# MODELING OF SOIL LOAD-BEARING CAPACITY AS A FUNCTION OF SOIL MECHANICAL RESISTANCE TO PENETRATION

# Cícero Ortigara<sup>(1)</sup>, Moacir Tuzzin de Moraes<sup>(2)\*</sup>, Henrique Debiasi<sup>(3)</sup>, Vanderlei Rodrigues da Silva<sup>(4)</sup>, Julio Cezar Franchini<sup>(3)</sup> and Felipe Bonini da Luz<sup>(1)</sup>

<sup>(1)</sup> Universidade Federal de Santa Maria, Curso de Agronomia, *Campus* Frederico Westphalen, Frederico Westphalen, Rio Grande do Sul, Brasil.

(2) Universidade Federal do Rio Grande do Sul, Programa de Pós-graduação em Ciência do Solo, Porto Alegre, Rio Grande do Sul, Brasil.

<sup>(3)</sup> Empresa Brasileira de Pesquisa Agropecuária, Centro Nacional de Pesquisa em Soja, Londrina, Paraná, Brasil.

(4) Universidade Federal de Santa Maria, Departamento de Ciências Agronômicas e Ambientais, Campus Frederico Westphalen, Frederico Westphalen, Rio Grande do Sul, Brasil.

\*Corresponding author.

E-mail: moacir.tuzzin@gmail.com

## ABSTRACT

Estimation of soil load-bearing capacity from mathematical models that relate preconsolidation pressure (op) to mechanical resistance to penetration (PR) and gravimetric soil water content (U) is important for defining strategies to prevent compaction of agricultural soils. Our objective was therefore to model the op and compression index (CI) according to the PR (with an impact penetrometer in the field and a static penetrometer inserted at a constant rate in the laboratory) and U in a Rhodic Eutrudox. The experiment consisted of six treatments: no-tillage system (NT); NT with chiseling; and NT with additional compaction by combine traffic (passing 4, 8, 10, and 20 times). Soil bulk density, total porosity, PR (in field and laboratory measurements), U, op, and CI values were determined in the 5.5-10.5 cm and 13.5-18.5 cm layers. Preconsolidation pressure (op) and CI were modeled according to PR in different U. The op increased and the CI decreased linearly with increases in the PR values. The correlations between op and PR and PR and CI are influenced by U. From these correlations, the soil load-bearing capacity and compaction susceptibility can be estimated by PR readings evaluated in different U.

Keywords: Rhodic Eutrudox, no-tillage system, machinery traffic, soil compaction.

Received for publication on November 14, 2014 and approved on April 13, 2015. DOI: 10.1590/01000683rbcs20140732

# **RESUMO:** MODELAGEM DA CAPACIDADE DE SUPORTE DE CARGA EM RAZÃO DA RESISTÊNCIA MECÂNICA DO SOLO À PENETRAÇÃO

A estimativa da capacidade de suporte de carga do solo a partir de modelos matemáticos que relacionam a pressão de preconsolidação (op), a resistência mecânica do solo à penetração (RP) e o conteúdo gravimétrico de água do solo (U) é importante na definição de estratégias para prevenção da compactação de solos agrícolas. Portanto, objetivou-se modelar a op e o índice de compressão (IC) em função da RP (em campo com penetrômetro de impacto e em laboratório com penetrômetro digital de bancada) e do U em um Latossolo Vermelho. O experimento foi composto por seis tratamentos: sistema plantio direto (SPD); SPD com escarificação; e SPD com compactação adicional pelo tráfego de uma colhedora por 4, 8, 10 e 20 passadas. Os valores de densidade do solo, porosidade total, RP (no campo e no laboratório), U, op e IC foram determinados nas camadas de 5,5-10,5 cm e 13,5-18,5 cm. A modelagem da op e do IC foi realizada em função da RP em diferentes U. A op aumentou e o IC diminui linearmente com incremento nos valores de RP. As relações entre op e RP e IC e RP são influenciadas pelo U. A partir dessas relações, é possível estimar a capacidade de suporte de carga e a suscetibilidade do solo à compactação por meio das leituras de RP, avaliadas em diferentes U.

Palavras-chave: Latossolo Vermelho distroférrico, sistema plantio direto, tráfego de máquinas, compactação do solo.

#### **INTRODUCTION**

Compaction is a major cause of soil physical degradation and stunted plant growth. The process is defined as a reduction in the volume (compression) of an unsaturated soil induced by causes of an anthropogenic nature that reduce pore space by expulsion of air and, in some cases, of water (Hillel, 1982). The physical condition resulting from the soil compaction process is called the state of compaction, evaluated by degrees, and, to some extent, all soils have a degree of compaction (Silva et al., 2002). However, soil is considered compacted when the magnitude of compaction exceeds a certain threshold, above which restrictions to plant development are observed.

