^{a b}							0.05	0.816
Yes	111	20.1	81	20.2	10	17.9		
No	442	79.9	320	79.8	46	82.1		
CD4 (cells/mm ³) ^{a b}							1.23	0.541
< 200	241	42.2	170	41.6	28	46.7		
200 to 349	182	31.8	131	32.0	15	25.0		
350 and over	149	26.0	108	26.4	17	28.3		
Viral load (copies RNA/ml) ^{a l}	ь						2.52	0.284
> 100,000	176	30.8	118	28.9	23	38.3	2.02	0.20 .
10,000 to 100,000	321	56.1	239	58.4	29	48.3		
<10,000	75	13.1	52	12.7	8	13.3		
Failure of first antiretroviral scheme ^{a b}						0.05	0.951	
Yes	150	26.6	110	27.2	15	25.9	0.00	0.701
No	414	73.4	294	72.8	43	74.1		

a: the difference between the general total and the total for each variable is due to missing information; b: the difference between the general total and the total for each subtype is due to differences in the number of samples obtained and the number of samples analyzed for subtypes.

sociodemographic characteristics of the 516 patients included in the study are shown in the Table. Most of the patients were asymptomatic, with a mean T CD4⁺ lymphocyte count of 268, and around 69% presented a viral load lower than 100,000 copies/ml. Most cases had already used more than one antiretroviral treatment.

At least one main mutation for nucleoside reverse transcriptase inhibitors (NRTIs) was found in 89.1% of the samples; for non-NRTIs (NNRTI), in 55.4%; and for protease inhibitors (PIs), in 49.4%. The most frequent mutations related to NRTIs occurred in codons 184

(66%), 215 (61.5%), and 41(47.9%). Other mutations in codons associated with thymidine analog resistance mutations (TAMs) had a significant frequency: 67N, 70R, 210W, and 219Q/E/N. Among the mutations associated with NNRTIs, the most frequent were 103 (62%), 190 (38.7%), and 181 (29.2%) (Fig. 1). Most mutations associated with PIs were secondary, but significant frequencies were observed in codons 90 (25.2%), 82 (21.1%), and 30 (16.2%) (Fig. 2).

A total of 14.1% of the patients presented resistance to one class of antiretrovirals; 61.4%, to two classes; and

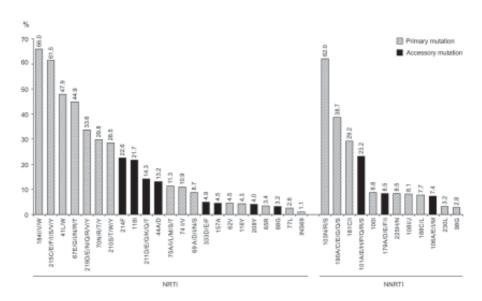


Fig. 1: percentage distribution of primary and accessory mutations associated with resistance to nucleoside analog reverse transcriptase inhibitors (NRTIs) and non-NRTIs (NNRTIs). Northeastern Region, 2002 to 2004.

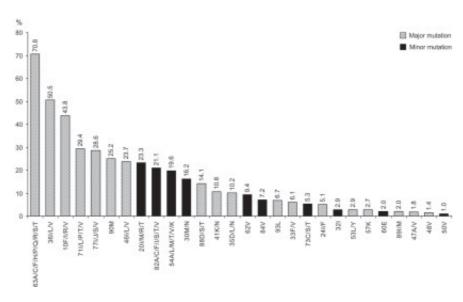


Fig. 2: percentage distribution of major and minor mutations relating to protease inhibitors. Northeastern region, 2002 to 2004.

18.9%, to three classes of these drugs. Only 5.4% of the patients presented no resistance to any antiretroviral drug. With regard to the number of therapeutic regimens utilized, it was found that 26.6% had used one regimen; 30.3%, had used two; 20.5%, three; 12%, four; and 10.6%, five or more. Analysis of the mutations, according to antiretroviral class showed that the mean number of mutations had a direct relationship with the number of regimens utilized, and that this association was statistically significant in Pearson's correlation (p < 0.05).

