

Falls and associated factors among elderly persons residing in the community

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Abstract

Objective: to identify the prevalence of falls among elderly persons and analyze fall-related factors. Method: a cross-sectional, population-based study conducted by household survey of 400 elderly subjects (aged 60 years or older) living in the city of Juiz de Fora, Minas Gerais, Brazil was carried out. The occurrence of falls in the last 12 months, sociodemographic factors, health profile and geriatric syndromes were observed. The crude and adjusted prevalence ratios (PR) were obtained using Poisson regression. A three-model hierarchical approach was applied in the multivariate analysis. In all the multivariate models, gender and age were defined as confounding variables. Variables which reached a level of p < 0.05 in the first model were retained in the subsequent models. For all models the significance threshold was set at 0.05 and the variables which remained associated with the occurrence of falls in the 3rd model were retained in the final analysis. Result: the prevalence of falls was 35.3% (CI 95% = 30.7;40.0). Among the elderly persons who reported falls, 44% reported that they had fallen more than once. The majority of falls occurred at home (69.2%) and in the morning (46.7%). Age a reported difficulty walking remained significantly associated with the outcome after adjustments (3rd model). Conclusion: falls are frequent and are associated with increasing age and difficulty walking. The recognition of these factors is important for strategies to reduce falls and the promotion of a healthy aging by means of preventive and rehabilitation actions that target more vulnerable groups.

Keywords: Elderly. Accidental Falls. Risk Factors. Cross-Sectional Studies.

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INTRODUCTION

The growth of the elderly population has created a number of important challenges, such as falls, which are considered a serious public health problem as they affect a large number of elderly people, have significant morbidity and mortality rates and impose a high social and economic cost. They can result in a decline in the functional capacity and quality of life of the elderly and an increased risk of institutionalization¹⁻⁹. While these events are preventable, one alarming statistic stands out: around one third of individuals aged 60 years or over fall at least once a year^{1,3-6}.

Falls can result in mild bruising, soft tissue injuries (such as bruises or lacerations), fractures, severe injuries or trauma with or without neurological injury, a need for hospitalization and even death^{1,2,7,8,10}. They can also have social and psychological consequences, such as sadness, depression, fear of falling and behavioral changes with a reduction in physical and social activities^{2,7,8,10}. Literature describes the existence of a post-fall syndrome characterized by the loss of autonomy, dependence, isolation and depression, a certain degree of immobilization and consequently a greater restriction of daily activities, creating a vicious cycle^{1–3,7,8,10}.

The identification of factors associated with the occurrence of falls is important for the formulation health prevention and promotion measures, as it allows the identification of the most susceptible segments of the population with the concrete aim of reducing these events and secondary complications. The objective of the present study was therefore to estimate the prevalence of falls in the elderly and to analyze the associated factors.

METHOD

A cross-sectional study was carried out between October 2014 and March 2015 by means of a household survey, with a sample of 400 elderly persons, aged 60 years or older, living in the North Zone of the city of Juiz de Fora, Minas Gerais (MG), Brazil. This study is part of a larger research project called the Health Survey of the Elderly Population of Juiz de Fora, involving two phases

of population-based multidimensional domiciliary surveys (2010/2011 and 2014/2015).

Participants from the first phase of the survey were selected using a multi-stage stratified and randomized sampling process. The primary sampling units were the census tracts. For the drawing of lots, the sectors were grouped into strata defined based on the different modalities of health care to which the population of the sector was assigned, subdivided into Primary Health Care (Family Health Strategy or traditional); Secondary Care and uncovered area. The selection of census tracts was carried out with probabilities proportional to their size (resident population according to data from the Demographic Census 2000) independently in each stratum.

In order to calculate the size of the sample of elderly persons, we considered the prevalence of those who fell at a national level, according to literature, estimated in previous studies as approximately $30\%^{3,6}$. A maximum error of 5% was also applied, along with a 95% confidence level, a correction for finite populations, a sample design effect equal to 1.5 (considering possible stratification and cluster effects, according to the selection process adopted) and possible losses through refusal of approximately 30%.