Continuous traffic of agricultural machines, especially at high soil moisture, can lead to excessive compaction in the soil surface and subsurface (Saffih-Hdadi et al., 2009). A very common way to assess the state of soil compaction is by means of soil penetration resistance (PR) with a cone penetrometer (Moraes et al., 2013, 2014a,b), due to its practicality, speed, and low cost. However, the variation in PR according to change in bulk density (Bd) is influenced by soil water content, which can be a source of misinterpretation (Moraes et al., 2013, 2014a,b). Another method of assessing the state of soil compaction is by the compression curve, from which the preconsolidation pressure (op) and compression index (CI) can be determined (Saffih-Hdadi et al., 2009; An et al., 2015). However, these mechanical parameters are also influenced by the water content and the initial state of soil compaction (Suzuki et al., 2008; Saffih-Hdadi et al., 2009). Therefore, the establishment of Bd correlations, of gravimetric water content in the soil (U), and of PR with CI and op can more precisely indicate the degree of compaction of agricultural soils.

Avoiding pressures exceeding the load-bearing capacity, estimated by op, constitutes the main strategy for prevention of soil compaction (Saffih-Hdadi et al., 2009). However, collection and analysis of soil samples to determine op are expensive and timeconsuming procedures, difficult to carry out on a field scale (Dias Júnior et al., 2004). Mathematical models must therefore be fitted through which op can be estimated from readily measurable variables on the field scale, such as PR. In this regard, several research studies have demonstrated the existence of significant mathematical correlations between op and PR (Mosaddeghi et al., 2003; Dias Júnior et al., 2004; Lima et al., 2006; Suzuki et al., 2008), indicating the possibility of accurate estimates of soil load-bearing capacity using PR as a dependent variable. However, most of these models do not consider the effect of U at the time of PR determination, or their databases have small variations in the degree of soil compaction, limiting their application. In addition, the ratio of op versus PR depends on all factors that influence either one of the variables (soil moisture, Bd, texture, and organic matter content, among others), so it must be determined under different soil conditions.

Thus, the hypothesis is that op and CI values can be estimated by soil functions correlated to the PR in each U range. The aim of this study was to model the correlations of preconsolidation pressure and compression index according to PR at different moisture and degrees of compaction in a Rhodic Eutrudox.

### MATERIAL AND METHODS

The experiment was carried out on an experimental farm of Embrapa Soja in Londrina, PR, Brazil (23° 11' S and 51° 11' W). The soil of the study area has been farmed under no-tillage (NT) since 1996, and was classified as a *Latossolo Vermelho distroférrico* (Santos et al., 2013) or Rhodic Eutrudox (Soil Survey Staff, 2010). The soil properties of the 0-20 cm layer were a very clayey texture (731 g kg<sup>-1</sup> clay, 146 g kg<sup>-1</sup> silt, and 123 g kg<sup>-1</sup> sand), particle density of 2.96 kg dm<sup>-3</sup>, and 18.50 g kg<sup>-1</sup> of organic carbon.

The experiment was arranged in a completely randomized block design, with two replications. The treatments, allocated in plots (evaluated area of  $2.5 \times 20$  m), consisted of six degrees of compaction: (a) chiseled no-tillage (CNT); (b) NT without chiseling and without additional compaction (NTWC): and compacted NT, under additional compaction of a self-propelled grain harvester (combine) at four traffic intensities, passing (c) 4 times (NTC4); (d) 8 times (NTC8); (e) 10 times (NTC10); and (f) 20 times (NTC20). The additional compaction was applied on 08/16/2010 by means of a combine (weight, 66 kN) equipped with a platform header (weight, 12 kN) and filled grain tank (wheat - weight, 22kN), for a total weight of 100 kN (70 kN on the front axle). The combine had a total mass of 10.28 Mg, with a static distribution of 70 % of the weight on the front axle, and front tire contact pressure with the ground. The combine was equipped with front tires, 18.4-30 R1, diagonal, and inflation pressure of 180 kPa; and rear tires 9.00-16 10PR F2, diagonal, with inflation pressure of 410 kPa, and a track width of 2.4 m. The tire-soil contact pressure under the front axle was estimated at 230 kPa, by a procedure proposed by O'Sullivan et al. (1999). According to this method, the tire-soil contact area was estimated considering the width and height of the tire, inflation pressure, and load on the axles, using an empirical model developed for rigid surfaces. Chiseling was performed by means of a chisel plow with five shanks spaced 35 cm apart. to a depth of 30 cm. The soil had a friable consistency  $(U = 0.28 \text{ kg kg}^{-1})$  at chiseling.