From the 502 samples analyzed for subtypes, the most prevalent subtype was B (82.4%), followed by F (11.8%), and recombinant subtypes B/D and B/F (4.6%). Only 5 (1%) of the samples were subtype C and one was subtype D (0.2%). The distribution of subtypes per state is presented in Fig. 3. Subtypes B and F accounted for 94.2% of the subtypes circulating in the region, and these were compared with each other regarding the patients' characteristics (Table).

The comparison of subtype F prevalence between Ceará and Pernambuco, regions with the highest number of samples, has shown a significant difference in the distribution of this subtype: in Pernambuco, subtype F occurred in 43 (21.9%) patients, while in Ceará this subtype was detected in only 12 (5.9%) patients, with a significant difference (p < 0.01) (data not shown in the Table). A greater prevalence of subtype F has been detected among women: 26 (22.8%) women compared to 34 (9.5%) men (p < 0.01).

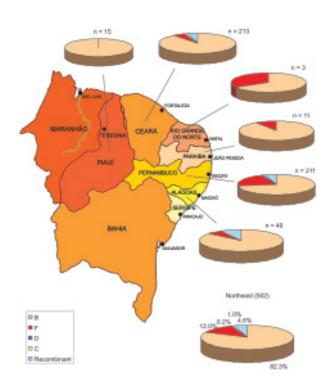


Fig. 3: geographic distribution of human immunodeficiency virus type-1 subtypes in the Northeast Region, 2002 to 2004.

Comparing the mutations related to the three classes of antiretrovirals in subtypes B and F, no statistically significant differences were observed, and the percentages were very close to those identified in the general analysis of mutations. Figs 4 and 5 present the prevalence of mutations in the most frequent subtypes (B and F) and correlate them with the NRTI, NNRTI, and PI regimens. Analyzing the most prevalent mutations for NRTIs, it was observed that mutation in codon 211 was more frequent in subtype F than in B, and this difference was statistically significant (p = 0.0001). The opposite was observed with mutation 210, which was more prevalent in subtype B than in F (p = 0.004). Fig. 4 also presents some mutations found in subtype B that were not shown in F (codons 65, 77, 116, 118, and 151).

The prevalence of mutations related to NNRTIs was similar in the two subtypes, except for mutations in codon 110, which occurred only in subtype F, and in codon 98, in subtype B.

There were statistically significant differences in the prevalence of mutations associated with PIs in the two subtypes, in relation to codons 35, 36, and 63 (p = 0.000), since mutations in the first two were more prevalent in subtype F, and in the third, in subtype B. The presence of mutations in codon 20 was more frequent in subtype F (p = 0.000), and in codon 77, in subtype B (p = 0.001) (Fig. 5).

DISCUSSION

The present study showed an uneven distribution in the number of samples sent by each state. Samples from Ceará and Pernambuco prevailed, probably due to the larger population of these two states, with a greater occurrence of AIDS cases. Most patients were asymptomatic, with a great chance of benefiting from rescue treatment.

The frequency of mutations was very high for all classes of antiretrovirals, which was expected for patients who had already undergone different antiretroviral regimens. Predominantly, primary mutations were associated with NRTIs and NNRTIs, and secondary mutations with PIs. Resistance to two drug classes occurred in 61.4% of the cases, and resistance to three classes was seen in 18.9% of them. Studies conducted in São Paulo by Sucupira et al. (2001) and Munerato et al. (2005) demonstrated a lower frequency of resistance for two classes of antiretrovirals, namely, 42.2% and 42.9%, respectively; and higher rates of resistance to three drug classes (36.8% and 46.5%, respectively). Data suggest that multiresistance to antiretrovirals follows the historical sequence of the use of antiretrovirals, which started earlier and in a more comprehensive way in the Southeast Region (Brito el al. 2005).