For the second wave of the survey (2014/2015), the calculation of the sample size was estimated from the data from the previous study and from the results of the 2010 IBGE census relating to the population of the defined area, at the level of census sector disaggregation. There were changes in the population quantitative and distribution of these sectors, which required the resizing of the representative probabilistic sample based on stratification and clustering. In order to neutralize the loss of panel members, who were no longer part of the population surveyed following the years elapsed, the "over sample" method was used, allowing the maintenance of the initial sample, provided that the initial population is known and that the statistical treatment and weighting assignment differs between the groups that make up each situation of loss of the panel member (cases of death, change of address where identification of new address is not possible, long trips away, prolonged hospitalization and entry into a long-term facility)11. Age, gender and level of education were selected as variables to define the

entry of new subjects. Thus, 248 elderly persons from the first phase of 2010 and 175 new elderly persons (a total of 423 elderly persons) made up the 2014 sample. To respect the sample process, non-institutionalized individuals aged 60 years or older of both genders who were residents of the North Zone of Juiz de Fora, Minas Gerais were included.

The Mini-Mental State Exam (MMSE) was used as a screening tool for cognitive decline, which determined whether another respondent was required. Where another respondent was needed, the questions based on the self-perception of the elderly were not addressed. Researchers state that level of schooling influences performance in the MMSE and adopting stratified cutoff points decreases diagnostic failures, as the schooling of the Brazilian population is diversified and the educational level of the majority of the elderly population is low¹². However, there is no consensus on the cutoff points to be used in Brazil¹².

The cut-off point used by the Minas Gerais State Health Department, which makes use of this instrument for the evaluation of the elderly, was therefore adopted¹³. The minimum expected score for elderly persons with four years or more of schooling is 25 points, while for those with less than four years it is 18 points. Lower scores are indicative of cognitive decline. Individuals who presented behavior suggestive of cognitive decline and who were not accompanied by family members and/or caregivers were excluded (N = 23).

The question used to test the outcome variable was: "Have you fallen in the past year?" To support this question, a fall was defined as an accidental event that results in the change in the position of the individual to a lower level, relative to their initial position, with the inability to correct this change in a timely manner and landing on the ground. It results from a total loss of postural balance, due to the sudden insufficiency of the osteoarticular and neural mechanisms essential for maintaining posture¹⁴.

The independent variables included demographic and socioeconomic conditions, health conditions and geriatric syndromes. The questionnaire used to verify the sociodemographic profile and health issues of the elderly was standardized and pre-tested. The Patient Health Questionnaire-4 (PHQ-4) was used to assess

anxiety and depression disorders; the Falls Efficacy Scale - International - Brazil (FES-I) to evaluate fear of falling; the Edmonton Frail Scale (EFS) to verify frailty; and the Lawton and Brody Scale to evaluate functional capacity for the performance of instrumental activities of daily living (IADL).

Intra- and inter-examiner agreement found before the start of data collection was substantial or excellent (>75%). During the study the interviewers were monitored, evaluated and refreshed. For the control of the quality of information, field supervision was carried out by the main researchers and around 10% of data production was reevaluated by a further partial interview at the end of data collection.

The data were processed in a database created using Stata software (SPSS) version 7.0, which allows the characteristics of the sample plan to be considered, with a significance level of 5% ($p \le 0.05$). These data were submitted to descriptive analysis for the extraction of the absolute and relative frequencies of the variables analyzed, as well as the prevalence of the outcome investigated. Crude and adjusted prevalence ratios (PR) were obtained, estimated by Poisson regression.