After application of the treatments, the experiment was irrigated (water level 100 mm) to standardize and raise U to values above 0.2 kg kg<sup>-1</sup> (field capacity determined by Moraes et al., 2012). In the field, PR was determined ( $PR_F$ ) as described by Moraes et al. (2013), eight times (2, 4, 7, 9, 11, 14, 23, and 31 days after irrigation), which resulted in a wide range of variation in U values since no rain fell throughout the experimental period (see table 1). The  $PR_F$  was determined in the 5.5-10.5 and 13.5-18.5 cm layers, as described in ASABE (2010), with an impact penetrometer (IAA/Planalsucar -Stolf) (Stolf, 1991), equipped with a cone (base area 130 mm<sup>2</sup> and solid angle 30°). The  $PR_F$  was measured at eight points at a spacing of 15 cm on a transect transversal to the tracks of the combine and/or of the chiseler. For each evaluation, we used two replications (transects) per degree of compaction. Along each transect, two soil samples were collected (5.5-10.5 and 13.5-18.5 cm layers) to determine U, as described by Embrapa (1997).

content in the soil (U) for each evaluation of soil resistance to penetration, measured with a field penetrometer ( $PR_F$ )					
	Layer				
Evaluation (DAI)	5.5-10.5 cm	13.5-18.5 cm			

Table 1. Range of variation of gravimetric water

	-				
Evaluation (DAI)	5.5-10.5 cm		13.5-18.5 cm		
	U Min	U Max	U Min	U Max	
	g g <sup>-1</sup>				
2	0.315	0.341	0.330	0.348	
4	0.304	0.323	0.322	0.336	
7	0.275	0.309	0.314	0.323	
9	0.247	0.298	0.305	0.320	
11	0.237	0.288	0.291	0.313	
14	0.230	0.263	0.309	0.276	
23	0.213	0.249	0.256	0.289	
31	0.205	0.226	0.236	0.263	

Evaluation (DAI): time of evaluation of  $PR_F$  estimated in days after irrigation; U Min: lowest gravimetric water content in the soil for all treatments (CNT, NTWC, NTC4, NTC8, NTC10, NTC20); U Max: highest gravimetric water content in the soil for all treatments (CNT, NTWC, NTC4, NTC8, NTC10, NTC20).

Undisturbed soil samples were collected in stainless steel rings (height 5 cm × diameter 5 cm) inserted horizontally by means of a hydraulic jack into the center of the 5.5-10.5 and 13.5-18.5 cm layers, on the sides of open trenches in each plot, resulting in a total of 312 samples. Initially, the samples were divided into two groups, from which 240 samples were used to assess PR with a static penetrometer (PR<sub>L</sub>) (group 1) and 72 samples to determine the soil compression curve (group 2).

The 240 soil samples of group 1 were divided into 5 groups of 48 samples, which were subjected to the following matric potentials: -6 kPa (by tension table), -10, -33, -100, and -500 kPa (Richards extractors). After equilibration at the different potentials, the samples were used to determine PRL, by means of a horizontal penetrometer equipped with a cone (base area of 12.56 mm<sup>2</sup>, angle 60°) and inserted into the soil at a speed of 20 mm min<sup>-1</sup>. The 72 samples of group 2 were water saturated, balanced at a potential of -6 kPa by tension table, and then subjected to the uniaxial compression test by a Masqueto pneumatic consolidometer. Sequential loads of 25, 50, 100, 200, 400, 800, and 1,600 kPa were applied for 5 min (Silva et al., 2007). After the tests, the samples were dried at 105-110 °C for 24 h, allowing the calculation of Bd and total porosity (Pt), as suggested by Embrapa (1997).

Maximum soil bulk density  $(Bd_{max})$  was obtained with the application of a load of 1,600 kPa; the relative soil bulk density  $(Bd_{rel})$  was obtained by the ratio of Bd and  $Bd_{max}$ . The correlation between op and PR was obtained by equation 1:

Ratio  $\sigma p:PR = 1/a$ 

where ratio op:PR is the correlation between preconsolidation pressure (op) and soil mechanical resistance to penetration (PR); and a is the slope coefficient of the linear equation fitted to estimate op as a function of PR.

Means were subjected to analysis of variance by the F test (p<0.05). In the case of significance of the treatment effects, the means were compared by the Tukey test (p<0.05) using the Statistical Analysis System (SAS, 1999). The equations to estimate op from  $PR_F$  or  $PR_L$  were fitted by regression analysis, using the software Sigmaplot<sup>®</sup> 12.5 (Systat Software, Inc.).

#### RESULTS

In the CNT system, the  $Bd_{Rel}$  was lower and Pt higher than in the other treatments in the 5.5-10.5 cm and 13.5-18.5 cm layers (Figures 1a and 1b). In the soil layer nearer the surface (5.5-10.5 cm), the  $Bd_{Rel}$  was lower in NTWC than in all treatments with additional compaction (NTC4, NTC8, NTC10, and NTC20). In contrast, in the 13.5-18.5 cm layer, the  $Bd_{Rel}$  values in treatment NTWC did not differ from the treatments with additional compaction, except in NTC10 (Figure 1a). With regard to Pt, it was observed that, in the 5.5-10.5 cm layer, the values were highest in CNT. The NTWC resulted in higher values compared to NTC10 and NTC20, which did not differ. In the same layer, the Pt values were intermediate in the treatments NTC4 and NTC8, with no difference from the treatments NTWC, NTC10, and NTC20. In the deeper layer however, the Pt values had the following order: CNT>NTWC>NTC4 = NTC8>NTC10, and NTC20.