The profile of mutations observed in this study presents similarities with other Brazilian studies. The most prevalent primary mutation associated with NRTIs occurred in codon 184 (66%), similar to what was found in São Paulo (64%) by Rodrigues et al. (2005), and in Rio de Janeiro (67%) by Couto-Fernandez et al. (2005). Mutation in codon 184 is related to high resistance to lamivudine, and is the first mutation to emerge when this

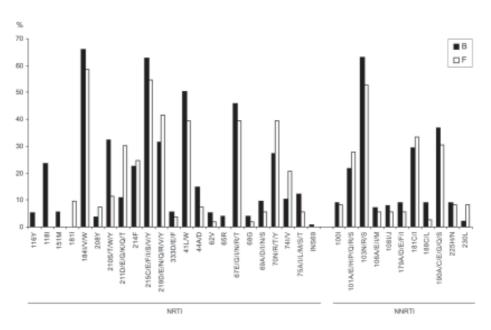


Fig. 4: percentage distribution of primary and accessory mutations associated with resistance to nucleoside analogue reverse transcriptase inhibitors (NRTIs) and non-NRTIs (NNRTIs), in relation to subtypes B and F. Northeastern region, 2002 to 2004.

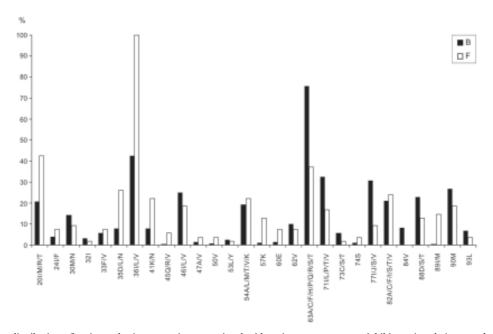


Fig. 5: percentage distribution of major and minor mutations associated with resistance to protease inhibitors, in relation to subtypes B and F. Northeastern region, 2002 to 2004.

medication takes part of a powerful antiretroviral regimen that fails. Lamivudine also has a critical role in treating hepatitis B virus chronic hepatitis in AIDS patients, being used in almost 70% of patients with this co-infection in the study of Sucupira et al. (2006). The present study also revealed a high prevalence of mutations associated with thymidine (TAMs) in codons 41, 67, 70, 210, 215, and 219. These mutations may lead to a varying de-

gree of cross-resistance between nucleoside analogs and represent a significant obstacle to obtaining rescue regimens capable of effectively controlling the viral load (Clavel & Hance 2004). The K65R mutation, which is selected by abacavir or tenofovir, occurred in fewer than 3.4% of the individuals, probably due to their recent introduction into the Brazilian consensus for antiretroviral use (Brazilian Ministry of Health 2004).

The frequency of mutations that confer resistance to NNRTIs in the present study was similar to that found in the studies of Couto-Fernandez et al. (2005) and Rodrigues et al. (2005). The most frequent mutation occurred in codon 103 (62%), which is usually selected through therapy using efavirez and, occasionally, using nevirapine, and it confers a high level of resistance to all medications in this class. This finding probably is due to the fact of selecting efavirez as the first-choice drug for starting antiretroviral therapy, in accordance with the Brazilian consensus for antiretroviral use for many years. Mutation 190, which generally appears after ineffective prolonged therapy using NNRTIs (Diaz 2004), occurred in 38.7% of the cases. Mutation 181, which is generally selected by nevirapine, occurred only in 29.2% of the patients.

Analysis of the mutations associated with PIs showed a greater prevalence of secondary mutations in codons 63, 36, and 10. The sum of the secondary mutations may facilitate the emergence of resistance to PIs, in a slow and gradual process that brings results with clinical significance (Clavel & Hance 2004, Diaz 2004). With regard to primary mutations associated with this drug class, a prevalence of 25.5% was found in codon 90, followed by 23.7% in codon 46 (23.7%) and 21.1% in codon 82. The mutation in codon 90 is generally selected by saquinavir and nelfinavir, and causes resistance to most PIs. Mutation 46 generally accumulates during the failure of most PIs, thereby causing a gradual increase in resistance levels, while mutation 82 emerges at an early stage of failure, frequently through indinavir and ritonavir (Diaz 2004).