The theoretical hierarchical approach was used for multivariate analysis¹⁶. The first model included the demographic and socioeconomic variables (education, ethnicity/skin colour, socioeconomic level according to the Brazilian Association of Research Companies classification, marital status, household arrangement). The second model incorporated the health conditions (presence of reported morbidity, difficulty walking, need for assistance with locomotion, number of medications in continuous use described, presence of caregiver, self-perception of health, anxiety and depression disorders). The third model included geriatric syndromes (Frailty Syndrome, Fear of Falling and Functional Ability to perform IADL). Variables with p<0.05 in the first model were retained in the subsequent models, and then for the subsequent levels. Those that remained associated with the occurrence of falls (p<0.05) in the third model were retained in the final analysis (considered to be independently associated with the event). Gender and age were considered a priori confounding variables in the study and were retained in all the multivariate models.

The Directives and Guidelines Regulating Research Involving Human Beings were followed, in accordance with the provisions of Resolution 466/2012 of the National Health Council. The Ethics Research Committee of the Universidade Federal de Juiz de Fora approved the study (Approval no 771/916).

RESULTS

A total of 400 questionnaires were analyzed, 315 of which were answered by the elderly person themselves and 85 by another respondent. A total of 64.5% of the sample was made up of women, the mean age was 73.8 (±8.02) and the educational level was 4.15 years (±3.40). A total of 45.5% declared themselves to be white, 59.0% belonged to socioeconomic level C, 55.8% were married or in a civil partnership, and 89.5% lived with a partner. Morbidity was verified in 89.0% of cases, difficulty walking was reported by 42.8% of the individuals, and 82.0% stated that they did not require help with locomotion.

The need to continuously take at least one medication was reported by 92.0% of the sample, while 40.6% had a caregiver (of whom 95.7% had family or friends as caregivers). A poor or fair perception of health was reported by 43.5% of the elderly.

Depression and anxiety disorders were observed in 22.9% and 27.3% of the sample, respectively. In terms of geriatric syndromes, 35.7% of the elderly persons were frail, the majority (95.7%) had a fear of falling and 15.5% were functionally dependent.

The prevalence of falls was 35.3% (95% CI=30.7, 40.0). Of those who reported falls, 44.0% reported having fallen more than once. A total of 46.7% of falls occurred in the morning and more than half (62.9%) occurred at home. Among falls that occurred at home, 21.9% occurred in the bedroom. The elderly needed help getting up in 56.3% of cases of falls; there was no loss of consciousness in 88.3% of falls and in 27.5% of cases health care was sought due to trauma. Emergency care was the most used health service after the occurrence of a fall and public sector services were used in 68.2% of cases.

The results of the bivariate analysis of the associations between falls, age, gender, and demographic and socioeconomic variables are shown in Table 1.

Advanced age, lower socioeconomic level and a marital status of widowed were associated with the occurrence of the event. Falls were significantly associated with difficulty walking, need for human assistance with locomotion and having a caregiver (table 2).

Table 1. Falls among the elderly according to demographic and socioeconomic variables. Juiz de Fora, Minas Gerais, 2015.

Variable	Participants (n)	Falls (%)	Gross PR (CI 95%)	Þ	
Gender				0.224	
Male	142	61.8	1		
Female	258	38.2	1.34 (0.87;2.08)		
Age (Years)				< 0.001	
60-70	157	27.4	1		
71-80	149	32.9	1.30 (0.80;2.12)		
Over 80	94	52.1	2.89 (1.70;4.93)		
Schooling				0.260	
11 years or more	29	34.5	1		
8 to 10 years	27	22.2	0.54 (0.17;1.78)		
5 to 7 years	47	34.0	0.98 (0.37;2.60)		
1 to 4 years	248	35.9	1.06 (0.47;2.39)		
Illiterate	49	40.8	1.31 (0.51;3.40)		

to be continued

Continuation of Table 1

Variable	Participants (n)	Falls (%)	Gross PR (CI 95%)	Þ
Ethnicity/Skin colour				0.885
White	182	36.8	1	
Black	57	36.8	1.00 (0.54;1.86)	
Brown	132	31.8	0.80 (0.50;1.29)	
Yellow	20	40.0	1.14 (0.45;2.94)	
Indigenous	9	33.3	0.86 (0.21;3.54)	
Socioeconomic level				0.031
A or B	117	29.1	1	
С	236	36.0	1.37 (0.85;2.22)	
D or E	47	46.8	2.15 (1.07;4.32)	
Marital status				0.029
Married/ civil partnership	223	28.3	1	
Widowed	133	45.9	2.15 (1.37;3.37)	
Separated or divorced	30	43.3	1.94 (0.89;4.23)	
Single	14	28.6	1.02 (0.31;3.36)	
Household arrangement				0.917
Lives alone	42	33.3	1	
Lives with other person	358	35.5	0.91 (0.46;1.79)	

Table 2. Falls among elderly persons in relation to variables related to health profile. Juiz de Fora, Minas Gerais, 2015.