In both layers evaluated, the op values were significantly higher in treatments with additional compaction by combine traffic than in CNT (Figure 1c). In most situations, the op values in NTWC were intermediate, not differing from the other treatments. However, in the 13.5-18.5 cm layer, op was significantly lower in NTWC than NTC10. In the 5.5-10.5 cm layer, the CI was higher in CNT than in the other treatments, lower in NTC10 and NTC20, and statistically different from all treatments but NTC8 (Figure 1d). In the deeper layer, the largest CI of all treatments was once more observed for CNT. In this layer, NTWC had a higher CI in relation to NTC10, but did not differ significantly from NTC4, NTC8, and NTC20.

The CI had a negative exponential correlation to the increase in  $Bd_{Rel}$  values (Figure 2). The significant correlation between  $Bd_{Rel}$  and CI values indicates that both variables may be used to identify soils with higher degrees of compaction and, consequently, lower physical quality.



Degree of compaction

Figure 1. Relative bulk density (Bd<sub>Rel</sub>) (a), total porosity (Pt) (b), preconsolidation pressure (σp) (c), and compression index (CI) (d) as related to degrees of compaction [no-tillage (NT) chiseled (CNT); NT without additional compaction (NTWC); NT with additional compaction of a combine passing 4 (NTC4), 8 (NTC8), 10 (NTC10), and 20 (NTC20) times] over a Rhodic Eutrudox. Means followed by the same uppercase letter (5.5 - 10.5 cm layer) or lowercase letter (13.5-18.5 cm layer) do not differ by the Tukey test (p<0.05).</p>



Figure 2. Correlation of relative density (Bd<sub>Rel</sub>) and compression index (CI) in the 5.5-10.5 cm and 13.5-18.5 cm layers of a Rhodic Eutrudox.

The  $PR_F$  varied due to variations in U in the different evaluations (Figure 3). In general,  $PR_{F}$ increased over time, due to the reduction in U, and this increase was greater in treatments with higher degrees of compaction. At the time of assessment,  $PR_F$  was little influenced by the degree of soil compaction. In contrast, the effects of degree of compaction on PR<sub>F</sub> depended on U. Thus, in both layers evaluated, PR<sub>F</sub> was generally lower in CNT than in the other treatments, regardless of the time of evaluation. In the 5.5-10.5 cm layer, the traffic treatments induced a higher  $PR_F$  than NTWC, except for the evaluations performed at higher U values. This was observed at 2 days and 4 days after irrigation, when NTWC did not differ significantly from NTC4 at 5.5-10.5 cm layer (Figure 3a); and NTWC was similar to NTC4, NTC8 and NTC10 at 5.5-10.5 cm layer (Figure 3b). Significant differences in  $PR_F$ between traffic treatments appeared only in the last two evaluations (Figures 3g and 3h) at lower U values. In these cases,  $PR_F$  increased with the increase in traffic intensity of the combine. The differences for U, however, were significant for the 5.5-10.5 m layer at 2, 9, and 23 days after irrigation (Figures 3a, 3d, and 3g, respectively) and for the 13.5-18.5 cm layer at 23 days after irrigation (Figure 3g). In the 13.5-18.5 cm layer, consistent differences between NTWC and traffic treatments were only detected in the last three evaluations (Figures 3f, 3g, and 3h). In these assessments, PR<sub>F</sub> was higher in the traffic treatments than in NTWC. Comparing only the traffic treatments, the  $PR_F$  in the 13.5-18.5 cm layer was generally higher for treatments with greater traffic intensity, although these differences were only significant in the last two evaluations (Figures 3g and 3h). This may have occurred particularly because of cracks formed at the time of penetration of the penetrometer cone, mainly at lower U, causing reductions in PR in NTC20 and reducing the differences between traffic treatments.

The PR<sub>L</sub> increased due to the decrease in U as a result of the balance of the samples at more negative potentials (Figure 4). Regardless of the matric potential of the samples, the  $PR_{I}$ was significantly lower in CNT compared to the treatments with additional combine traffic, in both layers. However, unlike what was observed for PR<sub>F</sub>, PR<sub>L</sub> was significantly lower in CNT than in NTWC only in samples with the highest U, equilibrated at -6 kPa (Figure 4a), -10 kPa (Figure 4b), and -33 kPa (Figure 4c), in both layers. In general, in the upper soil layer evaluated, PR<sub>L</sub> in NTWC was similar to NTC4, but lower than in NTC8, NTC10, and NTC20. In the 13.5-18.5 cm layer, the NTWC had similar PR<sub>L</sub> values for all traffic treatments when the samples were equilibrated at -100 (Figure 4d) and -500 (Figure 4e) kPa. At other potentials (Figures 4a, 4b, and 4c), the PR<sub>L</sub> in the 13.5-18.5 cm layer in NTWC was similar to NTC4, NTC8, and NTC10, but lower than at the higher traffic intensities.