Several studies have mapped out the circulation of HIV-1 subtypes in Brazil (Soares et al. 2003, Cerqueira et al. 2004, Pires et al. 2004, Couto-Fernandez et al. 2005, Rodrigues et al. 2005, Barreto et al. 2006). The study by Brindeiro et al. (2003) showed a predominance of subtype B (64.9%), followed by C in 22.8% of the samples, and F in 11.8%. Out of a total of 409 samples, only 48 were from Northeast Brazil, with predominance of samples from Ceará. In the same study, a significant regional variation in the circulation of subtypes C and F was observed. While subtype C circulated predominantly in the Southeast and Southern Regions, subtype F was more frequent in the Central-western and Northern Regions. The present study, which included 502 samples, demonstrated that subtype B had the greatest circulation, followed by subtype F, and few cases of subtype C, thus corroborating data from the mentioned study, regarding the Northeast Region.

The study showed a higher proportion of subtype F in Pernambuco (21.9%) than in Ceará, where only 5.6% of the samples belonged to this subtype. Three other works obtained very similar results to the present study: Medeiros et al. (2006) analyzed samples from Pernambuco alone and found a frequency of 22.6% for subtype F, while Gadelha et al. (2003) showed a frequency 2.7% for this subtype in Ceará and Couto-Fernandez et al. (1999) showed a frequency of only 3% for subtype F (3%) in Salvador, Bahia, related only with injection drug users. This indicates intra-regional variations in the cir-

culation of viral subtypes which are not fully understood. Two hypotheses may explain such differences: an earlier entry of subtype F in Pernambuco, if compared to other states from Northeast Region, particularly Ceará and Bahia, or different routes of propagation of the HIV-infection throughout the region.

Another difference between subtypes B and F was their association with gender. While 81% of the patients with subtype B were men, 43.3% of the patients with subtype F were women. This finding was also detected in Argentina (Masciotra et al 2000, Ávila et al. 2002) and Chile (Rios et al. 2005). There is no consensus whether this occurs due to a suspected major tropism of non-B subtypes of HIV to Langerhan's cells found in vaginal mucosa (Soto-Ramirez et al. 1996) or to the late introduction of subtype F in Brazil (Bello et al. 2006), concomitantly with the more recent entry of women in the Brazilian epidemic (Brito et al. 2005).

The mutations related to antiretroviral failure showed some differences between subtypes B and F. The mutations associated with NRTIs occurred most frequently in codon 211, in subtype F; while the mutation in codon 210 was more prevalent in subtype B. On the other hand, for mutations associated with PIs, differences occurred in codons 35, 36, and 63 (p = 0.0000), so that the first two were revealed only in subtype F and the third, in subtype B. It should be emphasized that the mutation in codon 20 was more frequent in subtype F and in codon 77, in subtype B. This corroborates data found in Renageno samples, in studies in Federal District, Rio de Janeiro and São Paulo (Cerqueira et al. 2004, Couto-Fernandez et al. 2005, Rodrigues et al. 2005). These findings may reinforce the hypothesis that subtypes B and F respond by producing different mutations at the time of failure of the antiretroviral treatment, particularly with regard to PIs. However, because the latter differences occurred mainly as secondary mutations, there is an intense debate about whether they might result in different levels of resistance to antiretrovirals (Accetturi et al. 2000, Frater 2002, Pillay et al. 2002, Holquin et al. 2004, Bocket et al. 2005, Geretti 2006, Vergne et al. 2006). Follow-up of the rapeutic responses to rescue regimens may help to realize the real significance of the different mutations produced by distinct viral subtypes.

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