Variable	Participants (n)	Fall (%)	Gross PR (CI 95%)	Þ
Reported morbidity				0.501
No	44	29.5	1	
Yes	356	36.0	1.34 (0.68;2.65)	
Difficulty walking				0.001
No	229	28.4	1	
Yes	171	44.4	2.02 (1.33;3.06)	
Need for help with locomotion				0.037
No	328	32.3	1	
Human help	30	56.7	2.74 (1.28;5.85)	
Assistance Aid	42	42.9	1.57 (0.82;3.02)	
Continuous use of medication				0.387
None	32	25.0	1	
1 to 4 medications	203	36.0	1.69 (0.72;3.94)	
More than 4 medications	165	36.4	1.71 (0.73;4.05)	
Presence of caregiver				0.015
No	238	30.3	1	
Yes	162	42.6	1.71 (1.13;2.59)	
Perception of health ^a				0.093
Excellent / Very good / Good	178	30.3	1	
Fair / Poor	137	39.4	1.49 (0.94;2.39)	
Anxiety disorders ^a				0.997
No	229	34.1	1	
Yes	86	34.9	1.04 (0.62;1.75)	
Depression disorders ^a				0.929
No	243	34.2	1	
Yes	72	34.7	1.03 (0.59;1.78)	

^a Variables investigated only when the elderly person themselves responded.

Significant gross prevalence ratios were observed for the presence of frailty and partial dependence in performing IADL (Table 3).

The results of the multivariate analysis of the factors associated with the occurrence of falls are shown in table 4.

Table 3. Falls among the elderly according to geriatric syndromes. Juiz de Fora, Minas Gerais, 2015.

Variable	Participants (n)	Falls (%)	Gross PR (CI 95%)	Þ
Frailty Syndrome ^a				0.002
No	222	29.7	1	
Yes	117	47.0	2.10 (1.32;3.33)	
Fear of falling ^b				0.524
No	15	26.7	1	
Yes	300	34.7	1.46 (0.45;4.70)	
Functional capacity for the performance of IADL				0.032
Independent	338	32.5	1	
Partial dependency	53	52.8	2.32 (1.29;4.17)	
Significant dependency	338	32.5	1.04 (0.25;4.22)	

^a Variable investigated in accordance with Edmonton Frail Scale.

Table 4. Results of multivariate analysis of factors associated with falls among the elderly. Juiz de Fora, Minas Gerais, 2015.

Variable	Model 1 ^a PR (CI95%)	p	Model 2 ^b PR (CI95%)	P	Model 3 ^c PR (CI95%)	p
Gender		0.575		0.222		0.509
Male	1		1		1	
Female	1.15 (0.70;1.89)		0.75 (0.48;1.19)		0.85 (0.52;1.38)	
Age (years)		0.003		0.003		0.007
60-70	1		1		1	
71-80	1.20 (0.70;2.00)		1.28 (0.78;2.08)		2.20 (1.21;4.03)	
Over 80	2.50 (1.37;4.55)		2.43 (1.37;4.35)		2.34 (1.26;4.35)	
Socioeconomic level		0.152				
A or B	1					
C	0.76 (0.46;1.26)					
D or E	0.59 (0.28;1.22)					
Marital status		0.084				
Married or civil partnership	1					
Widowed	0.64 (0.37;1.01)					
Separated or Divorced	0.49 (0.22;1.10)					
Single	0.83 (0.24;2.81)					
Difficulty walking				0.037		0.050
No			1		1	
Yes			1.67 (1.03;2.70)		1.69 (1.00;2.85)	
Need for help with locomotion				0.385		
No			1			
Human help			0.69 (0.30;1.60)			
Assistance Aid			1.07 (0.51;2.25)			

to be continued

^b Variables investigated only when the elderly persons themselves responded.