Considering the same soil water potential, the correlation between the CI and  $PR_L$  was negatively linear in both layers (Figures 5a and 5b), indicating that the higher the initial degree of soil compaction, the lower the susceptibility to this process. The straight lines representing the CI ×  $PR_L$  interaction (Figures 5a and 5b) were shifted to the right as the water potential diminished. This shows that increasing  $PR_L$  values resulting from a reduction in U at the time of penetrometer testing result in similar CI values. Additionally, there was an increase in slope (in module) of the equations with increasing soil moisture, indicating that the more compacted the soil, the greater the influence of U on the estimate of CI from  $PR_L$ .

At the same water potential of the soil, op exhibited a linear increase with increasing  $PR_L$ (Figures 5c and 5d). Like CI, the straight lines that represent the op ×  $PR_L$  interaction were shifted to the right as the water potential became more negative, showing that increasing  $PR_L$ , induced by a reduction in U, results in values similar to op. Likewise, the slope of the equations increased as the water potential in the soil increased (from -500 kPa to -6 kPa) (Table 2), indicating that the effect of U on op estimates as related to  $PR_L$  is highest at the highest degrees of soil compaction.

The coefficients of determination ( $R^2$ ) of the equations between CI and op with the  $PR_F$  were greater than 0.80, indicating that the data are satisfactorily explained by these equations. As also observed for  $PR_L$  (Figure 5), at the same evaluation time, the CI variables (Figure 6a and 6b) and op (Figure 6c and 6d) had positive and negative linear correlations, respectively, with  $PR_F$ , in both layers. However, the effect of U on CI and op estimated



Figure 3. Values of soil mechanical resistance to penetration in the field ( $PR_F$ ) and gravimetric content of the soil as related to degrees of compaction [no-tillage (NT) chiseled (CNT); NT without additional compaction (NTWC); NT with additional compaction of a combine passing 4 (NTC4), 8 (NTC8), 10 (NTC10), and 20 (NTC20) times] over a Rhodic Eutrudox, in the 5.5-10.5 and 13.5-18.5 cm layers (adapted from Moraes et al, 2013) for each evaluation period: 2 (a), 4 (b), 7 (c), 9 (d), 11 (e), 14 (f), 23 (g), and 31 (h) days after irrigation. Means followed by the same uppercase letter (5.5-10.5 cm layer) or lowercase letter (13.5-18.5 cm layer) do not differ by the Tukey test (p<0.05); ns: not significant by the F test (p<0.05).



Degree of compaction

Figure 4. Mechanical strength of soil penetration in the laboratory (PR<sub>L</sub>) and gravimetric soil water content, as related to degrees of compaction [no-tillage (NT) chiseled (CNT); NT without additional compaction (NTWC); NT with additional compaction of a combine passing 4 (NTC4), 8 (NTC8), 10 (NTC10), and 20 (NTC20) times] over a Rhodic Eutrudox, in the 5.5-10.5 and 13.5-18.5 cm layers, the matric potential of -6 (a), 10 (b), -33 (C), -100 (D), -500 KPa (e). Means followed by the same uppercase letter (5.5-10.5 cm layer) or lowercase letter (13.5-18.5 cm layer) do not differ by the Tukey test (p<0.05); ns: not significant by the F test (p<0.05).

from  $PR_F$  was contrary to that observed when the independent variable was  $PR_L$ . At low degrees of initial soil compaction, the influence of the time interval between irrigation and  $PR_F$  evaluation on CI and op estimated from  $PR_F$  was small. As this interval increases, resulting in lower U values, the slope of the equations  $\rm CI \times \rm PR_F$  and  $\rm PR_F \times \rm op$  (Table 2) decreased, due to the greater amplitude of variation of  $\rm PR_F$  values as a function of the degree of soil compaction. Thus, the effect of U on the CI and op estimates derived from  $\rm PR_F$  and  $\rm PR_L$  increases with increasing degrees of soil compaction.



Penetration resistance in the lab, PR<sub>1</sub> (kPa)

Figure 5. Compression index ratio - CI (a, b) and preconsolidation pressure - σp (c, d) with the soil mechanical resistance to penetration of a Rhodic Eutrudox measured with a static penetrometer (PR<sub>L</sub>) at different soil water potentials, in the 5.5-10.5 and 13.5-18.5 cm layers.