Continuation of Table 3

Variable	Model 1ª PR (CI95%)	p	Model 2 ^b PR (CI95%)	P	Model 3 ^c PR (CI95%)	p
Presence of caregiver				0.725		
No			1			
Yes			0.92 (0.56;1.49)			
Frailty Syndrome						0.407
No					1	
Yes					0.77 (0.42;1.42)	
Functional capacity for						0.476
realization of IADL						
Independent					1	
Partial dependence					0.89 (0.42;1.91)	
Significant dependence					1.77 (0.37;8.47)	

^a Socioeconomic and demographic variables

After all the adjustments (model 3), age and reported difficulty walking remained significantly associated with the outcome.

DISCUSSION

The prevalence of falls in the studied population was 35.3%, similar to that found in Brazilian literature^{3-6,15,16}. Mota et al. 16, in a study with a sample of 1,064 elderly persons, found a prevalence of 30.3% for the outcome. In another study conducted in seven Brazilian states with elderly people aged 65 years or over, the prevalence of falls was 34.8%⁵. Soares et al.¹⁷ estimated a prevalence of 37.5% among elderly people living in the community in the city of Cuiabá, Mato Grosso. Siqueira et al.⁶ calculated a prevalence of falls of 27.6% in a cross-sectional study with a sample of 6,616 elderly people living in urban areas of 100 municipalities distributed across 23 Brazilian states. However, this study revealed a significant variation in the prevalence of falls between geographic regions, with the extremes in the southeast (30.0%) and the north (18.6%).

Different prevalences to those found in the present study have been reported in literature^{7,9,18,19}. These differences can be attributed to the design of the studies, the characteristics of the sample, the methodologies adopted or because they are specific estimates with a margin of error. Pereira et al.¹⁹ also adds that the significant differences in the prevalence

of falls can be explained by the lack of a consensual definition for the event, which may lead to different interpretations by the elderly. The study by Pereira et al.¹⁹, which was conducted in southern Brazil, asked whether the elderly person fell on the floor.

Among those who reported falling, 44.0% described having fallen more than once, indicating the recurrent nature of this event among the elderly^{2,4,9,10,15,18,20}. This observation is important as it is based on the recognition that a history of falls is a risk factor for future falls^{2,21} and that a greater number of falls increases the probability of a future event resulting in fracture^{4,22}. Most of the falls occurred in the own home of the elderly persons and in the morning. According to data from the Ministry of Health¹ and other studies^{7,9,10,20} a large proportion of accidental falls occur indoors or nearby during routine activities such as walking, changing position and going to the toilet. For Antes et al.7 a greater number of falls occur in the morning as it is the time of day when the elderly person performs routine tasks, such as domestic activities.

Several studies corroborate the findings of the present study, namely that the occurrence of falls was associated with age^{2,3,5,9,10,15-18,21,23} and difficulty walking^{2,3,9,20,21,24,25}. These factors are closely related, as the aging process has repercussions on structural and functional disorders capable of modifying mobility patterns and the relation of the individual to the environment^{26–28}.

^b Socioeconomic and demographic variables plus health profile

^cSocioeconomic and demographic variables, health profile plus geriatric syndromes

As the years pass, the importance of environmental factors in explaining the way in which elderly individuals perform their social relations and everyday interactions increases, as well as the different forms of illness and negative outcomes in health¹⁹. In the absence of an environment that optimizes their potential, the elderly end up limiting their activities, which within a cascade of events augments the disorders arising from the biological aging process.