Table 2. Angular coefficients of the first degree equation<sup>(1)</sup> of the correlation between preconsolidation pressure (op) and penetration resistance (PR), as related to the variation in soil water content due to different water potentials in the soil (static penetrometer) or to the number of days after irrigation (impact penetrometer)

v	0	` <b>-</b>	-	,	
Detential	Slope	Ratio op:PR	Slope	Ratio op:PR	
rotential	5.5	5.5-10.5 cm		13.5-18.5 cm	
	Static penetrometer				
	0.0446	1:22	0.0409	1:24	
-10 kPa	0.0449	1:22	0.0438	1:23	
-33 kPa	0.0382	1:26	0.0413	1:24	
-100 kPa	0.0326	1:30	0.0418	1:24	
-500 kPa	0.0248	1:40	0.0223	1:45	
Seasons	Impact pentrometer				
Evaluation $1^{st}$	0.0386	1:26	0.0403	1:25	
Evaluation 2 <sup>nd</sup>	0.0187	1:53	0.0334	1:30	
Evaluation $3^{\rm rd}$ and $4^{\rm th}$	0.0151	1:66	0.0232	1:43	
Evaluation $5^{\text{th}}$	0.0143	1:70	0.0185	1:54	
Evaluation $6^{\text{th}}$	0.0108	1:93	0.0143	1:70	
Evaluation $7^{\text{th}}$ and $8^{\text{th}}$	0.0087	1:115	0.0085	1:118	

 $^{(1)}$   $\hat{y} = y_0 + s x$ , where y: preconsolidation pressure; s: slope; x: soil resistance to penetration.

#### DISCUSSION

In this study, higher degrees of soil compaction increased the load-bearing capacity (op) and decreased susceptibility to further soil compaction (CI), which is in line with findings of other papers (Suzuki et al., 2008; An et al., 2015). The increase of op and reduction of CI due to the greater  $Bd_{Rel}$  in the more compacted treatments can be attributed to the greater frictional force and cohesion between particles.

It is noteworthy that, in the CNT, the op values in the 5.5-10.5 cm and 13.5-18.5 cm layers were less than 50 kPa, below the pressure usually applied to soils by the wheels of agricultural tractors (Silva et al., 2002; Lima et al., 2006). Thus, low load-bearing capacity may be one of the main causes of rapid re-compaction of NT soils after chiseling (An et al., 2015). Silva et al. (2002) evaluated Ultisols and Oxisols and found that chiseling degraded the soil structure, with a consequent reduction in load-bearing capacity and increased susceptibility to soil compaction. Likewise, Vogelmann et al. (2012), in a study on an Acrisol under NT with and without chiseling, with traffic and without traffic, observed increased susceptibility to compaction in the chiseled treatment, which was attributed to physical degradation due to mechanical tillage. The same authors found that agricultural traffic induced

increases in the load-bearing capacity and reduced susceptibility to soil compaction to a depth of 20 cm.

The  $Bd_{Rel}$  is an indicator of the degree of soil compaction; values above 0.88 can indicate a degree of soil compaction that is critical for plant growth, regardless of the texture (Klein, 2006). Thus, CI values below 0.21 in this very clayey Rhodic Eutrudox could be used as indicators of excessive soil compaction. Similar results were reported by Lima et al. (2006), where pressures higher than 153 kPa may represent favorable conditions for traffic, but inadequate for root growth in a sandy-loam Haplustox.

The CI is related to soil disturbance (Lima et al., 2006; Vogelmann et al., 2012), which is reflected in lower values of this index in soils with higher initial degrees of compaction (Figure 2). The influence of soil water content and initial degree of compaction on PR is cited in several papers (Mosadeghi et al, 2003; Dias Júnior et al., 2004; Lima et al., 2006). In this study, it was observed that the lower the U is, the more sensitive  $PR_F$  is to soil structural variations, as also reported by Vaz et al. (2011), resulting in a clearer differentiation of treatments. However, the differences in PR<sub>L</sub> among treatments were greater when this variable was determined at U values of field capacity (-10 kPa). These differences can be attributed, first, to the types of forces underlying each penetrometer. While the force exploited in the static penetrometers is stationary, consisting of steady insertion of cones into the soil, the forces underlying the impact penetrometer are dynamic, resulting from the impact of a block on the penetrometer. In addition, the impact penetrometer measures the maximum PR per unit depth, while the static penetrometer measures the mean PR per unit area (Beutler et al., 2007). Another possible cause of different responses of penetrometers to U reduction is the possibility of cracks in the soil contained in the stainless steel rings used in the static penetrometer, formed by the insertion of the rod of the static penetrometer. These cracks were observed mainly in the most compacted treatments at lowest U values. These cracks, especially at the most negative water potential, may have resulted in lower PR<sub>L</sub> values in the densest samples, reducing the differences compared to samples with lower bulk density. To and Kay (2005) also observed that, under high Bd and low U, the formation of vertical cracks caused by cone penetration into the soil reduces PR.