With advancing age, there is reduction of muscle strength and elasticity, a decrease in bone mass, impairment of joint stability and dynamics, and sensory, vestibular and somatosensory and nervous system disorders. This set of modifications has an impact on the mechanisms of postural control, leading to disturbances in gait, balance and posture. This cascade of changes, besides making it difficult to carry out activities of daily living, predisposes the elderly to falls^{2,21,24–28}.

Elderly persons suffer a decline in the ability to detect and control the back and forth oscillation of the body and also an increase in oscillation, both with their eyes open and closed; a reduction in the perception of joint movement and proprioceptive loss. These factors have been identified as key elements for postural control in an upright position and for locomotion capacity^{25,26,29}. The consequences of these changes, allied to others such as cognitive decline, reduced nerve conduction speed and declining strength and muscle tone, cause the elderly to acquire more conservative gait patterns^{25,26,29}.

This conservative gait pattern is characterized by a slower than usual gait speed, shorter pitch length and height, an increased support base and time spent in the double support phase; and increased cadence in situations where an increase in walking speed is required. These adaptations that occur with aging are considered a compensatory process that seeks to maximize stability and promote greater safety. In situations in which balance is disturbed, elderly individuals are less able to perform reactions that lead to the resumption of balance and avoid the occurrence of falls. They are less able to displace body weight and take quick steps or change their trajectory, have difficulty initiating reactions with the upper limbs and a reduced ability to reach and quickly grasp something that can support them and avoid falls. It is worth reporting that the described

condition is even more pronounced in elderly persons with a history of falls^{2,24,25,29}.

In this analysis, it should be pointed out that the changes that occur within the aging process do not directly and necessarily result in disease, but the probability of its onset increases with age, due to vulnerability to pathological processes and difficulty in maintaining homeostasis^{27,31}. Thus, aging is an agent that modifies and at the same time is modified by the presence of a certain health condition. Within this perspective, difficulty walking may be a consequence of the process of senescence or the synergistic action between this process and the main actions of this phase of life.

Although not explored in the present study, the results encourage a discussion about the importance of the practice of physical activity as an effective tool for coping with major geriatric syndromes. Literature shows that the practice of regular physical activity can minimize the deleterious effects of aging, contributes to the maintenance and/or improvement of muscular strength, body balance, coordination and speed of movement, cognitive ability and also functional ability^{9,32,33}. It is therefore a powerful strategy for the prevention of falls in the elderly population^{5,9,33}.

The aging process and its main tangential aspects, such as falls, are the result of a complex interaction of several factors that reflect biological aspects and genetic heritage, but also the cumulative impact of these factors, linked to environmental and social issues, which translate into inequalities and inequities in health. This complex network of interactions heightens the need for interdisciplinary and crossdomain interventions and approaches. Age and walking difficulty were factors associated with falls in the present study.

Although age is considered a non-modifiable risk factor, two reflections should arise on this result: it is possible to promote healthy aging for future generations in a planned manner, while it is essential to develop actions that reduce negative impacts of aging and optimize quality of life for those already in this phase of life. Due to the cross-sectional design of this research, it is not possible to make inferences about the causality of the association in relation to the difficulty in walking reported by the elderly. However, it is worth reporting that, regardless of causality,

walking difficulty can be considered a modifiable risk factor, which requires action involving the individual and the environment. These actions should occur both individually and collectively, in order to reduce barriers and circumstances that make locomotion even more challenging for the elderly and increase the risk of falls.

It should be emphasized that the present study was conducted with high levels of methodological rigor; that the necessary precautions in the sampling process were considered; and that similar results in literature were provided. Considering the use of sample weighting, there would be little variation in the estimated parameters even if the sample were expanded.

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CONCLUSION

Falls are frequent events among the elderly and have been associated with increasing age and reporting difficulty walking. The identification of these factors allows the recognition of the groups that are most susceptible to the occurrence of this outcome and consequently offers important support for the elaboration and planning of government policies, actions and strategies to address this serious public health problem. Faced with an aging population and the new demands emerging from this phenomenon, it is imperative to overcome the paradigms that affect the elderly and to adopt a more equanimous and attentive vision of the health of these individuals.

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