Considering the same soil water potential or evaluation time, the op and the CI had a positive and negative linear correlation with the  $PR_F$  and  $PR_L$ , respectively, regardless of the layer assessed. The coefficients of determination ( $R^2$ ) of the equations of this study were mostly above 80 % for CI, as well as for op, estimated from the  $PR_F$  or  $PR_L$  values. Similar results were obtained by Lima et al. (2006), who reported a positive linear correlation with an  $R^2$  value of 97 % in an orange orchard, using field



Penetration resistance on field,  $PR_{F}$  (kPa)

Figure 6. Correlation between compression index ratio (a, b) and preconsolidation pressure (c, d) with soil penetration resistance, using an impact penetrometer at different water content ranges of a Rhodic Eutrudox in the 5.5-10.5 cm (a, c) and 13.5-18.5 cm (b, d) layers.

as well as laboratory penetrometers. In this paper, the op, whose determination on the field scale is time-consuming and difficult, was estimated from  $PR_F$  or  $PR_L$ , which proved to be as precise as when using Bd and U as independent variables (Saffih-Hdadi et al., 2009; An et al., 2015).

The results of this study demonstrated that op and CI estimated from PR were strongly influenced by the U values during the penetrometer tests, regardless of the equipment used (impact or static penetrometer). For example, a PR of 6,000 kPa obtained with an impact penetrometer for the 5.5-10.5 cm layer 4 days after irrigation (2<sup>nd</sup> evaluation) corresponds to a op of 163 kPa. In contrast, the op value estimated from the same PR value (6,000 kPa), but measured 31 DAI (last evaluation), corresponds to 87 kPa. This means that, if the U content is not taken into consideration, the use of these soil functions might significantly over- or underestimate the op values.

The slope of the  $\sigma p$  or CI  $\times$  PR interaction decreased with the decrease of U at the time of the penetrometer test, for both pieces of equipment and layers. This fact can be attributed to the greater range of variation of PR values when determined in dry soil, indicating that the effect of the U on CI and op estimation from PR increases when the initial degree of soil compaction is higher. However, the effect of U on the slope of op or  $CI \times PR$  equations was higher when using the impact penetrometer. As discussed above, the major differences in  $PR_F$ between treatments occurred in drier soil, unlike for  $PR_L$ , possibly due to different forces used in the static and impact penetrometers (Beutler et al., 2007). Thus, the data amplitude in drier soil was higher for PR<sub>F</sub> than for PR<sub>L</sub>, resulting in a lower slope variation due to soil drying when using a static penetrometer. In practical terms, the models showed that at low degrees of compaction, as obtained in chiseled soil, the effect of U on op and CI estimated from  $PR_F$  is small but increases with an increasing degree of soil compaction. In contrast, the effect of U on op and CI estimated from PR<sub>L</sub> is high, regardless of the degree of soil compaction. In practical terms, impact penetrometers are not very sensitive in detecting PR increments due to reduced water contents in chiseled soil. Thus, it mostly detects changes in the other no-tillage treatments or treatments without additional compaction. This differs from the platform penetrometer, which is more sensitive for identifying increases in PR in chiseled soil, due to the reduction of soil water content.

The correlation between op and PR ranged from 1:22 to 1:45 for the static penetrometer, and from 1:25 to 1:118 for the impact penetrometer, and this variation was determined by U. These values are higher than those obtained by Suzuki et al. (2008), who analyzed six soil types with clay contents ranging from 98 to 658 g kg<sup>-1</sup> clay, and found a correlation between op and PR of 1:19. Lima et al. (2006) and Mosaddeghi et al. (2003) also reported lower op:PR correlations (1:17 and 1:10, respectively) than in this study. Pacheco et al. (2010), however, observed op:PR correlations in the range of 1:43 and 1:62 in areas of native forest and after four years of winter cultivation, respectively. However, in all these studies, the changes in correlation between op and PR were not attributed to U in the penetrometer test, but to other factors, such as the mineral fraction of the soil (Lima et al., 2006), or to the initial degree of soil compaction (Suzuki et al., 2008).

#### CONCLUSIONS

The correlation between preconsolidation pressure and soil penetration resistance is influenced by the water content during the evaluation of penetration resistance, and this effect is stronger for impact penetrometers than when using static penetrometers.

The soil load-bearing capacity estimated from penetration resistance values is influenced by the type of penetrometer.

These considerations show that agricultural practices should be applied at moisture levels in the range of friability, when the load-bearing capacity is adequate and associated with optimal conditions of soil management.

#### REFERENCES

American Society of Agricultural and Biological Engineers - ASABE. Soil cone penetrometer. ASABE Standard S313.3. St Joseph: 2010.

An J, Zhang Y, Yu N. Quantifying the effect of soil physical properties on the compressive characteristics of two arable soils using uniaxial compression tests. Soil Till Res. 2015;145:216-23.

Beutler AN, Centurion JF, Silva AP. Comparação de penetrômetros na avaliação da compactação de Latossolos. Eng Agríc. 2007;27:146-51.

Dias Júnior MS, Silva AR, Fonseca S, Leite FP. Método alternativo de avaliação da pressão de preconsolidação por meio de um penetrômetro. R Bras Ci Solo. 2004;28:805-10.

Empresa Brasileira de Pesquisa Agropecuária - Embrapa. Centro Nacional de Pesquisa em Solo. Manual de métodos de análise de solo. 2ª.ed. Rio de Janeiro: 1997.

Hillel D. Introduction to soil physics. New York: Academic Press; 1982.

Klein VA. Densidade relativa - um indicador da qualidade física de um Latossolo Vermelho. R Ci Agrovet. 2006;5:26-32.

Lima CLR, Silva AP, Imhoff S, Leão TP. Estimativa da capacidade de suporte de carga do solo a partir da avaliação da resistência à penetração. R Bras Ci Solo. 2006;30:217-23.

Moraes MT, Debiasi H, Carlesso R, Franchini JC, Silva VR. Critical limits of soil penetration resistance in a Rhodic Eutrudox. R Bras Ci Solo. 2014b;38:288-98.

Moraes MT, Debiasi H, Franchini JC, Silva VR. Correction of resistance to penetration by pedofunctions and a reference soil water content. R Bras Ci Solo. 2012;36:1704-13.

Moraes MT, Debiasi H, Franchini JC, Silva VR. Soil penetration resistance in a Rhodic Eutrudox affected by machinery traffic and soil water content. Eng Agríc. 2013;33:748-57.

Moraes MT, Silva VR, Zwirtes AL, Carlesso R. Use of penetrometers in agriculture: a review. Eng Agríc. 2014a;34:179-93.

Mosaddeghi MR, Hemmat A, Hajabbasi MA, Alexandrou A. Pre-compression stress and its relation with the physical and mechanical properties of a structurally unstable soil in central Iran. Soil Till Res. 2003;70:53-64.

Pacheco EP, Costa JVT, Cantalice JRB. Uso da capacidade de suporte de carga como prevenção da compactação subsuperficial de um Argissolo cultivado com cana-de-açúcar. Aracaju, [BR]: Embrapa Tabuleiros Costeiros; 2010. (Embrapa Tabuleiros Costeiros, Documentos, 64).

Saffih-Hdadi K, Défossez P, Richard G, Cui YJ, Tang AM, Chaplain V. A method for predicting soil susceptibility to the compaction of surface layers as a function of water content and bulk density. Soil Till Res. 2009;105:96-103.

Santos HG, Jacomine PKT, Anjos LHC, Oliveira VA, Lubreras JF, Coelho MR, Almeida JA, Cunha TJF, Oliveira JB, editores. Sistema brasileiro de classificação de solos. 3ª.ed. Brasília: Embrapa Solos; 2013.

SAS Institute. Statistical analysis system. SAS/STAT User's guide 8.0. Cary, NC: 1999.

Silva RB, Lanças KP, Masquetto RJ. Consolidômetro: equipamento pneumático-eletrônico para avaliação do estado de consolidação do solo. R Bras Ci Solo. 2007;31:617-5.

Silva VR, Reinert DJ, Reichert JM. Fatores controladores da compressibilidade de um Argissolo Vermelho-Amarelo distrófico arênico e de um Latossolo Vermelho distrófico típico. II - Grau de saturação em água. R Bras Ci Solo. 2002;26:9-15.

Soil Survey Staff. Keys to soil taxonomy. 11<sup>st</sup>.ed. Washington: USDA-NRCS, U.S. Government Printing Office; 2010.

Stolf R. Teoria e teste experimental de fórmulas de transformação dos dados de penetrômetro de impacto em resistência do solo. R Bras Ci Solo. 1991;15:229-35.

O'sullivan MF, Hanshall JK, Dickson JWA. A simplified method for estimating soil compaction. Soil Till Res. 1999;49:325-35.

Suzuki LEAS, Reinert DJ, Reichert JM, Lima CLR. Estimativa da susceptibilidade à compactação e do suporte de carga do solo com base em propriedades físicas de solos do Rio Grande do Sul. R Bras Ci Solo. 2008;32:963-73.

To J, Kay BD. Variation in penetrometer resistance with soil properties: the contribution of effective stress and implications for pedotransfer functions. Geoderma. 2005;126:261-76.

Vaz CMP, Manieri JM, Maria IC, Tuller M. Modeling and correction of soil penetration resistance for varying soil water content. Geoderma. 2011;166:92-101.

Vogelmann ES, Mentges MI, Reichert JM, Rosa DP, Barros CAP, Reinert DJ. Compressibilidade de um Argissolo Vermelho-Amarelo trafegado e escarificado. Ci Rural. 2012;42:291-7.